

Strangeness fluctuations in heavy-ion collisions

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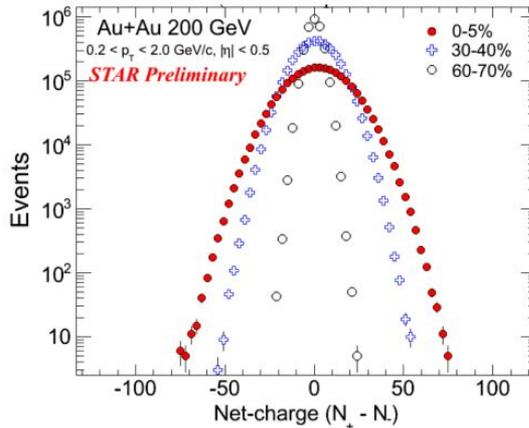
see also [2111.13977](#) and [1806.04499](#)



2nd Workshop of the Network NA7-HF-QGP, Giardini Naxos, Italy, 2 October 2023

Significance of fluctuation observables

⇒ experimentally multiplicity fluctuations determined from event-by-event distributions

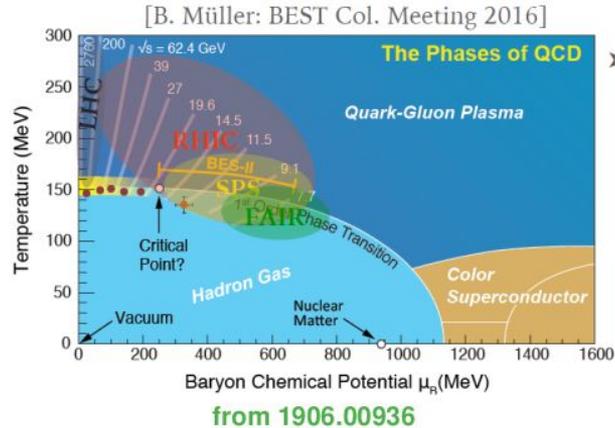


net-charge distributions from 1402.1558

- cumulant analysis:
 - mean: $M = \langle N \rangle = \chi_1 = C_1$
 - variance: $\sigma^2 = \langle (\Delta N)^2 \rangle = \chi_2 = C_2$
 - skewness S , kurtosis κ , ...
- net-distributions $N_+ - N_-$ often studied!
- fluctuations in conserved charges of QCD (B , S , Q) sensitive to matter composition
- requires limited phase-space acceptance!

Significance of fluctuation observables

⇒ theoretically fluctuations related to susceptibilities



- allows us to study the phase-structure of QCD matter!
- for a given pressure P susceptibilities follow from

$$\chi_n = VT^3 \frac{\partial^n (P/T^4)}{\partial (\mu/T)^n}$$

- volume cancels in ratios

Connecting theory with experimental data

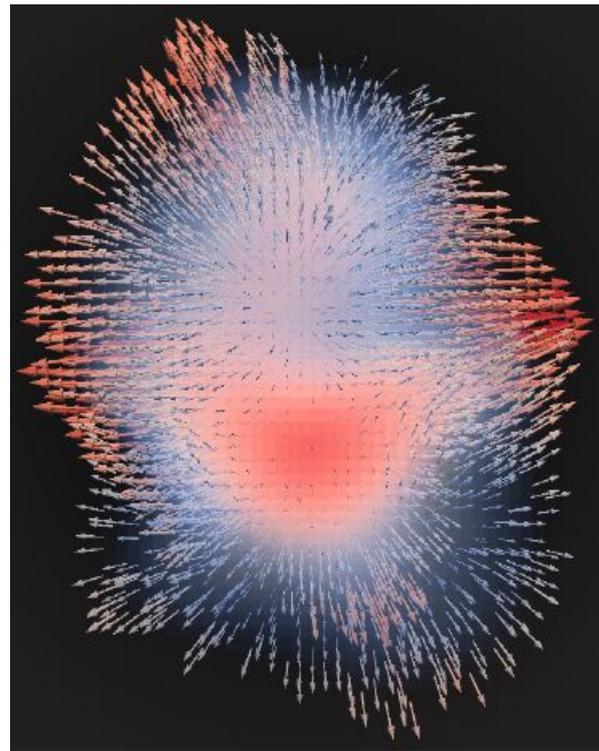
Medium created in a heavy-ion collision is

- extremely short-lived
- spatially extremely small
- inhomogeneous
- highly dynamical

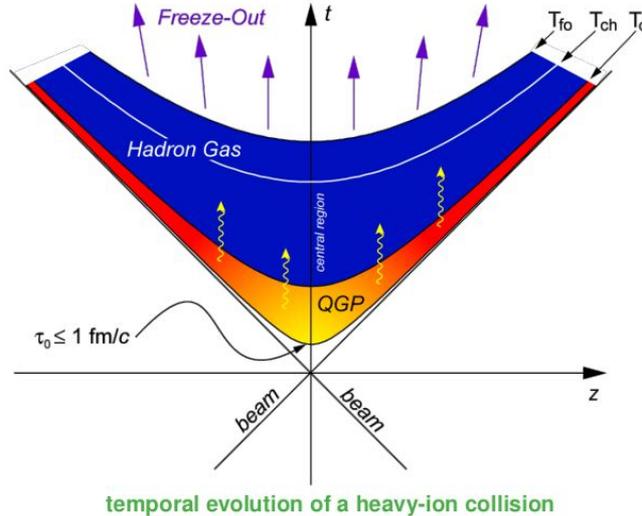
yet still the success of statistical models and fluid dynamical simulations points toward equilibrated matter (at least locally)!

→ Originate fluctuations from an equilibrated source?

2 approaches: statistical and dynamical modeling...



Significance of the chemical freeze-out



simplified picture:

- after QGP phase and confinement transition, phase with hadronic interactions
- **chemical freeze-out** when inelastic scatterings stop
→ hadro-chemistry fixed!
- kinetic freeze-out when elastic scatterings cease
→ spectra fixed!

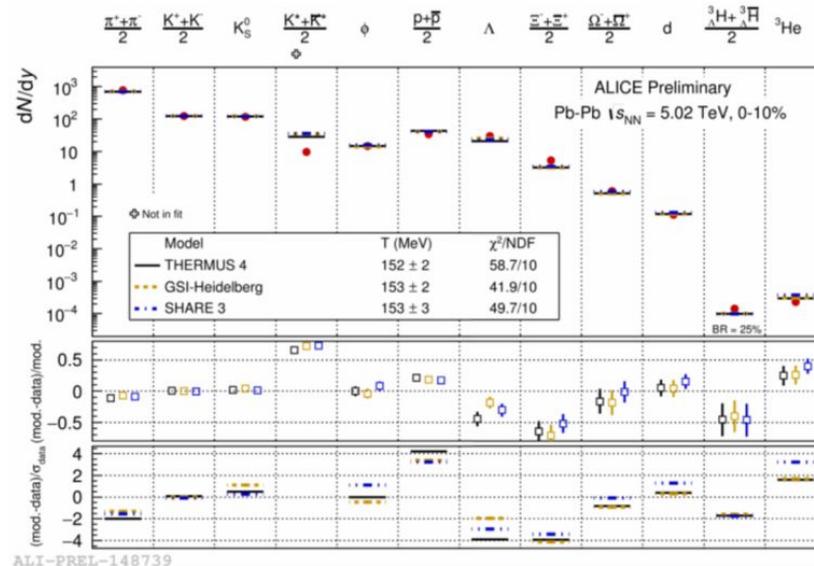
⇒ methods to determine chemical freeze-out conditions:

- traditionally via yields (multiplicities) and yield ratios
- alternatively via event-by-event multiplicity fluctuations

Chemical freeze-out conditions via yields

- ⇒ determine thermal conditions (T , μ_X) from yield ratios, volume V additionally needed for yields, via statistical hadronization model (SHM) fits

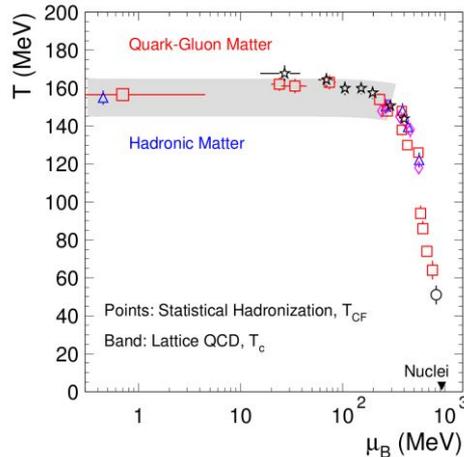
example from ALICE:



Chemical freeze-out conditions via yields

- ⇒ determine thermal conditions (T , μ_X) from yield ratios, volume V additionally needed for yields, via statistical hadronization model (SHM) fits

world-data analysis:



- analysis at various beam energies $\sqrt{s_{NN}}$ allows us to draw a chemical freeze-out curve

- trend: decreasing $\sqrt{s_{NN}} \rightarrow$ increasing μ_B and decreasing T

Theoretical framework

⇒ employ a Hadron Resonance Gas (HRG) model in grand-canonical ensemble formulation

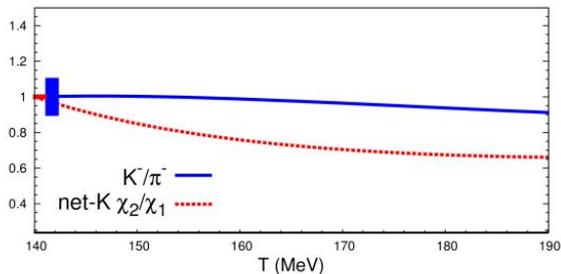
$$P = \sum_i (-1)^{B_i+1} \frac{d_i T}{(2\pi)^3} \int d^3k \ln \left[1 + (-1)^{B_i+1} z_i e^{-\epsilon_i/T} \right]$$

- particle energy $\epsilon_i = \sqrt{k^2 + m_i^2}$, mass m_i , degeneracy d_i
- fugacity $z_i = e^{\mu_i/T}$ with particle chemical potential $\mu_i = B_i\mu_B + S_i\mu_S + Q_i\mu_Q$ and $X_i = B_i, S_i, Q_i$ quantum numbers
- can impose physical conditions met in experiments:
 - net-strangeness neutrality $\langle n_S \rangle = 0$ and initial isospin distribution $\langle n_Q \rangle = a \langle n_B \rangle$ (Au+Au and Pb+Pb at mid-rapidity $a \simeq 0.4$) with $n_X = \sum_i X_i (\partial P / \partial \mu_i)_T$
 - phase-space acceptance limitations in k_T , y and ϕ via $\int d^3k$ and $\epsilon_i = \cosh(y) \sqrt{k_T^2 + m_i^2}$
- sum over all included PDG particles 319 confirmed (2012) or 738 species (2016)

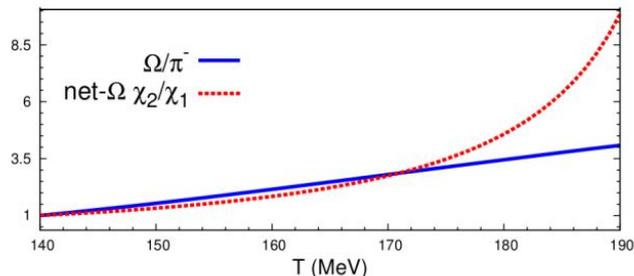
⇒ analyzed experimental data from STAR at RHIC!

Sensitivity - fluctuations vs. yields

⇒ higher-order cumulants of particle multiplicity distributions more sensitive to thermal conditions than particle yield ratios for certain final state hadrons [1504.03262](#)



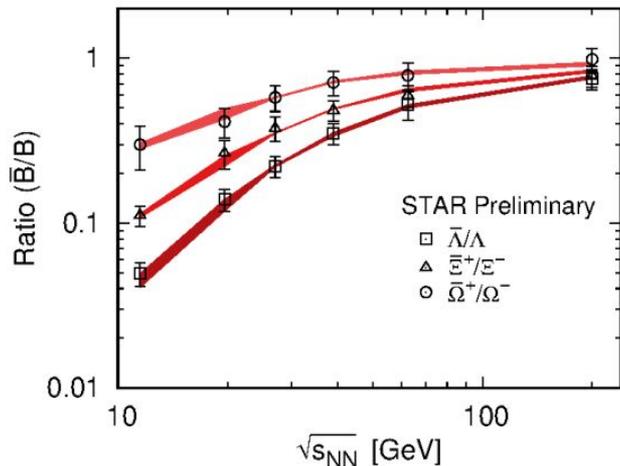
for $\mu_B = 0$



for $\mu_B = 0$

- net-kaon σ_K^2 / M_K sensitivity resolves experimentally achievable accuracy! → reliable determination of freeze-out conditions!
- motivates the use of net-kaon fluctuations!
- (anti-)hyperon sensitivity indifferent!

Data analysis - yield ratios

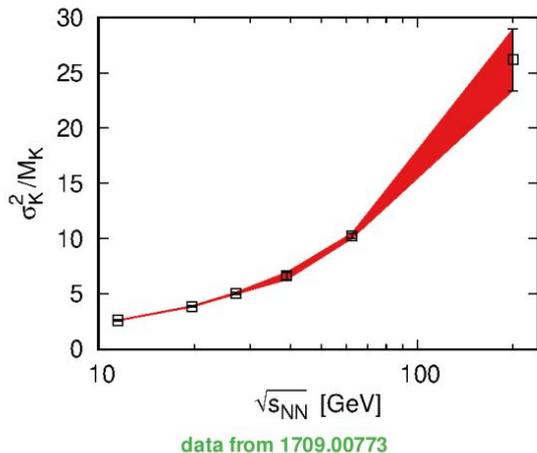


data from nucl-ex/0606014; 1010.0142; and preliminary data from CPOD 2013, 036 (2013)

- anti-hyperon–hyperon ratio \bar{B}/B for Λ , Ξ^- and Ω^- from ϕ - and k_T -integrated yields for given Δy -window
- significant contributions from strong resonance decays
- for Λ electromagnetic decay contributions from Σ^0
- preliminary data on \bar{B}/B confirmed recently 1906.03732

⇒ heavier (anti-)hyperons add some sensitivity to the determination of T , lighter (anti-)hyperons influence stronger μ_B

Data analysis - net-Kaon fluctuations

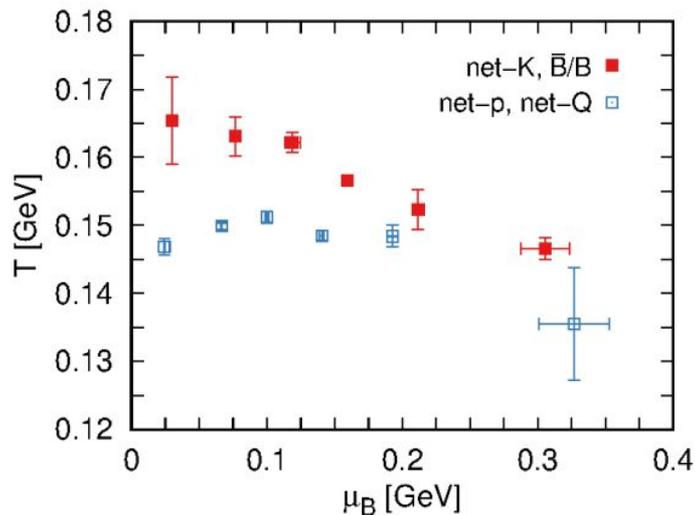


- lowest-order net-kaon fluctuations σ_K^2 / M_K
- data corrected for detector efficiency and centrality bin width
- systematic errors taken into account in the analysis
- acceptance limitations:
 $0.2 \leq k_T / (\text{GeV}/c) \leq 1.6$
and $|y| \leq 0.5$ with full azimuthal coverage

- ⇒ significant correlations between K^+ and K^- arise from strong resonance decays
- ⇒ determination of chemical freeze-out temperature sensitively influenced by net-kaon fluctuation data

Chemical freeze-out conditions

⇒ conditions for T and μ_X determined from a combined analysis (optimal fits) of \bar{B}/B -ratios and net-kaon fluctuations σ_K^2/M_K



from 1806.04499

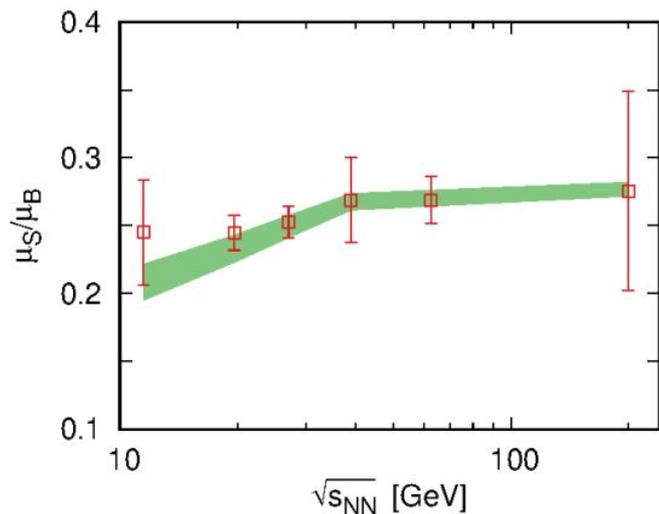
- T and μ_B from strangeness observables

$\sqrt{s_{NN}}/\text{GeV} = 200, 62.4, 39, 27, 19.6, 11.5$ left to right

- error bars from bands in fits
- T and μ_B from net- p and net- Q fluctuations
- significant difference in T for large $\sqrt{s_{NN}}$, approaching each other with decreasing $\sqrt{s_{NN}}$

Chemical freeze-out conditions

⇒ conditions for T and μ_X determined from a combined analysis (optimal fits) of \bar{B}/B -ratios and net-kaon fluctuations σ_K^2/M_K



from 1806.04499

- $\mu_Q/\mu_B \lesssim 0$
- strangeness neutrality requires sizeable μ_S/μ_B
- μ_S/μ_B from strangeness observables
- μ_S/μ_B from lattice QCD (Taylor expansion)
- agreement shows impact of unconfirmed strange sector particles in HRG model

Limitations of the approach

- shown error bars base entirely on systematic errors in the analyzed data!
- theoretical uncertainties may stem from:
 - ⇒ regeneration and subsequent decay of K^* resonances not taken into account - isospin randomization effect on net-kaon fluctuations
requires large pion density and long hadronic stage!
 - ⇒ exact global charge conservation on an event-by-event basis not taken into account
makes canonical ensemble formulation necessary!
 - ⇒ fluctuations in the number of participants for a given centrality class not taken into account
stronger for higher-order fluctuations and smaller beam energies!
 - ⇒ resonance decays and elastic scatterings in and out of acceptance window not taken into account
earlier estimates indicate rather small impact!

➔ Dynamical evolution of the system completely ignored!

Dynamical evolution of fluctuations

Temporal evolution of conserved charges happens via diffusion:

$$\partial_\tau n_X = \frac{\kappa}{\tau} \partial_y^2 \left(\frac{\mu_X}{T} \right)$$

(in Milne coordinates)

strangeness does not evolve independently from other conserved charges X
→ conserved charges are coupled!

for fluctuation studies include stochastic forcing with amplitude $\sim \kappa$
coupled stochastic diffusion equations:

$$\partial_\tau n_X(\tau, y) = \frac{\kappa_{XY}}{\tau} \partial_y^2 \left(\frac{\mu_Y}{T} \right) - \partial_y \xi_X$$

(sum over repeated index Y)

noise-noise correlation function:

$$\langle \xi_X(\tau, y) \xi_Y(\tau', y') \rangle = 2 \frac{\kappa_{XY}}{\tau} \delta(\tau - \tau') \delta(y - y')$$

→ diffusion matrix κ_{XY}

Diffusion matrix and coupled evolution

M. Greif et al. 1711.08680 (see also J.A. Fotakis et al. 2102.08140)

considered a hadronic gas composed of the 19 lightest hadron species

→ microscopic calculation of κ_{XY} as function of T and μ_B

→ self-contained study: EoS of hadron gas with 19 lightest species

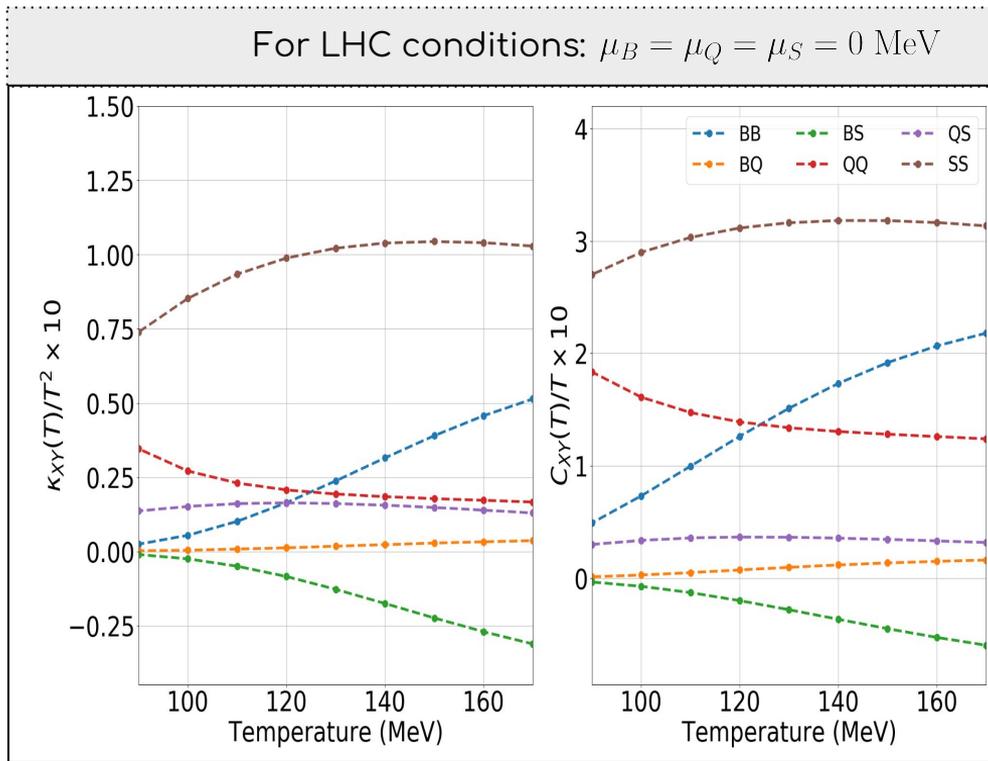
$$n_X = \frac{1}{2\pi^2} \sum_{\text{hadronic species } j} X_j d_j \int_0^\infty \frac{p^2 dp}{(-1)^{B_{j+1}} e^{(\sqrt{p^2+m_j^2}-B_j\mu_B-Q_j\mu_Q-S_j\mu_S)/T}}$$

$\pi^{+,-,0}$	$K^{+,-,0}$	\bar{K}^0
$p \bar{p}$	$n \bar{n}$	$\Lambda_0 \bar{\Lambda}_0$
$\Sigma_0 \bar{\Sigma}_0$	$\Sigma^{+,-}$	$\bar{\Sigma}^{+,-}$

temporal evolution of net-strangeness number coupled to net-baryon number and electric charge non-linearly via:

- deterministic currents
- fluctuation dissipation balance
- EoS

Diffusion matrix and Cholesky decomposition



noise-noise correlator $\sim \kappa$
 \rightarrow stochastic noise components

$$\xi_X \propto C_{XY} Z_Y$$

Cholesky decomposition

C is such that $CC^T = \kappa$ for semi-positive diffusion matrix

expectations: net-strangeness diffusion can best keep up with the expansion, net-strangeness and net-baryon number are anti-correlated, net-electric charge diffusion more effective at small T

Linear approximation to the coupled evolution

expansion of the net-densities to leading order in the chemical potentials:

$$n_X = \chi_{XY} \mu_Y + \mathcal{O}(\mu^2)$$

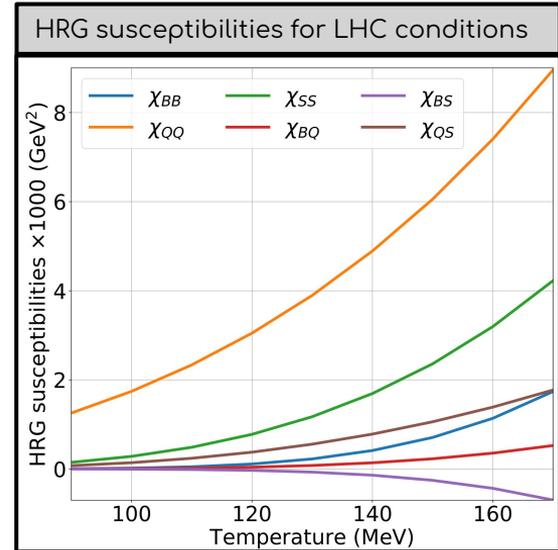
→ results in coupled diffusion equations that are linear in the net-charge densities

$$\partial_\tau n_X = \frac{D_{XY}(T, \mu_B, \mu_Q, \mu_S)}{\tau} \partial_y^2 n_Y - \sqrt{\frac{2}{\tau}} C_{XY}(T, \mu_B, \mu_Q, \mu_S) \partial_y Z_Y$$

$$D_{XY} = \frac{1}{T} \kappa_{XZ} (\chi^{-1})_{ZY}$$

(huge computational advantage)

expectations: fluctuations decrease in equilibrium as a function of T, net-electric charge and net-strangeness fluctuations will be large

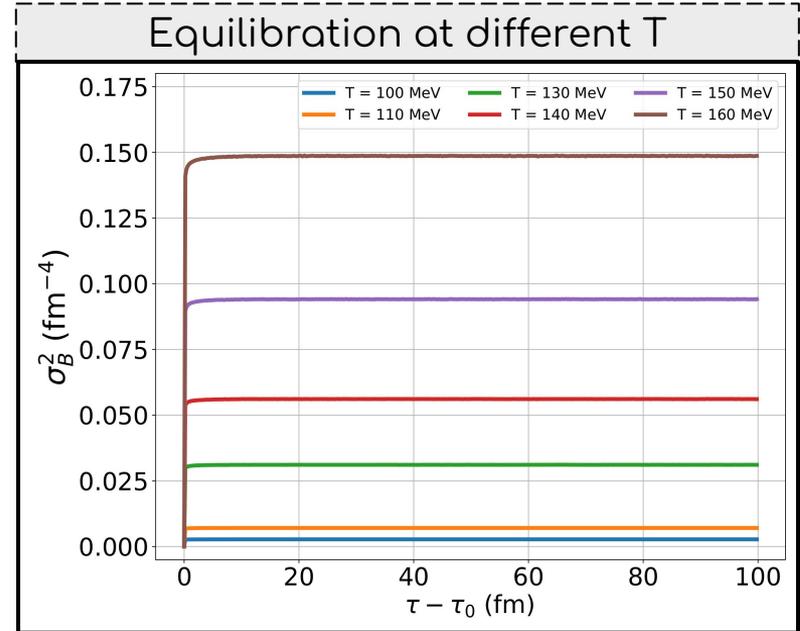


Decoupled n_B - dynamics: Equilibration

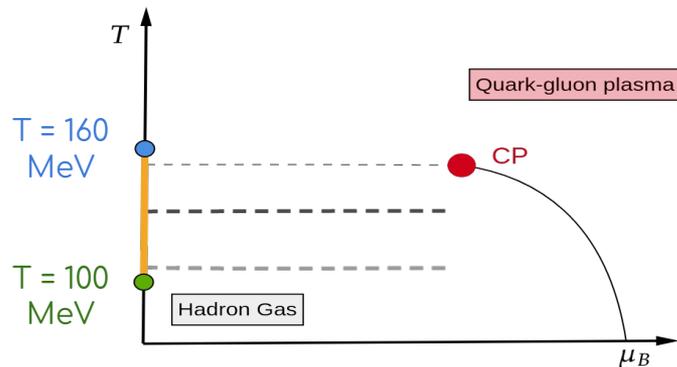
starting from arbitrary initial conditions in fixed size box at fixed T:

$$\kappa = \begin{pmatrix} \kappa_{BB} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

- variance of the net-baryon density equilibrates rather quickly
- equilibrium values decrease with T

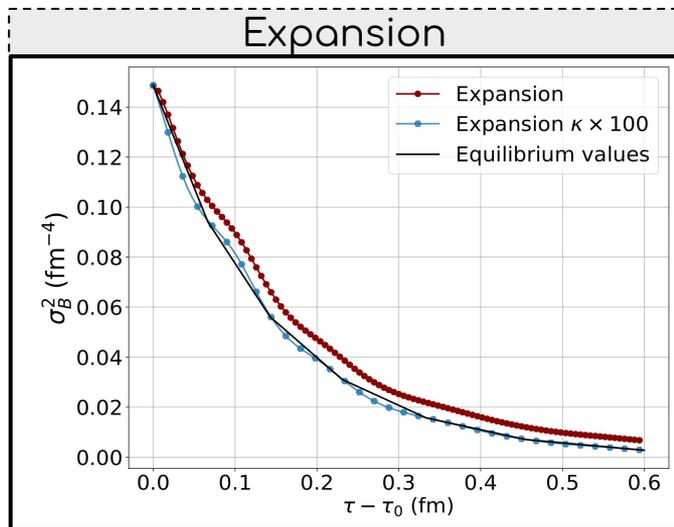


Decoupled n_B - dynamics: Expanding medium



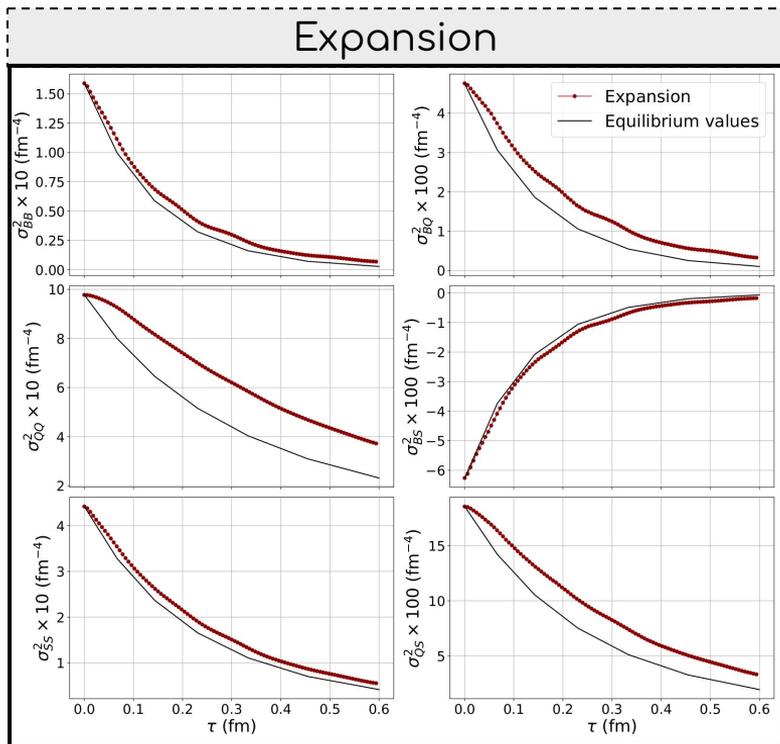
start from the equilibrated system at $T=160$ MeV and follow trajectory for LHC conditions:

- Bjorken expansion
- Hubble-like temperature cooling



- variance of the net-baryon density goes slightly out of equilibrium rather quickly and remains there
- 100 times stronger diffusion needed to maintain equilibrium

Coupled charge dynamics: Expanding medium



as before but now including charge coupling:

- coupling slightly increases equilibrium values for the variance
- net-baryon number and net-strangeness are anti-correlated (negative co-variance)
- net-electric charge and net-strangeness variances are largest (longest “lifetimes”)
- net-electric charge sector driven out of equilibrium quickly and strongly (maybe approaches equilibrium at later times)
- net-baryon number and net-strangeness remain closer to equilibrium

Attention: only local (co-)variances studied here → electric charge related observables survive longer

Conclusions

- determination of chemical freeze-out conditions from strangeness observables at different beam energies within the HRG model
- in general fluctuations more sensitive than yields and/or their ratios
- freeze-out conditions obtained from strangeness fluctuations compatible with SHM fits to hadron yields
- coupled charge dynamics already impacts density profiles
see [J.A. Fotakis et al. 1912.09103, NPA 1005 \(2021\)](#)
but even more so the fluctuations
- with realistic diffusion coefficients difficult to maintain equilibrium even locally on the level of the (co-)variances
- but if source originally in equilibrium → electric charge related sectors preferable as signals decay slower

Role of resonance decays

- net-kaon or net-proton numbers not conserved charges
- resonance decays can significantly modify final particle multiplicity distributions:
 $N_j = N_j^* + \sum_R N_R^* \langle n_j \rangle_R$ with N_j^* directly produced hadrons, $\langle n_j \rangle_R$ associated with branching ratios b_r^R
- event-by-event fluctuations arise from:
 - thermal fluctuations in N_j^* and N_R^*
 - **probabilistic character of the decay process** (b_r^R only means!)

explicit example for net-kaon number $M_K = M_{K^+} - M_{K^-}$:

$$\text{mean: } M_j = \langle N_j^* \rangle_T + \sum_R \langle N_R^* \rangle_T \langle n_j \rangle_R$$

$$\begin{aligned} \text{variance: } \sigma_K^2 = & \langle (\Delta N_{K^+}^*)^2 \rangle_T + \langle (\Delta N_{K^-}^*)^2 \rangle_T + \sum_R \langle (\Delta N_R^*)^2 \rangle_T \left(\langle n_{K^+} \rangle_R^2 + \langle n_{K^-} \rangle_R^2 \right) \\ & - 2 \sum_R \langle (\Delta N_R^*)^2 \rangle_T \langle n_{K^+} \rangle_R \langle n_{K^-} \rangle_R - 2 \sum_R \langle N_R^* \rangle_T \langle \Delta n_{K^+} \Delta n_{K^-} \rangle_R \\ & + \sum_R \langle N_R^* \rangle_T \left(\langle (\Delta n_{K^+})^2 \rangle_R + \langle (\Delta n_{K^-})^2 \rangle_R \right) \end{aligned}$$

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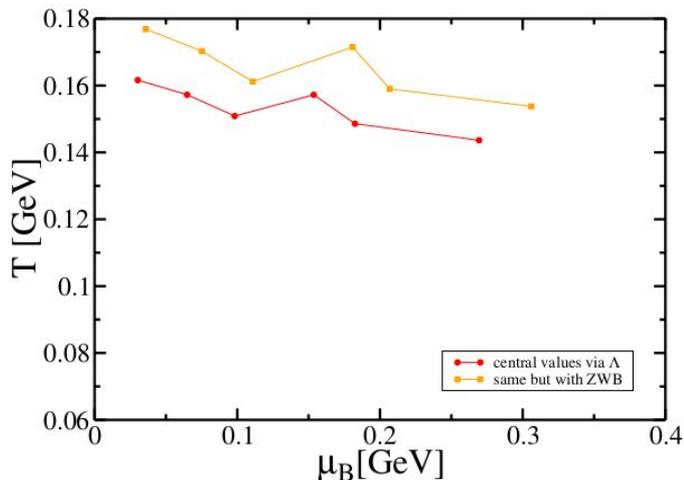
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explicit example for net-kaon number $M_K = M_{K^+} - M_{K^-}$:

- resonance decays cause correlation terms
- approach allows for a proper inclusion of $N(1650)$ or $\Xi(1690)^-$
(not just derivatives w.r.t. μ_S !)
- only thermal averages $\langle \cdot \rangle_T$ can be obtained from HRG model via derivatives, i.e. via χ_n

Improper inclusion of resonance decays

- probabilistic character of resonance decays (ZWB) has non-negligible effect on the results!



exemplary study with and without ZWB

- means unaffected, only σ_K^2 influenced
- combined analysis of \bar{B}/B and σ_K^2/M_K data yields 5% reduction in T and up to 18% reduction in μ_B when ignoring probabilistic contributions!
- consequence of missing correlations between K^+ and K^-

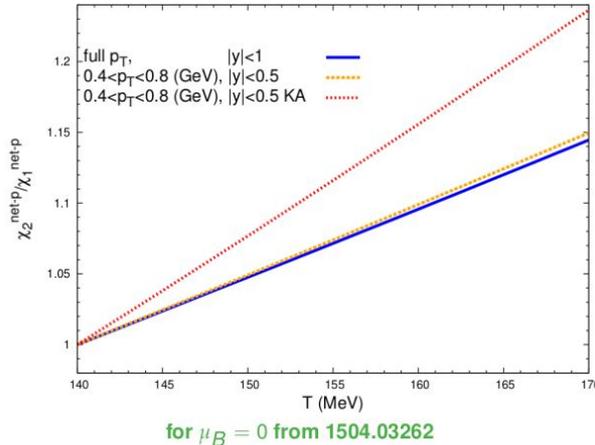
Impact of isospin randomization processes

reactions of the form



modify primordial protons into undetected neutrons

⇒ **isospin** of nucleons **randomized** after 2 cycles; depends on pion density and duration of hadronic phase compared to time for resonance regeneration plus decay



- KA-effect can be taken into account [1107.2755](#), [1205.3292](#)
- can cause up to 5 – 10% deviations in lowest-order fluctuations
- was essential for the determination of freeze-out conditions from net- p and net- Q fluctuations!

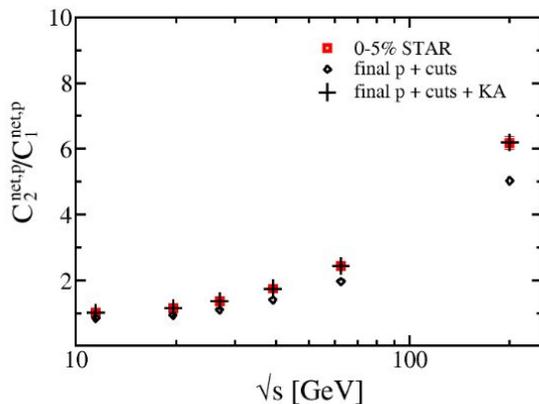
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from 1403.4903

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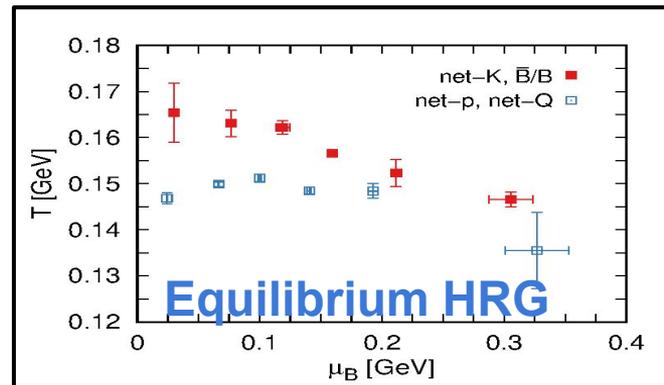
Impact on heavy-ion collision phenomenology

- Can the freeze-out conditions be reliably determined from fluctuation observables?

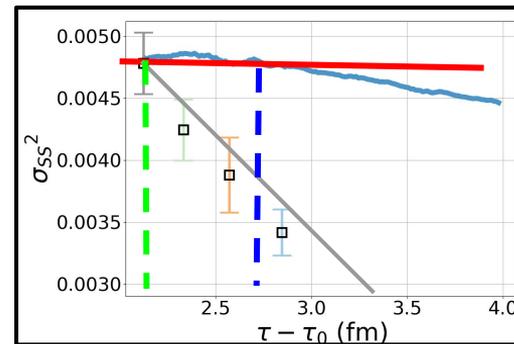
- Study of (net- p , net- Q) fluctuations and of (net- K fluctuations, $B\bar{B}$ yield ratios)
 - High sensitivity of fluctuations vs. yields on FO conditions.
- Separation of strange FO and light FO at highest beam energies.

- Coupled dynamics of fluctuations shows:

- If the prior QGP evolution/hadronization leads to equilibrium at $T \approx 160$ MeV:
Final fluctuations portray equilibrium at chemical freeze-out in the Q channels.
- In the S channel: FO temperatures obtained from the comparison of equilibrium HRG vs. experiment are **over-estimated** compared to dynamically expanding systems.



Bluhm, Nahrgang, Eur.Phys.J.C.79 (2019)
see also: Bellwied et al., Phys.Rev.C.99 (2019)



stochastic HRG diffusion