## Outline

1. Introduction
2. Chiral phase transition and critical endpoint
3. Color superconductivity
4. Inhomogeneous chiral phases


## COLOR SUPERCONDUCTIVITY



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$\rightarrow$ rearrangement of the Fermi surface
$\rightarrow$ gaps
- BCS pairing:
- pairs with vanishing total momentum: $\vec{p}^{(1)}=-\vec{p}^{(2)}$
- each partner close to the Fermi surface

$\rightarrow$ works only if $p_{F}^{(1)} \approx p_{F}^{(2)}$


## Diquark condensates

- QCD: attractive quark-quark interaction
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- most attractive channel:
- spin 0 (= antisymmetric)
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- example: $\quad(\uparrow \downarrow-\downarrow \uparrow) \otimes(r g-g r) \otimes(u d-d u)$


## Three-flavor systems

- Pairing patterns in flavor space:
no pairing: "normal quark matter" (NQ)
(1) ©


## Three-flavor systems

- Pairing patterns in flavor space:
two-flavor superconducting (2SC) phase
(+ two analogous phases with us or ds pairing)


## (s)



## Three-flavor systems

- Pairing patterns in flavor space:
uSC phase
(similar: dSC phase, sSC)



## Three-flavor systems

- Pairing patterns in flavor space:
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## Three-flavor systems

- Pairing patterns in flavor space: color-flavor locked (CFL) phase

- CFL pairing (more explicitly):

$$
\begin{aligned}
(\uparrow \downarrow-\downarrow \uparrow) \otimes( & (u d-d u) \otimes(r g-g r) \\
& +(d s-s d) \otimes(g b-b g) \\
& +(s u-u s) \otimes(b r-r b))
\end{aligned}
$$



## (More) formal definition of the phases

- Diquark condensates:

$$
\begin{aligned}
& (\uparrow \downarrow-\downarrow \uparrow) \otimes(u d-d u) \otimes(r g-g r) \leftrightarrow\left\langle q^{T} C \gamma_{5} \tau_{2} \lambda_{2} q\right\rangle \sim: \Delta_{2} \\
& (\uparrow \downarrow-\downarrow \uparrow) \otimes(d s-s d) \otimes(g b-b g) \leftrightarrow\left\langle q^{T} C \gamma_{5} \tau_{5} \lambda_{5} q\right\rangle \sim: \Delta_{5} \\
& (\uparrow \downarrow-\downarrow \uparrow) \otimes(s u-u s) \otimes(b r-r b) \leftrightarrow\left\langle q^{T} C \gamma_{5} \tau_{7} \lambda_{7} q\right\rangle \sim: \Delta_{7}
\end{aligned}
$$

$C=i \gamma^{2} \gamma^{0}$ charge conjugation matrix, $\quad C \gamma_{5} \rightarrow J^{P}=0^{+}$
$\tau_{A}$ : antisymmetric Gell-Mann matrices in flavor space
$\lambda_{A}$ : antisymmetric Gell-Mann matrices in color space

- Phases:
- NQ: $\Delta_{2}=\Delta_{5}=\Delta_{7}=0$
- 2SC: $\Delta_{2} \neq 0, \Delta_{5}=\Delta_{7}=0$
- CFL: $\Delta_{2}=\Delta_{5}=\Delta_{7} \neq 0$ (ideal case; realistic: $\Delta_{2} \approx \Delta_{5} \approx \Delta_{7} \neq 0$ )


## Symmetries of the 2SC phase

$$
\Delta_{2} \sim\left\langle q^{T} C \gamma_{5} \tau_{2} \lambda_{2} q\right\rangle
$$

- gauge symmetries:
- color: $q \rightarrow e^{i \theta^{\frac{\lambda}{2}} \frac{\lambda^{a}}{2}} q$ blue quarks unpaired $\Rightarrow S U(3)_{c} \rightarrow S U(2)_{c}$
$\rightarrow 5$ of the 8 gluons get a nonzero Meissner mass.
- electromagnetism: $q \rightarrow e^{i \alpha Q} q, Q=\operatorname{diag}_{f}\left(\frac{2}{3},-\frac{1}{3}\right) \quad$ broken

But there is an unbroken $U(1)$ gauge symmetry with charge $\tilde{Q}=Q-\frac{1}{2 \sqrt{3}} \lambda_{8}$.
$\rightarrow$ color superconductor but not electromagnetic superconductor

- global symmetries:
- baryon number: $q \rightarrow e^{i \alpha} q \Rightarrow \Delta_{2} \rightarrow e^{2 i \alpha} \Delta_{2} \quad$ broken

But there is an unbroken "modified baryon number" $q \rightarrow e^{i \alpha\left(1-\sqrt{3} \lambda_{8}\right)} q$

- $S U(2)_{L} \times S U(2)_{R}$ chiral symmetry: conserved
$\rightarrow$ same global symmetries as 2-flavor restored phase, no Goldstone bosons


## Symmetries of the (ideal) CFL phase

$$
\left\langle q^{T} C \gamma_{5} \tau_{2} \lambda_{2} q\right\rangle=\left\langle q^{T} C \gamma_{5} \tau_{2} \lambda_{2} q\right\rangle=\left\langle q^{T} C \gamma_{5} \tau_{2} \lambda_{2} q\right\rangle=\Delta
$$

- color: $S U(3)_{c}$ broken completely
- chiral symmetry: $S U(3)_{L} \times S U(3)_{R}$ broken completely but:
residual $S U(3)$ under combined color-flavor rotations: $q \rightarrow e^{i \theta_{a}\left(\tau_{a}-\lambda_{a}^{T}\right)} q$
$\rightarrow$ "color-flavor locking": $S U(3)_{c} \times S U(3)_{L} \times S U(3)_{R} \rightarrow S U(3)_{V+c}$
$\rightarrow 8$ massive gluons +8 pseudoscalar Goldstone bosons (chiral limit)
- baryon number: $U(1)$ broken $\rightarrow 1$ scalar Goldstone boson
- electromagnetism:
unbroken $U(1)$ gauge symmetry with charge $\tilde{Q}=Q-\frac{1}{2} \lambda_{3}-\frac{1}{2 \sqrt{3}} \lambda_{8}$
$\rightarrow$ color but not electromagnetic superconductor, baryon number superfluid


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- Expected phase structure:
- $\mu \gg M_{s} \Rightarrow p_{F}^{(s)} \approx p_{F}^{(u, d)} \rightarrow \mathrm{CFL}$
- $\mu \lesssim M_{s} \Rightarrow p_{F}^{(s)} \ll p_{F}^{(u, d)} \rightarrow 2 S C$

Figure: educated guess [Rajagopal (1999)]


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Figure: NJL [M. Oertel, MB (2002); MB (2005)]


## NJL-model treatment of color superconductivity

- NJL-type Lagrangian: $\quad \mathscr{L}=\mathscr{L}_{0}+\mathscr{L}_{\bar{q} q}+\mathscr{L}_{q q}$
- free part:

$$
\mathscr{L}_{0}=\bar{q}(i \not \partial-\hat{m}) q, \quad \hat{m}=\operatorname{diag}_{f}\left(m_{u}, m_{d}, m_{s}\right), \quad m_{u}=m_{d}
$$

- quark-antiquark interaction:

$$
\mathscr{L}_{\bar{q} q}=G\left[\left(\bar{q} \tau^{a} q\right)^{2}+\left(\bar{q} i \gamma_{5} \tau^{a} q\right)^{2}\right]-K\left[\operatorname{det}_{f}\left(\bar{q}\left(1+\gamma_{5}\right) q\right)+\operatorname{det}_{f}\left(\bar{q}\left(1-\gamma_{5}\right) q\right)\right]
$$

- quark-quark interaction:

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\mathscr{L}_{q q}=H\left(\bar{q} i \gamma_{5} \tau_{A} \lambda_{A^{\prime}} C \bar{q}^{T}\right)\left(q^{T} C i \gamma_{5} \tau_{A} \lambda_{A^{\prime}} q\right)+(\text { pseudoscalar })
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- considered condensates:
- $\phi_{u}=\langle\bar{u} u\rangle, \quad \phi_{d}=\langle\bar{d} d\rangle, \quad \phi_{a}=\langle\bar{s} s\rangle$
$\rightarrow$ constituent masses: $M_{u}=m_{u}-4 G \phi_{u}+2 K \phi_{d} \phi_{s}, \quad M_{d}=\ldots, \quad M_{s}=\ldots$
- gap parameters: $\Delta_{A}=-2 H\left\langle q^{T} C \gamma_{5} \tau_{A} \lambda_{A} q\right\rangle$


## Nambu-Gor'kov formalism

- Mean-field approximation:

$$
\begin{aligned}
\mathscr{L}_{\mathrm{MF}} & =\bar{q}(i \not \partial-\hat{M}) q+\frac{1}{2} \Delta_{A}\left(\bar{q} \gamma_{5} \tau_{A} \lambda_{A} C \bar{q}^{T}\right)+\frac{1}{2} \Delta_{A}^{*}\left(q^{T} C \gamma_{5} \tau_{A} \lambda_{A} q\right) \\
& -2 G\left(\phi_{u}^{2}+\phi_{d}^{2}+\phi_{s}^{2}\right)+4 K \phi_{u} \phi_{d} \phi_{s}-\frac{1}{4 H} \sum_{A}\left|\Delta_{A}\right|^{2}
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- Artificially double number of degrees of freedom:

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\Psi=\frac{1}{\sqrt{2}}\binom{q}{C \bar{q}^{\top}} \quad \text { "Nambu-Gor'kov spinors" }
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$$

$$
\Rightarrow \mathscr{L}_{\mathrm{MF}}+\mu \gamma^{0}=\bar{\psi} S^{-1} \Psi-\mathcal{V}
$$

- inverse NG propagator: $S^{-1}=\left(\begin{array}{cc}i \not \partial-\hat{M}+\mu \gamma^{0} & \sum_{A} \Delta_{A} \gamma_{5} \tau_{A} \lambda_{A} \\ -\sum_{A} \Delta_{A}^{*} \gamma_{5} \tau_{A} \lambda_{A} & i \not \partial-\hat{M}-\mu \gamma^{0}\end{array}\right)$
- "potential": $\mathcal{V}=2 G\left(\phi_{U}^{2}+\phi_{d}^{2}+\phi_{S}^{2}\right)-4 K \phi_{u} \phi_{d} \phi_{S}+\frac{1}{4 H} \sum_{A}\left|\Delta_{A}\right|^{2}$


## Thermodynamic potential

- Mean-field Lagrangian: $\mathscr{L}_{\text {MF }}+\mu \gamma^{0}=\bar{\Psi} S^{-1} \Psi-\mathcal{V}$

$$
\Rightarrow \Omega(T, \mu)=-\frac{1}{2} \frac{T}{V} \operatorname{Tr} \ln \frac{S^{-1}}{T}+\mathcal{V}
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$$

- Result after turning out the Matsubara sum:

$$
\Omega(T, \mu)=-\frac{1}{2} \sum_{\lambda} \int \frac{d^{3} k}{(2 \pi)^{3}}\left\{\frac{\left|E_{\lambda}\right|}{2}+T \ln \left(1+e^{-\left|E_{\lambda}\right| / T}\right)\right\}+\mathcal{V}
$$

- effective Dirac Hamiltonian: $S^{-1}=\gamma^{0}\left(i \omega_{n}-H\right)$
- dispersion relations: $E_{\lambda}(\vec{k})=$ eigenvalues of $H$


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- effective Dirac Hamiltonian: $S^{-1}=\gamma^{0}\left(i \omega_{n}-H\right)$
- dispersion relations: $E_{\lambda}(\vec{k})=$ eigenvalues of $H$
- Dimension: $2(\mathrm{NG}) \times 4($ Dirac $) \times N_{f} \times N_{c}$
- $N_{f}=2$ : 48 eigenvalues
- $N_{f}=3: 72$ eigenvalues
(always in pairs $\left(E_{\lambda},-E_{\lambda}\right)$ )


## Example 1: 2SC phase in the chiral limit

$4 \times 2 \times 3=24$ positive eigenvalues

- paired (red and green) quarks:
- $\omega_{-}(\vec{p})=\sqrt{(|\vec{p}|-\mu)^{2}+|\Delta|^{2}}$
(8 fold)
- $\omega_{+}(\vec{p})=\sqrt{(|\vec{p}|+\mu)^{2}+|\Delta|^{2}}$
(8 fold)
- unpaired (blue) quarks:

- $\epsilon_{-}(\vec{p})=||\vec{p}|-\mu| \quad(4$ fold $)$
- $\epsilon_{+}(\vec{p})=||\vec{p}|+\mu| \quad$ (4 fold)


## Example 2: ideal CFL phase in the chiral limit

$4 \times 3 \times 3=36$ positive eigenvalues

- octet:
- $\omega_{8,-}(\vec{p})=\sqrt{(|\vec{p}|-\mu)^{2}+|\Delta|^{2}}$
(16 fold)
- $\omega_{8,+}(\vec{p})=\sqrt{(|\vec{p}|+\mu)^{2}+|\Delta|^{2}}$
(16 fold)
- singlet:
- $\omega_{1,-}(\vec{p})=\sqrt{(|\vec{p}|-\mu)^{2}+|2 \Delta|^{2}}$
(2 fold)
- $\omega_{1,+}(\vec{p})=\sqrt{(|\vec{p}|+\mu)^{2}+|2 \Delta|^{2}}$
(2 fold)


## Phase diagram with realistic quark masses

[M. Oertel, MB (2002)]


- Most eigenvalues have to be found numerically.
- Cutoff artifacts at high $\mu$ (not only, see Hosein's talk)


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$\Delta_{2} \quad \Delta_{5,7}$
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$\rightarrow M_{s}$ large in the 2SC phase
$\rightarrow$ stabilizes the 2SC phase
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- NJL model: treatment of (dynamical) masses and gaps on an equal footing
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$M_{s}=m_{s}-4 G\langle\bar{s} s\rangle+2 K\langle\bar{u} u\rangle\langle\bar{d} d\rangle$
$\rightarrow M_{s}$ large in the 2SC phase
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- Dyson-Schwinger QCD studies [Nickel, Alkofer, Wambach (2006)]


$\rightarrow$ gluons screened by light quarks
$\rightarrow M_{s}$ smaller in the 2SC phase
$\rightarrow$ CFL phase favored much earlier
$M_{u, d} \quad M_{s}$
$\begin{array}{ll}\Delta_{2} & \Delta_{5,7}\end{array}$



## Compact star conditions

- constraints in compact stars:
- color neutrality:

$$
n_{r}=n_{g}=n_{b}
$$

- electric neutrality: $\quad n_{Q}=\frac{2}{3} n_{u}-\frac{1}{3} n_{d}-\frac{1}{3} n_{s}-n_{e}=0$
- $\beta$ equilibrium: $\quad \mu_{e}=\mu_{d}-\mu_{u} \Rightarrow n_{e} \ll n_{u, d}$


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$\left.\begin{array}{l}\text { - electric neutrality: } \\ \text { - } \beta \text { equilibrium: }\end{array}\right\} \quad \frac{2}{3} n_{u}-\frac{1}{3} n_{d}-\frac{1}{3} n_{s} \approx 0$


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- Expansion in small $M_{s}$ [Alford, Rajagopal (2002)]
$\rightarrow$ equidistant splitting
$\rightarrow$ no 2SC phase in compact stars



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- Expansion in small $M_{s}$ [Alford, Rajagopal (2002)]
$\rightarrow$ equidistant splitting
$\rightarrow$ no 2SC phase in compact stars
- Large $M_{s}$
$\rightarrow n_{s} \approx 0, n_{d} \approx 2 n_{u} \Rightarrow p_{F}^{(d)} \approx 2^{1 / 3} p_{F}^{(u)} \approx 1.26 p_{F}^{(u)}$
$\rightarrow$ 2SC pairing possible for strong couplings



## Neutral matter: further aspects

1. role of electrons in unpaired quark matter

- two massles flavors:
neutral matter: $\frac{2}{3} n_{u}-\frac{1}{3} n_{d}-n_{e}=0$
densities at $T=0: n_{u}=\frac{\mu_{u}^{3}}{\pi^{2}}, \quad n_{d}=\frac{\mu_{d}^{3}}{\pi^{2}}, \quad n_{e}=\frac{\mu_{e}^{3}}{3 \pi^{2}}=\frac{\left(\mu_{d}-\mu_{u}\right)^{3}}{3 \pi^{2}}$
$\rightarrow$ expectation: $n_{e}$ very small
$\Rightarrow n_{d} \approx 2 n_{u} \Rightarrow \mu_{d} \approx 2^{1 / 3} \mu_{u} \Rightarrow n_{e} \approx \frac{1}{3}\left(2^{1 / 3}-1\right)^{3} n_{u} \approx 0.006 n_{u}$
$\rightarrow$ expectation confirmed
- including strange quarks: $n_{e}$ even lower
$\Rightarrow$ electrons in electrically neutral normal quark matter negligible


## 2. CSC phases

- General property of (color-) super conducting matter at $T=0$ : equal densities of pairing partners


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- General property of (color-) super conducting matter at $T=0$ : equal densities of pairing partners
- CFL: $n_{u, g}=n_{d, r}, \quad n_{u, b}=n_{s, r}, n_{d, b}=n_{s, g}, \ldots$
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$\Rightarrow \quad$ 2SC phase strongly affected by neutrality condistions


## NJL model results

Phase diagram without neutrality constraints
[M. Oertel, MB (2002)]


## NJL model results

Phase diagram with neutrality constraints: "strong" qq coupling ( $H=G$ )
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- unequal Fermi momenta: $\quad p_{F}^{a, b}=\bar{p}_{F} \pm \delta p_{F}$
free energy



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m_{M, a}^{2}=-\frac{1}{2} \lim _{\vec{p} \rightarrow 0}\left(g_{i j}+\frac{p_{i} p_{j}}{p^{2}}\right) \Pi_{a a}^{i j}(0, \vec{p})
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- chromomagnetic instability: $m_{M, a}^{2}<0$ for $\delta p_{F}>\left\{\begin{array}{cl}\frac{\Delta}{\sqrt{2}} & a=4, \ldots, 7 \\ \Delta & a=8\end{array}\right.$


## Chromomagnetic instabilities

- Phase diagram with instability regions
[Fukushima (2005)]



## Main issues



- strong parameter dependence
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- Functional renormalization group:
[Braun, Schallmo (2022)]
- study 2SC pairing at $T=0$ by solving QCD flow equations at large $\mu \rightarrow$ very large gaps!

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\text { Equations al large } \mu \sim \text { very large gaps: }
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- Fitting NJL parameters to astrophysical constraints and heavy-ion data:
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(see also [Annala et al. (2020)])
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- Signals of CSC in the gravitational-wave spectrum from neutron-star mergers?

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- Proto-neutron stars: neutrinos trapped during the first few seconds
$\rightarrow$ lepton number conserved
$\rightarrow$ more electrons:

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- also relevant for neutron-star mergers!

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## Main issues



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## Kaon condensation in the CFL phase

- CFL: chiral symmetry broken $\rightarrow$ Goldstone bosons $\sim \mathcal{O}(10 \mathrm{MeV})$
[Son, Stephanov, PRD (2000)]
$>\mu_{s}^{e f f} \simeq \frac{m_{s}^{2}-m_{u}^{2}}{2 \mu} \rightarrow K^{0}$ condensation $\quad$ [T. Schäfer, PRL (2000); Bedaque, Schäfer, NPA (2002)]
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[H. Basler, M.B., PRD (2010); H. Warringa (2006)]


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- chromomagnetic instabilities = instabilities towards LOFF phases in CSC? [Giannakis, Ren; Giannakis, Hou, Ren, PLB (2005)]


## LOFF phases in color superconductivity

- Review: [Anglani et al., Rev. Mod. Phys. (2014)])
- Most works in literature:
- single plane wave (FF)
e.g., [Alford, Bowers, Rajagopal (2001), Sedrakian, Rischke (2009)]
- superposition of several plane waves with different directions, but equal wave lengths (mostly Ginzburg-Landau analyses)
e.g., [Bowers, Rajagopal (2002), Casalbuoni et al. (2006)]
- Alternative framework:

[Rajagopal, Sharma, PRD (2006)]
[D. Nickel, M.B., PRD (2009)]
- NJL model for inhomogeneous pairing
- superimpose different wave lengths


## NJL-model for LO phases

[D. Nickel, M.B., PRD (2009)]

- space dependent mean-fields: $\quad \Delta(\vec{x}) \propto\left\langle q^{\top}(\vec{x}) C \gamma_{5} \tau_{2} \lambda_{2} q(\vec{x})\right\rangle$


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favored gap functions:

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