## Outline

1. Introduction
2. Chiral phase transition and critical endpoint
3. Color superconductivity $\checkmark$
4. Inhomogeneous chiral phases


# INHOMOGENEOUS CHIRAL PHASES 



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- $\chi \mathrm{SB}=$ quark-antiquark pairing
- favored for vanishing Fermi momenta
- stressed by nonzero densities
- tradeoff: spatially varying chiral condensate
- quarks in regions of low $\langle\bar{q} q\rangle$

[Kojo et al. (2010)]


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- chiral phase transition in the NJL model [D. Nickel, PRD (2009)]
including inhomogeneous phase

- first-order phase boundary completely covered by the inhomogeneous phase
- all phase boundaries second order (mean-field artifact?)
- tricritical point
$\rightarrow$ Lifshitz point
[Nickel, PRL (2009)]


## Inhomogeneous chiral phases: (incomplete) historical overview

- 1960s:
- spin-density waves in nuclear matter (Overhauser)
- 1970s - 1990s:
- p-wave pion condensation (Migdal)
- chiral density wave (Dautry, Nyman)
- Skyrme crystals (Goldhaber, Manton)
- after 2000:
- 1+1 D Gross-Neveu model (Thies et al.)
- quarkyonic matter
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Thies, Urlichs (2003)

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## NJL Model

- Lagrangian:

$$
\mathscr{L}=\bar{\psi}(i \not \partial-m) \psi+G\left[(\bar{\psi} \psi)^{2}+\left(\bar{\psi} i \gamma_{5} \vec{\tau} \psi\right)^{2}\right]
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- retain space dependence!
- mean-field thermodynamic potential:

$$
\Omega_{M F}(T, \mu)=-\frac{T}{V} \ln \int \mathcal{D} \bar{\psi} \mathcal{D} \psi \exp \left(\int_{x \in\left[0, \frac{1}{\tau}\right] \times V}\left(\mathscr{L}_{M F}+\mu \bar{\psi} \gamma^{0} \psi\right)\right)
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- mean-field Lagrangian:

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\mathscr{L}_{M F}=\bar{\psi}(x) \mathcal{S}^{-1}(x) \psi(x)-G\left[S^{2}(\vec{x})+P^{2}(\vec{x})\right]
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- constituent mass functions: $M(\vec{x})=m-2 G[S(\vec{x})+i P(\vec{x})]$
- $H_{M F}$ hermitean $\Rightarrow$ can (in principle) be diagonalized ( eigenvalues $E_{\lambda}$ )
- $H_{M F}$ time-independent $\Rightarrow$ Matsubara sum as usual


## Mean-field thermodynamic potential

- thermodynamic potential:

$$
\Omega_{M F}(T, \mu ; S, P)=-\frac{T}{V} \operatorname{Tr} \ln \left(\frac{1}{T}\left(i \partial_{0}-H_{M F}+\mu\right)\right)+\frac{G}{V} \int_{V} d^{3} x\left(S^{2}(\vec{x})+P^{2}(\vec{x})\right)
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- Minimize $\Omega_{M F}$ w.r.t. $M(\vec{x})$ difficulty: functional minimization w.r.t. arbitrary shapes


## Strategies

- Restricted ansätze for the condensate modulation
$\rightarrow$ minimize $\Omega_{\text {MF }}$ w.r.t. a finite number of parameters
- ansätze for which $H_{M F}$ can be diagonalized analytically
- brute-force numerical diagonalization of $H_{M F}$
- Stability and Ginzburg-Landau anlayses
$\rightarrow$ investigate the stability of the homogeneous ground state w.r.t. small inhomogeneous fluctuations


## Ansätze which can be diagonalized analytically

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no explicit $\vec{x}$ dependence $\rightarrow$ can be diagonalized analytically!
- dispersion relations: $E_{ \pm}^{2}(\vec{p})=\vec{p}^{2}+\Delta^{2}+\frac{\vec{q}^{2}}{4} \pm \sqrt{\Delta^{2} \vec{q}^{2}+(\vec{q} \cdot \vec{p})^{2}}$


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$M(z)=\sqrt{\nu} \Delta \operatorname{sn}(\Delta z \mid \nu) \quad$ (chiral limit)
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- $M(z)$ real $\Rightarrow$ purely scalar "real kink cystal" (RKC)
- remaining task:
- minimize w.r.t. 2 parameters: $\Delta, \nu$
- (almost) as simple as CDW, but more powerful
- $m \neq 0$ : 3 parameters


## Mass functions and density profiles ( $T=0$ )

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## Free energy difference

[D. Nickel, PRD (2009)]


- homogeneous chirally broken
- Jacobi elliptic functions
- chiral density wave:
$M_{C D W}(z)=M_{1} e^{i q z}$
- soliton lattice favored, when it exists
- $\delta \Omega_{\text {Jacobi }} \approx 2 \delta \Omega_{\text {CDW }} \Rightarrow$ CDW never favored


## Self-bound quark matter

[M.B., S. Carignano, PRD (2013)]

- 1D inhomogeneous solutions:
homogeneous matter decays into domain-wall solitons


- If it was 3D: Hadronization!
- single-soliton properties:
- $\frac{E}{N}=\mu_{c, \text { inh }} \sim 325 \mathrm{MeV} \Rightarrow$ "baryon" mass: $M_{B}=3 \frac{\mathrm{E}}{N} \sim 975 \mathrm{MeV}$
- central density: $\rho_{B}=\frac{1}{4 \pi} M_{\text {vac }} \mu_{c, \text {,hh }}^{2} \sim 2.1 \rho_{0}$
- longitudinal size: $\sqrt{\left\langle Z^{2}\right\rangle}=\frac{\pi}{\sqrt{12}} \frac{1}{M_{\text {vac }}} \sim .5 \mathrm{fm}$
- but it's only 1D modulations ...
$\rightarrow$ revisit chiral solitons !? [Alkofer, Reinhardt, Weigel; Goeke et al.; Ripka; ...]


## Two-dimensional modulations

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[S. Carignano, M.B., PRD (2012)]

- no known analytical solutions
$\rightarrow \quad$ brute-force numerical diagonalization of $H$ for a given ansatz
- consider two shapes:
- square lattice ("egg carton")

$$
M(x, y)=M \cos (Q x) \cos (Q y)
$$



- hexagonal lattice

$$
M(x, y)=\frac{M}{3}\left[2 \cos (Q x) \cos \left(\frac{1}{\sqrt{3}} Q y\right)+\cos \left(\frac{2}{\sqrt{3}} Q y\right)\right]
$$



- minimize both cases numerically w.r.t. $M$ and $Q$


## Two-dimensional modulations: results

- amplitudes and wave numbers:
- egg carton:

- hexagon:



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free-energy gain at $T=0$ :

- 2d not favored over 1d in this regime


## Stability and Ginzburg-Landau analyses

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## General idea:

- Stability analysis:
- Minimize $\Omega_{\mathrm{MF}}$ w.r.t. homogeneous mean fields $\rightarrow S=\bar{S}=$ const., $P=0$
- Study effect of small inhomogeneous fluctuations $\delta S(\vec{x}), \delta P(\vec{x})$


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- Ginzburg-Landau analysis:
- additional expansion in small gradients $\vec{\nabla} S(\vec{x}), \vec{\nabla} P(\vec{x})$
- best suited to identify critical and Lifshitz points


## Reminder

- chiral phase transition in the NJL model (chiral limit) [D. Nickel, PRD (2009)]



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- How was this shown? [Nickel, PRL (2009)]


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including inhomogeneous phase

- tricritical point $\rightarrow$ Lifshitz point
- How was this shown? [Nickel, PRL (2009)]
- How is it away from the chiral limit?
[MB, Carignano, PRB (2018)]


## Ginzburg-Landau analysis

- Simplifications:
- chiral limit $m=0$ (will be relaxed later)
- $P=0$ (to simplify the notation, can be included straightforwardly)
$\rightarrow$ order parameter $M(\vec{x})=-2 G S(\vec{x}) \quad$ ("constituent quark mass")
$\rightarrow \Omega_{M F}=\Omega_{M F}[M]$


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$\rightarrow \Omega_{M F}=\Omega_{M F}[M]$
- Assumptions: $M,|\nabla M|$ small (holds near the LP)
$\rightarrow$ expansion of the thermodynamic potential.

$$
\Omega[M]=\Omega[0]+\frac{1}{V} \int_{V} d^{3} x\left\{\alpha_{2} M^{2}(\vec{x})+\alpha_{4, a} M^{4}(\vec{x})+\alpha_{4, b}|\vec{\nabla} M(\vec{x})|^{2}+\ldots\right\}
$$

- $\alpha_{n}=\alpha_{n}(T, \mu)$ : GL coefficients
- chiral symmetry: only even powers allowed
- stability: higher-order coeffs. positive


## Tricritical and Lifshitz point

- GL expansion: $\Omega[M]=\Omega[0]+\frac{1}{V} \int_{V} d^{3} x\left\{\alpha_{2} M^{2}+\alpha_{4, a} M^{4}+\alpha_{4, b}|\vec{\nabla} M|^{2}+\ldots\right\}$


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case 1.1: $\alpha_{4, a}>0$
- $\alpha_{2}>0 \Rightarrow$ restored phase



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$\Rightarrow \quad$ tricritical point (TCP): $\quad \alpha_{2}=\alpha_{4, a}=0$


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- 1st-order phase trans. at $\alpha_{2}>0$
- case 2: $\alpha_{4, b}<0$
- inhomogeneous phase possible


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- 2nd-order phase boundary inhom. - restored: $\alpha_{4, b}<0, \alpha_{2}>0$ finite wavelength, amplitude $\rightarrow 0$


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Lifshitz point (LP): $\quad \alpha_{2}=\alpha_{4, b}=0$

- 2nd-order phase boundary inhom. - restored: $\alpha_{4, b}<0, \alpha_{2}>0$ finite wavelength, amplitude $\rightarrow 0$


## Away from the chiral limit

- $m \neq 0$ : no chirally restored solution $M=0$
$\rightarrow$ expand about a priory unknown constant mass $M_{0}$ :

$$
\Omega[M]=\Omega\left[M_{0}\right]+\frac{1}{V} \int d^{3} x\left(\alpha_{1} \delta M+\alpha_{2} \delta M^{2}+\alpha_{3} \delta M^{3}+\alpha_{4, a} \delta M^{4}+\alpha_{4, b}(\nabla \delta M)^{2}+\ldots\right)
$$

- small parameters: $\delta M(\vec{x}) \equiv M(\vec{x})-M_{0}, \quad|\nabla \delta M(\vec{x})|$
- GL coefficients: $\alpha_{j}=\alpha_{j}\left(T, \mu, M_{0}\right)$
- odd powers allowed
- require $M_{0}=$ extremum of $\Omega$ at given $T$ and $\mu$

$$
\Rightarrow \alpha_{1}\left(T, \mu, M_{0}\right)=0 \quad \rightarrow \quad M_{0}=M_{0}(T, \mu) \quad \text { (= homogeneous gap equation) }
$$

## CEP and pseudo Lifshitz point

- GL expansion:

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- spinodals: left: $\alpha_{2}=0, \alpha_{3}<0$, right: $\alpha_{2}=0, \alpha_{3}>0$,


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$\rightarrow$ pseudo Lifshitz point (PLP): $\quad \alpha_{2}=\alpha_{4, b}=0$


## Summarizing: <br> GL analysis of critical and Lifshitz points

- chiral limit ( $m=0$ ):
- expansion about $M=0$
- TCP: $\alpha_{2}=\alpha_{4, a}=0$
- LP: $\alpha_{2}=\alpha_{4, b}=0$
- away from the chiral limit $(m \neq 0)$ :
- expansion about $M_{0}(T, \mu)$ solving $\alpha_{1}\left(T, \mu, M_{0}\right)=0$
- CEP: $\alpha_{2}=\alpha_{3}=0$
- PLP: $\alpha_{2}=\alpha_{4, b}=0$


## Determination of the GL coefficients

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- NJL mean-field thermodynamic potential:

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$\Rightarrow \quad \Omega_{M F}=-\frac{T}{V} \operatorname{Tr} \log \left(S_{0}^{-1}-\delta M\right)+\frac{1}{V} \int_{V} d^{3} x \frac{\left(M_{0}-m+\delta M(\bar{x})\right)^{2}}{4 G}$
- $S_{0}^{-1}(x)=i \not \partial+\mu \gamma^{0}-M_{0} \quad$ inverse propagator of a free fermion with mass $M_{0}$


## Determination of the GL coefficients

- NJL mean-field thermodynamic potential:

$$
\Omega_{M F}(T, \mu)=-\frac{T}{V} \operatorname{Tr} \log \left(\frac{S^{-1}}{T}\right)+G \frac{1}{V} \int d^{3} x\left(S^{2}(\vec{x})+P^{2}(\vec{x})\right)
$$

- again assume $P=0 \quad \rightarrow \quad M(\vec{x})=m-2 G S(\vec{x}) \equiv M_{0}+\delta M(\vec{x})$
$\Rightarrow \quad \Omega_{M F}=-\frac{T}{V} \operatorname{Tr} \log \left(S_{0}^{-1}-\delta M\right)+\frac{1}{V} \int_{V} d^{3} x \frac{\left(M_{0}-m+\delta M(\bar{x})\right)^{2}}{4 G}$
- $S_{0}^{-1}(x)=i \not \partial+\mu \gamma^{0}-M_{0} \quad$ inverse propagator of a free fermion with mass $M_{0}$
- expand logarithm:

$$
\log \left(S_{0}^{-1}-\delta M\right)=\log \left(S_{0}^{-1}\right)+\log \left(1-S_{0} \delta M\right)=\log \left(S_{0}^{-1}\right)-\sum_{n=1}^{\infty} \frac{1}{n}\left(S_{0} \delta M\right)^{n}
$$

## Determination of the GL coefficients

- Thermodynamic potential: $\quad \Omega_{M F}=\sum_{n=0}^{\infty} \Omega^{(n)}$
$\Omega^{(n)}:$ contribution of order $(\delta M)^{n}$ :

$$
\begin{aligned}
& \Omega^{(0)}=-\frac{T}{V} \operatorname{Tr} \log S_{0}^{-1}+\frac{1}{V} \int_{V} d^{3} x \frac{\left(M_{0}-m\right)^{2}}{4 G} \\
& \Omega^{(1)}=\frac{T}{V} \operatorname{Tr}\left(S_{0} \delta M\right)+\frac{M_{0}-m}{2 G} \frac{1}{V} \int_{V} d^{3} x \delta M(\vec{x}), \\
& \Omega^{(2)}=\frac{1}{2} \frac{T}{V} \operatorname{Tr}\left(S_{0} \delta M\right)^{2}+\frac{1}{4 G} \frac{1}{V} \int_{V} d^{3} x \delta M^{2}(\vec{x}), \\
& \Omega^{(n)}=\frac{1}{n} \frac{T}{V} \operatorname{Tr}\left(S_{0} \delta M\right)^{n} \quad \text { for } n \geq 3 .
\end{aligned}
$$

## Determination of the GL coefficients

- functional trace:

$$
\operatorname{Tr}\left(S_{0} \delta M\right)^{n}=2 N_{c} \int \prod_{i=1}^{n} d^{4} x_{i} \operatorname{tr}_{0}\left[S_{0}\left(x_{n}, x_{1}\right) \delta M\left(\vec{x}_{1}\right) S_{0}\left(x_{1}, x_{2}\right) \delta M\left(\vec{x}_{2}\right) \ldots S_{0}\left(x_{n-1}, x_{n}\right) \delta M\left(\vec{x}_{n}\right)\right]
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- gradient expansion: $\quad \delta M\left(\vec{x}_{i}\right)=\delta M\left(\vec{x}_{1}\right)+\nabla M\left(\vec{x}_{1}\right) \cdot\left(\vec{x}_{i}-\vec{x}_{1}\right)+\ldots$
$\Rightarrow \quad \Omega^{(n)}=\sum_{j=0}^{\infty} \Omega^{(n, j)}, \quad j=$ number of gradients


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$\Rightarrow \quad \Omega^{(n)}=\sum_{j=0}^{\infty} \Omega^{(n, j)}, \quad j=$ number of gradients
- final steps:
- Insert momentum-space rep. of the free propagators $S_{0}$ and turn out all but one $d^{4} x_{i}$ integrals.
- Compare results with GL expansion of $\Omega_{M F}$ to read off the GL coefficients.


## GL coefficients: results

- Resulting coefficients:

$$
\begin{aligned}
& \alpha_{1}=\frac{M_{0}-m}{2 G}+M_{0} F_{1}, \quad \alpha_{2}=\frac{1}{4 G}+\frac{1}{2} F_{1}+M_{0}^{2} F_{2}, \quad \alpha_{3}=M_{0}\left(F_{2}+\frac{4}{3} M_{0}^{2} F_{3}\right), \\
& \alpha_{4, a}=\frac{1}{4} F_{2}+2 M_{0}^{2} F_{3}+2 M_{0}^{4} F_{4}, \quad \alpha_{4, b}=\frac{1}{4} F_{2}+\frac{1}{3} M_{0}^{2} F_{3} \\
& F_{n}=8 N_{c} \int \frac{d^{3} p}{(2 \pi)^{3}} T \sum_{j} \frac{1}{\left[\left(\omega \omega_{j}+\mu\right)^{2}-\vec{\rho}^{2}-M_{0}^{2}\right]^{n}}, \quad \omega_{j}=(2 j+1) \pi T
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- chiral limit:
- $m=0 \Rightarrow M_{0}=0$ solves gap equation $\alpha_{1}=0$
- $M_{0}=0 \Rightarrow \alpha_{3}=0$ (no odd powers)
- $M_{0}=0 \Rightarrow \alpha_{4, a}=\alpha_{4, b} \Rightarrow$ TCP = LP [Nickel, PRL (2009)]


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\end{aligned}
$$

- towards the chiral limit:
- $M_{0} \rightarrow 0 \Rightarrow \alpha_{3}, \alpha_{4 b a}, \alpha_{4, b} \propto F_{2} \Rightarrow$ CEP $\rightarrow$ TCP $=\mathrm{LP}$


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- $M_{0} \neq 0 \Rightarrow \alpha_{3}=4 M_{0} \alpha_{4, b} \Rightarrow$ CEP $=$ PLP


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The CEP coincides with the PLP!

## Results:

- position of the CEP=PLP for different $m$ :



## GL results for critical points and Lifshitz points

|  | chiral limit | explicitly broken |
| :--- | :---: | :---: |
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| QM model |  |  |

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| $[M B$, Carignano, Schaefer, PRD (2014)] |  |  |$\quad$|  |
| :--- |




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| QM model | $\begin{aligned} & \mathrm{LP}=\mathrm{TCP} \\ & \text { if } m_{\sigma}=2 \bar{M} \end{aligned}$ <br> [MB, Carignano, Schaefer, PRD (2014)] | PLP = CEP <br> if $m_{\sigma}=2 \bar{M}$ in the chiral limit [MB, Carignano, Kurth EPJST (2020)] |
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- Model results, but independent of model parameters


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| :---: |
| [MB, Carignano, Kurth EPJST (2020)] |

- Model results, but independent of model parameters
$\rightarrow$ Model predictions of an inhomogeneous phase should be taken as seriously as those of a CEP!


## Stability analysis

## Stability analysis

- as before:

Expand the thermodynamic potential in powers of small fluctuations $\delta M$ around the most stable homogeneous solution $M_{0}$

- Contributions of order $(\delta M)^{n}$ :

$$
\begin{aligned}
\Omega^{(0)} & =-\frac{T}{V} \operatorname{Tr} \log S_{0}^{-1}+\frac{1}{V} \int_{V} d^{3} x \frac{\left(M_{0}-m\right)^{2}}{4 G} \\
\Omega^{(1)} & =\frac{T}{V} \operatorname{Tr}\left(S_{0} \delta M\right)+\frac{M_{0}-m}{2 G} \frac{1}{V} \int_{V} d^{3} x \delta M(\vec{x}) \\
\Omega^{(2)} & =\frac{1}{2} \frac{T}{V} \operatorname{Tr}\left(S_{0} \delta M\right)^{2}+\frac{1}{4 G} \frac{1}{V} \int_{V} d^{3} x \delta M^{2}(\vec{x}) \\
\Omega^{(n>3)} & =\frac{1}{n} \frac{T}{V} \operatorname{Tr}\left(S_{0} \delta M\right)^{n}
\end{aligned}
$$

## Stability analysis

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Expand the thermodynamic potential in powers of small fluctuations $\delta M$ around the most stable homogeneous solution $M_{0}$

- Contributions of order $(\delta M)^{n}$ :
$\Omega^{(0)}$ not relevant in the following
$\Omega^{(1)}=0$ by the gap equation

$$
\Omega^{(2)}=\frac{1}{2} \frac{T}{V} \operatorname{Tr}\left(S_{0} \delta M\right)^{2}+\frac{1}{4 G} \frac{1}{V} \int_{V} d^{3} x \delta M^{2}(\vec{x})
$$

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## Quadratic contribution

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- Evaluate in momentum space without gradient expansion:

$$
\Omega^{(2)}=\frac{1}{2 V} \int \frac{d^{3} q}{(2 \pi)^{3}}|\delta M(\vec{q})|^{2} \Gamma_{S}^{-1}(q)
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- $\Gamma_{S}^{-1}(q) \propto$ inverse sigma propagator at $q=\binom{0}{\vec{q}}$

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- $\Gamma_{S}^{-1}(q) \propto$ inverse sigma propagator at $q=\binom{0}{\vec{q}}$
$\rangle=x+X C+\ldots=X+X C$
- unstable region: $\Gamma_{S}^{-1}(q)<0$
- including pseudoscalar fluctuations $\delta P$ :
analogous expressions involving $\Gamma_{P}^{-1}(q) \propto$ inverse pion propagator


## Example

- inverse meson propagators for $m=10 \mathrm{MeV}, T=10 \mathrm{MeV}, \mu=344 \mathrm{MeV}$ : [MB, S. Carignano, PLB (2018)]

- red: $\Gamma_{S}^{-1} \rightarrow$ marginally unstable (phase boundary) w.r.t. $\delta S$ at $|\vec{q}| \sim 500 \mathrm{MeV}$
- blue: $\Gamma_{P}^{-1} \rightarrow$ stable w.r.t. $\delta P$


## Phasediagram

[MB, S. Carignano, PLB (2018)]


- dominant instability in the scalar channel


## Phasediagram



- orange region: RKC favored
- instability region $<$ RKC region (not shown)
- "right phase" boundaries agree
- stability analysis misses instabilites in the homogeneous broken regime w.r.t. large fluctuations
- dominant instability in the scalar channel


## Are the inhomogeneous phases regularization artifacts?

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from [Koenigstein et al. (2022)]

- 1 + 1 dim Gross-Neveu model:
- inhomogeneous phase in the renormalized limit [Thies et al.]


## Are the inhomogeneous phases regularization artifacts?


[MB, Kurth, Wagner Winstel; PRD (2021)]

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- $2+1$ dim Gross-Neveu model:
- IP for finite $\Lambda$
- disappears for $\Lambda \rightarrow \infty$


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- disappears for $\Lambda \rightarrow \infty$
[MB, Kurth, Wagner Winstel; PRD (2021)]
- Then how about $3+1 \mathrm{dim}$ GN /NJL ?
- non-renormalizable $\rightarrow$ cutoff must be kept finite
- strong regulator dependecies [Pannullo, Wagner, Winstel PoS LATTICE2022]
- No IP in GN with $2 \leq d<3-\varepsilon$ spatial dimensions [Pannullo, PRD (2023)]


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- $3+1$ dim QM model:

IP survives $\Lambda \rightarrow \infty$, but potential not bounded from below

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- Indications of an inhomogeneous chiral phase in QCD from DSEs (CDW-like ansatz)
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- Indications of an inhomogeneous chiral phase in QCD from DSEs (CDW-like ansatz) [D. Müller et al., PLB (2013)]
- Ongoing work towards a QCD stability analysis [Motta et al., arXiv:2306.09749]
$\rightarrow$ Theo Motta's talk on Tuesday



## Conclusion

- Chiral models can give us hints about interesting features of the QCD phase diagram:
- the critical endpoint
- color-superconducting phases
- inhomogeneous phases
- ...
- They are not suited for quantitative predictions of them, but they have inspired more sophisticated (QCD based) investigations and are useful benchmarks for them.

