#### Outline



- 1. Introduction
- Chiral phase transition and critical endpoint ✓
- Color superconductivity
- 4. Inhomogeneous chiral phases





## INHOMOGENEOUS CHIRAL PHASES







Analogy:

- CSC = quark-quark pairing
  - favored for equal Fermi momenta
  - stressed by unequal densities



Analogy:

- CSC = quark-quark pairing
  - favored for equal Fermi momenta
  - stressed by unequal densities
  - tradeoff: spatially varying diquark condensate
  - excess quarks in regions of low  $\langle qq \rangle$





#### Analogy:

- CSC = quark-quark pairing
  - favored for equal Fermi momenta
  - stressed by unequal densities
  - tradeoff: spatially varying diquark condensate
  - excess quarks in regions of low  $\langle qq \rangle$
- $\chi$ SB = quark-antiquark pairing
  - favored for vanishing Fermi momenta
  - stressed by nonzero densities
  - tradeoff: spatially varying chiral condensate
  - quarks in regions of low  $\langle \bar{q}q \rangle$





## **Highlight example**



chiral phase transition in the NJL model [D. Nickel, PRD (2009)]



October 4, 2023 | Michael Buballa | 4

## **Highlight example**



chiral phase transition in the NJL model [D. Nickel, PRD (2009)]



- first-order phase boundary completely covered by the inhomogeneous phase
- all phase boundaries second order (mean-field artifact?)
- ► tricritical point → Lifshitz point [Nickel, PRL (2009)]



- 1960s:
  - spin-density waves in nuclear matter (Overhauser)
- 1970s 1990s:
  - p-wave pion condensation (Migdal)
  - chiral density wave (Dautry, Nyman)
  - Skyrme crystals (Goldhaber, Manton)
- after 2000:
  - 1+1 D Gross-Neveu model (Thies et al.)
  - quarkyonic matter (Kojo, McLerran, Pisarski, ...)



- 1960s:
  - spin-density waves in nuclear matter (Overhauser)
- 1970s 1990s:
  - p-wave pion condensation (Migdal)
  - chiral density wave (Dautry, Nyman)
  - Skyrme crystals (Goldhaber, Manton)
- after 2000:
  - 1+1 D Gross-Neveu model (Thies et al.)
  - quarkyonic matter (Kojo, McLerran, Pisarski, ...)





- 1960s:
  - spin-density waves in nuclear matter (Overhauser)
- 1970s 1990s:
  - p-wave pion condensation (Migdal)
  - chiral density wave (Dautry, Nyman)
  - Skyrme crystals (Goldhaber, Manton)
- after 2000:
  - 1+1 D Gross-Neveu model (Thies et al.)
  - quarkyonic matter (Kojo, McLerran, Pisarski, ...)





#### 1960s:

- spin-density waves in nuclear matter (Overhauser)
- 1970s 1990s:
  - p-wave pion condensation (Migdal)
  - chiral density wave (Dautry, Nyman)
  - Skyrme crystals (Goldhaber, Manton)
- after 2000:
  - 1+1 D Gross-Neveu model (Thies et al.)
  - quarkyonic matter (Kojo, McLerran, Pisarski, ...)





► Lagrangian:

$$\mathcal{L} = \bar{\psi}(i\partial \!\!\!/ - m)\psi + G\left[(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5\vec{\tau}\psi)^2\right]$$



► Lagrangian:

$$\mathcal{L}=\bar{\psi}(i\partial\!\!\!/-m)\psi+G\left[(\bar{\psi}\psi)^2+(\bar{\psi}i\gamma_5\vec{\tau}\psi)^2\right]$$

► bosonize:  $\sigma(x) = \bar{\psi}(x)\psi(x)$ ,  $\vec{\pi}(x) = \bar{\psi}(x)i\gamma_5\vec{\tau}\psi(x)$ 

$$\Rightarrow \quad \mathcal{L} = \bar{\psi} \left( i \partial \!\!\!/ - m + 2G (\sigma + i \gamma_5 \vec{\tau} \cdot \vec{\pi}) \right) \psi - G \left( \sigma^2 + \vec{\pi}^2 \right)$$



► Lagrangian:

$$\mathcal{L} = \bar{\psi}(i\partial\!\!\!/ - m)\psi + G\left[(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5\vec{\tau}\psi)^2\right]$$

► bosonize:  $\sigma(x) = \bar{\psi}(x)\psi(x), \quad \vec{\pi}(x) = \bar{\psi}(x)i\gamma_5\vec{\tau}\psi(x)$ 

$$\Rightarrow \quad \mathcal{L} = \bar{\psi} \left( i \partial \!\!\!/ - m + 2G (\sigma + i \gamma_5 \vec{\tau} \cdot \vec{\pi}) \right) \psi - G \left( \sigma^2 + \vec{\pi}^2 \right)$$

mean-field approximation:

$$\sigma(\mathbf{x}) \rightarrow \langle \sigma(\mathbf{x}) \rangle \equiv S(\vec{\mathbf{x}}), \quad \pi_a(\mathbf{x}) \rightarrow \langle \pi_a(\mathbf{x}) \rangle \equiv P(\vec{\mathbf{x}}) \, \delta_{a3}$$

- $S(\vec{x}), P(\vec{x})$  time independent classical fields
- retain space dependence !



► Lagrangian:

$$\mathcal{L} = \bar{\psi}(i\partial\!\!\!/ - m)\psi + G\left[(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5\vec{\tau}\psi)^2\right]$$

► bosonize:  $\sigma(x) = \bar{\psi}(x)\psi(x), \quad \vec{\pi}(x) = \bar{\psi}(x)i\gamma_5\vec{\tau}\psi(x)$ 

$$\Rightarrow \quad \mathcal{L} = \bar{\psi} \left( i \partial \!\!\!/ - m + 2G (\sigma + i \gamma_5 \vec{\tau} \cdot \vec{\pi}) \right) \psi - G \left( \sigma^2 + \vec{\pi}^2 \right)$$

mean-field approximation:

$$\sigma(\mathbf{x}) \to \langle \sigma(\mathbf{x}) \rangle \equiv \mathbf{S}(\vec{\mathbf{x}}), \quad \pi_{\mathbf{a}}(\mathbf{x}) \to \langle \pi_{\mathbf{a}}(\mathbf{x}) \rangle \equiv \mathbf{P}(\vec{\mathbf{x}}) \, \delta_{\mathbf{a}3}$$

- $S(\vec{x})$ ,  $P(\vec{x})$  time independent classical fields
- retain space dependence !
- mean-field thermodynamic potential:

$$\Omega_{MF}(T,\mu) = -\frac{T}{V} \ln \int \mathcal{D}\bar{\psi}\mathcal{D}\psi \exp\left(\int_{x \in [0,\frac{1}{T}] \times V} (\mathscr{L}_{MF} + \mu\bar{\psi}\gamma^{0}\psi)\right)$$

October 4, 2023 | Michael Buballa | 6



mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(x) \mathcal{S}^{-1}(x) \psi(x) - G\left[\mathcal{S}^2(\vec{x}) + \mathcal{P}^2(\vec{x})\right]$$

- bilinear in  $\psi$  and  $\bar{\psi} \Rightarrow$  quark fields can be integrated out!



mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(x) \mathcal{S}^{-1}(x) \psi(x) - G\left[\mathcal{S}^2(\vec{x}) + \mathcal{P}^2(\vec{x})\right]$$

- bilinear in  $\psi$  and  $\bar{\psi} \Rightarrow$  quark fields can be integrated out!
- inverse dressed propagator:

$$\mathcal{S}^{-1}(x) \,=\, i\partial \!\!\!/ - m + 2G\left(\mathcal{S}(\vec{x}) + i\gamma_5\tau_3 P(\vec{x})\right) \,\equiv\, \gamma^0 \,\left(i\partial_0 - H_{MF}\right)$$



mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(x) \mathcal{S}^{-1}(x) \psi(x) - G\left[\mathcal{S}^2(\vec{x}) + \mathcal{P}^2(\vec{x})\right]$$

• bilinear in  $\psi$  and  $\bar{\psi} \Rightarrow$  quark fields can be integrated out!

inverse dressed propagator:

$$\mathcal{S}^{-1}(x) = i\partial \!\!\!/ - m + 2G\left(\mathcal{S}(\vec{x}) + i\gamma_5\tau_3 P(\vec{x})\right) \equiv \gamma^0 \left(i\partial_0 - H_{MF}\right)$$

effective Hamiltonian (in chiral representation):

$$H_{MF} = H_{MF}[S, P] = \begin{pmatrix} -i\vec{\sigma} \cdot \vec{\partial} & M(\vec{x}) \\ M^*(\vec{x}) & i\vec{\sigma} \cdot \vec{\partial} \end{pmatrix}$$

• constituent mass functions:  $M(\vec{x}) = m - 2G[S(\vec{x}) + iP(\vec{x})]$ 



mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(x) \mathcal{S}^{-1}(x) \psi(x) - G\left[S^2(\vec{x}) + P^2(\vec{x})\right]$$

• bilinear in  $\psi$  and  $\bar{\psi} \Rightarrow$  quark fields can be integrated out!

inverse dressed propagator:

$$\mathcal{S}^{-1}(x) = i\partial \!\!\!/ - m + 2G\left(\mathcal{S}(\vec{x}) + i\gamma_5\tau_3 P(\vec{x})\right) \equiv \gamma^0 \left(i\partial_0 - H_{MF}\right)$$

effective Hamiltonian (in chiral representation):

$$H_{MF} = H_{MF}[S, P] = \begin{pmatrix} -i\vec{\sigma} \cdot \vec{\partial} & M(\vec{x}) \\ M^*(\vec{x}) & i\vec{\sigma} \cdot \vec{\partial} \end{pmatrix}$$

- constituent mass functions:  $M(\vec{x}) = m 2G[S(\vec{x}) + iP(\vec{x})]$
- ►  $H_{MF}$  hermitean  $\Rightarrow$  can (in principle) be diagonalized (eigenvalues  $E_{\lambda}$ )
- $H_{MF}$  time-independent  $\Rightarrow$  Matsubara sum as usual



► thermodynamic potential:

$$\Omega_{MF}(T,\mu;S,P) = -\frac{T}{V} \operatorname{Tr} \ln\left(\frac{1}{T}(i\partial_0 - H_{MF} + \mu)\right) + \frac{G}{V} \int_V d^3x \left(S^2(\vec{x}) + P^2(\vec{x})\right)$$



► thermodynamic potential:

$$\Omega_{MF}(T,\mu;S,P) = -\frac{T}{V} \operatorname{Tr} \ln\left(\frac{1}{T}(i\partial_0 - H_{MF} + \mu)\right) + \frac{G}{V} \int_V d^3 x \left(S^2(\vec{x}) + P^2(\vec{x})\right)$$
$$= -\frac{1}{V} \sum_{\lambda} \left[\frac{E_{\lambda} - \mu}{2} + T \ln\left(1 + e^{\frac{E_{\lambda} - \mu}{T}}\right)\right] + \frac{1}{V} \int_V d^3 x \frac{|M(\vec{x}) - m|^2}{4G}$$



thermodynamic potential:

$$\begin{aligned} \Omega_{MF}(T,\mu;S,P) &= -\frac{T}{V} \text{Tr} \ln \left( \frac{1}{T} (i\partial_0 - H_{MF} + \mu) \right) + \frac{G}{V} \int_V d^3 x \left( S^2(\vec{x}) + P^2(\vec{x}) \right) \\ &= -\frac{1}{V} \sum_{\lambda} \left[ \frac{E_{\lambda} - \mu}{2} + T \ln \left( 1 + e^{\frac{E_{\lambda} - \mu}{T}} \right) \right] + \frac{1}{V} \int_V d^3 x \frac{|M(\vec{x}) - m|^2}{4G} \end{aligned}$$

- remaining tasks:
  - Calculate eigenvalue spectrum  $E_{\lambda}[M(\vec{x})]$  of  $H_{MF}$  for given  $M(\vec{x})$ .
  - Minimize  $\Omega_{MF}$  w.r.t.  $M(\vec{x})$



thermodynamic potential:

$$\begin{split} \Omega_{MF}(T,\mu;S,P) &= -\frac{T}{V} \text{Tr} \ln \left( \frac{1}{T} (i\partial_0 - H_{MF} + \mu) \right) + \frac{G}{V} \int_V d^3 x \left( S^2(\vec{x}) + P^2(\vec{x}) \right) \\ &= -\frac{1}{V} \sum_{\lambda} \left[ \frac{E_{\lambda} - \mu}{2} + T \ln \left( 1 + e^{\frac{E_{\lambda} - \mu}{T}} \right) \right] + \frac{1}{V} \int_V d^3 x \frac{|M(\vec{x}) - m|^2}{4G} \end{split}$$

- remaining tasks:
  - Calculate eigenvalue spectrum *E<sub>λ</sub>*[*M*(*x*)] of *H<sub>MF</sub>* for given *M*(*x*).
     difficulty: *H<sub>MF</sub>* is nondiagonal in momentum space
  - Minimize  $\Omega_{MF}$  w.r.t.  $M(\vec{x})$



thermodynamic potential:

$$\Omega_{MF}(T,\mu;S,P) = -\frac{T}{V}\operatorname{Tr} \ln\left(\frac{1}{T}(i\partial_0 - H_{MF} + \mu)\right) + \frac{G}{V}\int\limits_V d^3x \left(S^2(\vec{x}) + P^2(\vec{x})\right)$$
$$= -\frac{1}{V}\sum_{\lambda} \left[\frac{E_{\lambda} - \mu}{2} + T\ln\left(1 + e^{\frac{E_{\lambda} - \mu}{T}}\right)\right] + \frac{1}{V}\int\limits_V d^3x \frac{|M(\vec{x}) - m|^2}{4G}$$

- remaining tasks:
  - Calculate eigenvalue spectrum *E<sub>λ</sub>*[*M*(*x*)] of *H<sub>MF</sub>* for given *M*(*x*).
     difficulty: *H<sub>MF</sub>* is nondiagonal in momentum space
  - Minimize  $\Omega_{MF}$  w.r.t.  $M(\vec{x})$

difficulty: functional minimization w.r.t. arbitrary shapes

#### Strategies



- Restricted ansätze for the condensate modulation
  - → minimize Ω<sub>MF</sub> w.r.t. a finite number of parameters
    - ansätze for which  $H_{MF}$  can be diagonalized analytically
    - brute-force numerical diagonalization of H<sub>MF</sub>
- Stability and Ginzburg-Landau anlayses
  - → investigate the stability of the homogeneous ground state w.r.t. small inhomogeneous fluctuations

## Ansätze which can be diagonalized analytically







▶ popular ansatz:  $M(\vec{x}) = \Delta e^{i\vec{q}\cdot\vec{x}}$  (dual) chiral density wave, "chiral spiral"



▶ popular ansatz:  $M(\vec{x}) = \Delta e^{i\vec{q}\cdot\vec{x}}$  (dual) chiral density wave, "chiral spiral"

$$\Leftrightarrow \quad S(\vec{x}) = -\frac{\Delta}{2G}\cos(\vec{q}\cdot\vec{x}) , \quad P(\vec{x}) = -\frac{\Delta}{2G}\sin(\vec{q}\cdot\vec{x})$$

$$\Rightarrow \quad \mathcal{L}_{MF} = \vec{\psi} \left[ i \vec{\partial} - m + 2G(S(\vec{x}) + i\gamma_5\tau_3P(\vec{x})) \right] \psi - G\left(S^2 + P^2\right)$$

$$= \vec{\psi} \left[ i \vec{\partial} - \Delta \left(\cos(\vec{q}\cdot\vec{x}) + i\gamma_5\tau_3\sin(\vec{q}\cdot\vec{x})\right) \right] \psi - \frac{\Delta^2}{4G}$$

$$= \vec{\psi} \left[ i \vec{\partial} - \Delta \exp\left(i\gamma_5\tau_3\vec{q}\cdot\vec{x}\right) \right] \psi - \frac{\Delta^2}{4G}$$



▶ popular ansatz:  $M(\vec{x}) = \Delta e^{i\vec{q}\cdot\vec{x}}$  (dual) chiral density wave, "chiral spiral"

$$\begin{aligned} \Leftrightarrow \quad S(\vec{x}) &= -\frac{\Delta}{2G} \cos(\vec{q} \cdot \vec{x}) , \quad P(\vec{x}) = -\frac{\Delta}{2G} \sin(\vec{q} \cdot \vec{x}) \\ \Rightarrow \quad \mathcal{L}_{MF} &= \vec{\psi} \left[ i \vec{\partial} - m + 2G(S(\vec{x}) + i\gamma_5 \tau_3 P(\vec{x})) \right] \psi - G \left( S^2 + P^2 \right) \\ &= \vec{\psi} \left[ i \vec{\partial} - \Delta \left( \cos(\vec{q} \cdot \vec{x}) + i\gamma_5 \tau_3 \sin(\vec{q} \cdot \vec{x}) \right) \right] \psi - \frac{\Delta^2}{4G} \\ &= \vec{\psi} \left[ i \vec{\partial} - \Delta \exp \left( i\gamma_5 \tau_3 \vec{q} \cdot \vec{x} \right) \right] \psi - \frac{\Delta^2}{4G} \end{aligned}$$

• unitary transformation:  $\psi(x) = \exp\left(-\frac{i}{2}\gamma_5\tau_3\vec{q}\cdot\vec{x}\right)\psi'(x)$  [Dautry, Nyman (1979)]

$$\Rightarrow \mathcal{L}_{MF} = \bar{\psi}' \big[ i \partial \!\!\!/ + \frac{1}{2} \vec{\gamma} \gamma_5 \tau_3 \cdot \boldsymbol{q} - \Delta \big] \psi' - \frac{\Delta^2}{4G}$$

no explicit  $\vec{x}$  dependence  $\rightarrow$  can be diagonalized analytically!



▶ popular ansatz:  $M(\vec{x}) = \Delta e^{i\vec{q}\cdot\vec{x}}$  (dual) chiral density wave, "chiral spiral"

$$\Leftrightarrow \quad S(\vec{x}) = -\frac{\Delta}{2G}\cos(\vec{q}\cdot\vec{x}) , \quad P(\vec{x}) = -\frac{\Delta}{2G}\sin(\vec{q}\cdot\vec{x})$$

$$\Rightarrow \quad \mathcal{L}_{MF} = \bar{\psi} \left[ i\partial \!\!\!/ - m + 2G(S(\vec{x}) + i\gamma_5\tau_3P(\vec{x})) \right] \psi - G\left(S^2 + P^2\right)$$

$$= \bar{\psi} \left[ i\partial \!\!\!/ - \Delta \left(\cos(\vec{q}\cdot\vec{x}) + i\gamma_5\tau_3\sin(\vec{q}\cdot\vec{x})\right) \right] \psi - \frac{\Delta^2}{4G}$$

$$= \bar{\psi} \left[ i\partial \!\!\!/ - \Delta \exp\left(i\gamma_5\tau_3\vec{q}\cdot\vec{x}\right) \right] \psi - \frac{\Delta^2}{4G}$$

• unitary transformation:  $\psi(x) = \exp\left(-\frac{i}{2}\gamma_5\tau_3\vec{q}\cdot\vec{x}\right)\psi'(x)$  [Dautry, Nyman (1979)]

$$\Rightarrow \mathcal{L}_{MF} = \bar{\psi}' \left[ i \partial \!\!\!/ + \frac{1}{2} \vec{\gamma} \gamma_5 \tau_3 \cdot \boldsymbol{q} - \Delta \right] \psi' - \frac{\Delta^2}{4G}$$

no explicit  $\vec{x}$  dependence  $\rightarrow$  can be diagonalized analytically!

• dispersion relations:  $E_{\pm}^2(\vec{p}) = \vec{p}^2 + \Delta^2 + \frac{\vec{q}^2}{4} \pm \sqrt{\Delta^2 \vec{q}^2 + (\vec{q} \cdot \vec{p})^2}$ 

### Real kink crystal



important observation: [D. Nickel, PRD (2009)]
 general problem with 1D modulations in 3+1D
 can be mapped to the 1 + 1 dimensional case

### **Real kink crystal**



- important observation: [D. Nickel, PRD (2009)]
   general problem with 1D modulations in 3+1D
   can be mapped to the 1 + 1 dimensional case
- ► 1 + 1D solutions known analytically: [M. Thies, J. Phys. A (2006)]  $M(z) = \sqrt{\nu}\Delta \operatorname{sn}(\Delta z | \nu)$  (chiral limit)
  - $sn(\xi|\nu)$ : Jacobi elliptic functions
  - M(z) real  $\Rightarrow$  purely scalar "real kink cystal" (RKC)

### **Real kink crystal**



- important observation: [D. Nickel, PRD (2009)]
   general problem with 1D modulations in 3+1D
   can be mapped to the 1 + 1 dimensional case
- ► 1 + 1D solutions known analytically: [M. Thies, J. Phys. A (2006)]  $M(z) = \sqrt{\nu}\Delta \operatorname{sn}(\Delta z | \nu)$  (chiral limit)
  - $sn(\xi|\nu)$ : Jacobi elliptic functions
  - M(z) real  $\Rightarrow$  purely scalar "real kink cystal" (RKC)
- remaining task:
  - minimize w.r.t. 2 parameters: Δ, ν
  - (almost) as simple as CDW, but more powerful
  - $m \neq 0$ : 3 parameters

Mass functions and density profiles (T = 0)



$$\blacktriangleright M(z) = \sqrt{\nu}\Delta \operatorname{sn}(\Delta z|\nu) \rightarrow \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \to 1 \\ \sqrt{\nu}\Delta \sin(\Delta z) & \text{for } \nu \to 0 \end{cases}$$






























• 
$$M(z) = \sqrt{\nu}\Delta \operatorname{sn}(\Delta z | \nu) \rightarrow \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \to 1 \\ \sqrt{\nu}\Delta \sin(\Delta z) & \text{for } \nu \to 0 \end{cases}$$
  
 $M(z) \ (\mu = 345 \text{ MeV})$   
 $M(z) \ (\mu = 345 \text$ 



• 
$$M(z) = \sqrt{\nu} \Delta \operatorname{sn}(\Delta z | \nu) \quad \rightarrow \quad \langle$$

 $\begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \to 1 \\ \sqrt{\nu} \Delta \sin(\Delta z) & \text{for } \nu \to 0 \end{cases}$ 

























- Quarks reside in the chirally restored regions.
- Density gets smoothened with increasing  $\mu$  and T.





- Quarks reside in the chirally restored regions.
- Density gets smoothened with increasing  $\mu$  and T.





- Quarks reside in the chirally restored regions.
- Density gets smoothened with increasing  $\mu$  and T.





- Quarks reside in the chirally restored regions.
- Density gets smoothened with increasing  $\mu$  and T.

## Free energy difference

[D. Nickel, PRD (2009)]





- homogeneous chirally broken
- Jacobi elliptic functions
- chiral density wave:

 $M_{CDW}(z) = M_1 \; e^{iqz}$ 

- soliton lattice favored, when it exists
- $\delta\Omega_{Jacobi} \approx 2\delta\Omega_{CDW} \Rightarrow CDW$  never favored

## Self-bound quark matter

[M.B., S. Carignano, PRD (2013)]



1D inhomogeneous solutions:

homogeneous matter decays into domain-wall solitons



- If it was 3D: Hadronization!
- single-soliton properties:
  - $\frac{E}{N} = \mu_{c,inh} \sim 325 \text{ MeV} \Rightarrow$  "baryon" mass:  $M_B = 3\frac{E}{N} \sim 975 \text{ MeV}$
  - central density:  $\rho_B = \frac{1}{4\pi} M_{vac} \mu_{c,inh}^2 \sim 2.1 \rho_0$
  - ► longitudinal size:  $\sqrt{\langle z^2 \rangle} = \frac{\pi}{\sqrt{12}} \frac{1}{M_{vac}} \sim .5 \text{ fm}$
- but it's only 1D modulations ...
  - → revisit chiral solitons !? [Alkofer, Reinhardt, Weigel; Goeke et al.; Ripka; ...]

## **Two-dimensional modulations**

[S. Carignano, M.B., PRD (2012)]



### **Two-dimensional modulations**

[S. Carignano, M.B., PRD (2012)]



- no known analytical solutions
  - $\rightarrow$  brute-force numerical diagonalization of H for a given ansatz

## **Two-dimensional modulations**

[S. Carignano, M.B., PRD (2012)]

- no known analytical solutions
  - $\rightarrow$  brute-force numerical diagonalization of H for a given ansatz
- consider two shapes:
  - square lattice ("egg carton")

 $M(x, y) = M\cos(Qx)\cos(Qy)$ 

hexagonal lattice

$$M(x,y) = \tfrac{M}{3} \left[ 2\cos\left(Qx\right)\cos\left(\tfrac{1}{\sqrt{3}}Qy\right) + \cos(\tfrac{2}{\sqrt{3}}Qy) \right]$$

minimize both cases numerically w.r.t. M and Q









- amplitudes and wave numbers:
  - egg carton:



hexagon:





#### amplitudes and wave numbers:



hexagon:

egg carton:



free-energy gain at T = 0:





#### amplitudes and wave numbers:



hexagon:

egg carton:



free-energy gain at T = 0:





#### amplitudes and wave numbers:



hexagon:

egg carton:



free-energy gain at T = 0:





#### amplitudes and wave numbers:



310 320 330 340 350



300



μ (MeV)

free-energy gain at T = 0:





#### amplitudes and wave numbers:



hexagon:



free-energy gain at T = 0:





#### amplitudes and wave numbers:



hexagon:

egg carton:



free-energy gain at T = 0:





#### amplitudes and wave numbers:





μ (MeV)

free-energy gain at T = 0:



 2d not favored over 1d in this regime





- Stability analysis:
  - Minimize  $\Omega_{MF}$  w.r.t. homogeneous mean fields  $\rightarrow S = \bar{S} = const.$ , P = 0
  - Study effect of small inhomogeneous fluctuations  $\delta S(\vec{x})$ ,  $\delta P(\vec{x})$



- Stability analysis:
  - Minimize  $\Omega_{MF}$  w.r.t. homogeneous mean fields  $\rightarrow S = \bar{S} = const., P = 0$
  - Study effect of small inhomogeneous fluctuations  $\delta S(\vec{x})$ ,  $\delta P(\vec{x})$
  - → sufficient but not necessary criterion for an inhomogeneous phase
    - © instabilities w.r.t large inhomogeneous fluctuations not excluded
    - $\odot$  no ansatz functions for  $S(\vec{x})$  and  $P(\vec{x})$  needed



- Stability analysis:
  - Minimize  $\Omega_{MF}$  w.r.t. homogeneous mean fields  $\rightarrow S = \bar{S} = const., P = 0$
  - Study effect of small inhomogeneous fluctuations  $\delta S(\vec{x})$ ,  $\delta P(\vec{x})$
  - → sufficient but not necessary criterion for an inhomogeneous phase
    - © instabilities w.r.t large inhomogeneous fluctuations not excluded
    - $\odot$  no ansatz functions for  $S(\vec{x})$  and  $P(\vec{x})$  needed
  - → well suited to identify 2nd-order phase transitions



- Stability analysis:
  - Minimize  $\Omega_{MF}$  w.r.t. homogeneous mean fields  $\rightarrow S = \bar{S} = const., P = 0$
  - Study effect of small inhomogeneous fluctuations  $\delta S(\vec{x})$ ,  $\delta P(\vec{x})$
  - → sufficient but not necessary criterion for an inhomogeneous phase
    - © instabilities w.r.t large inhomogeneous fluctuations not excluded
    - $\odot$  no ansatz functions for  $S(\vec{x})$  and  $P(\vec{x})$  needed
  - → well suited to identify 2nd-order phase transitions
- Ginzburg-Landau analysis:
  - additional expansion in small gradients  $\vec{\nabla} S(\vec{x}), \vec{\nabla} P(\vec{x})$
  - best suited to identify critical and Lifshitz points

## Reminder



chiral phase transition in the NJL model (chiral limit) [D. Nickel, PRD (2009)]



tricritical point
#### Reminder



chiral phase transition in the NJL model (chiral limit) [D. Nickel, PRD (2009)]



October 4, 2023 | Michael Buballa | 19

#### Reminder



chiral phase transition in the NJL model (chiral limit) [D. Nickel, PRD (2009)]



- ► tricritical point → Lifshitz point
- How was this shown? [Nickel, PRL (2009)]

October 4, 2023 | Michael Buballa | 19

#### Reminder



chiral phase transition in the NJL model (chiral limit) [D. Nickel, PRD (2009)]



- ► tricritical point → Lifshitz point
- How was this shown? [Nickel, PRL (2009)]
- How is it away from the chiral limit?

[MB, Carignano, PRB (2018)]

# **Ginzburg-Landau analysis**



#### Simplifications:

- chiral limit m = 0 (will be relaxed later)
- P = 0 (to simplify the notation, can be included straightforwardly)
- $\rightarrow$  order parameter  $M(\vec{x}) = -2GS(\vec{x})$  ("constituent quark mass")
- $\rightarrow \Omega_{MF} = \Omega_{MF}[M]$

# **Ginzburg-Landau analysis**



#### Simplifications:

- chiral limit m = 0 (will be relaxed later)
- P = 0 (to simplify the notation, can be included straightforwardly)
- $\rightarrow$  order parameter  $M(\vec{x}) = -2GS(\vec{x})$  ("constituent quark mass")

 $\rightarrow \Omega_{MF} = \Omega_{MF}[M]$ 

- ► Assumptions: M,  $|\nabla M|$  small (holds near the LP)
  - $\rightarrow$  expansion of the thermodynamic potential.

$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^{3}x \left\{ \alpha_{2} M^{2}(\vec{x}) + \alpha_{4,a} M^{4}(\vec{x}) + \alpha_{4,b} |\vec{\nabla} M(\vec{x})|^{2} + \dots \right\}$$

- $\alpha_n = \alpha_n(T, \mu)$ : GL coefficients
- chiral symmetry: only even powers allowed
- stability: higher-order coeffs. positive



• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$



• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$



• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$





• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$





• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$





• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$





• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$





• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  gradients disfavored  $\Rightarrow$  homogeneous

<u>case 1.1:</u>  $\alpha_{4,a} > 0$ 

• 2nd-order p.t. at  $\alpha_2 = 0$ 

<u>case 1.2:</u> *α*<sub>4,*a*</sub> < 0

• 1st-order phase trans. at  $\alpha_2 > 0$ 



 $\Rightarrow$  tricritical point (TCP):  $\alpha_2 = \alpha_{4,a} = 0$ 



• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$

• <u>case 1:</u>  $\alpha_{4,b} > 0 \Rightarrow$  gradients disfavored  $\Rightarrow$  homogeneous

- <u>case 1.1:</u>  $\alpha_{4,a} > 0$
- 2nd-order p.t. at \alpha\_2 = 0

 $\Rightarrow$  tricritical point (TCP):  $\alpha_2 = \alpha_{4,a} = 0$ 

<u>case 1.2:</u> *α*<sub>4,a</sub> < 0

• 1st-order phase trans. at  $\alpha_2 > 0$ 

► <u>case 2:</u> α<sub>4,b</sub> < 0</p>

inhomogeneous phase possible



• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$

• <u>case 1:</u>  $\alpha_{4,b} > 0 \Rightarrow$  gradients disfavored  $\Rightarrow$  homogeneous

- <u>case 1.1:</u>  $\alpha_{4,a} > 0$
- 2nd-order p.t. at \alpha\_2 = 0

 $\Rightarrow$  tricritical point (TCP):  $\alpha_2 = \alpha_{4,a} = 0$ 

<u>case 1.2:</u> *α*<sub>4,a</sub> < 0

• 1st-order phase trans. at  $\alpha_2 > 0$ 

► <u>case 2:</u> α<sub>4,b</sub> < 0</p>

- inhomogeneous phase possible
- P 2nd-order phase boundary inhom. restored: α<sub>4,b</sub> < 0, α<sub>2</sub> > 0 finite wavelength, amplitude → 0



• GL expansion: 
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_{V} d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla}M|^2 + \dots \right\}$$

• case 1:  $\alpha_{4,b} > 0 \Rightarrow$  gradients disfavored  $\Rightarrow$  homogeneous

case 1.1: 
$$\alpha_{4,a} > 0$$

 $\Rightarrow$  tricritical point (TCP):  $\alpha_2 = \alpha_{4,a} = 0$ 

<u>case 1.2:</u> *α*<sub>4,a</sub> < 0

• 1st-order phase trans. at  $\alpha_2 > 0$ 

► <u>case 2:</u> α<sub>4,b</sub> < 0</p>

- inhomogeneous phase possible Lifshitz point (LP):  $\alpha_2 = \alpha_{4,b} = 0$
- P 2nd-order phase boundary inhom. restored: α<sub>4,b</sub> < 0, α<sub>2</sub> > 0 finite wavelength, amplitude → 0

#### Away from the chiral limit



- $m \neq 0$ : no chirally restored solution M = 0
  - $\rightarrow$  expand about a priory unknown constant mass  $M_0$ :

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_1 \delta M + \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

- ▶ small parameters:  $\delta M(\vec{x}) \equiv M(\vec{x}) M_0$ ,  $|\nabla \delta M(\vec{x})|$
- GL coefficients:  $\alpha_j = \alpha_j(T, \mu, M_0)$
- odd powers allowed
- require M<sub>0</sub> = extremum of Ω at given T and μ

 $\Rightarrow \alpha_1(T, \mu, M_0) = 0 \rightarrow M_0 = M_0(T, \mu)$  (= homogeneous gap equation)



► GL expansion:

 $\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$ 



► GL expansion:

 $\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$ 

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous



> no restored phase, but 1st-order ph. trans. between different minima possible



► GL expansion:

 $\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$ 

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous



- no restored phase, but 1st-order ph. trans. between different minima possible
- 2 minima + 1 maximum  $\rightarrow$  1 minimum

 $\Rightarrow$  critical endpoint (CEP):  $\alpha_2 = \alpha_3 = 0$ 



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous



- no restored phase, but 1st-order ph. trans. between different minima possible
- 2 minima + 1 maximum  $\rightarrow$  1 minimum

 $\Rightarrow$  critical endpoint (CEP):  $\alpha_2 = \alpha_3 = 0$ 

▶ spinodals: left:  $\alpha_2 = 0$ ,  $\alpha_3 < 0$ , right:  $\alpha_2 = 0$ ,  $\alpha_3 > 0$ ,



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous

CEP:  $\alpha_2 = \alpha_3 = 0$ 

• case 2:  $\alpha_{4,b} < 0 \Rightarrow$  inhomogeneous phases possible



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• case 1:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous

CEP: 
$$\alpha_2 = \alpha_3 = 0$$

• <u>case 2</u>:  $\alpha_{4,b} < 0 \Rightarrow$  inhomogeneous phases possible

▶ strictly: only two phases – homogeneous and inhomogeneous  $\Rightarrow$  no LP



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous CEP:  $\alpha_2 = \alpha_3 = 0$ 

• <u>case 2</u>:  $\alpha_{4,b} < 0 \Rightarrow$  inhomogeneous phases possible

- $\blacktriangleright\,$  strictly: only two phases homogeneous and inhomogeneous  $\,\,\Rightarrow\,$  no LP
- ► There can be a 2nd-order transition between inhom. and hom. phase where the amplitude of the *inhomogeneous* part of  $M(\vec{x})$  goes to zero



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous CEP:  $\alpha_2 = \alpha_3 = 0$ 

• <u>case 2</u>:  $\alpha_{4,b} < 0 \Rightarrow$  inhomogeneous phases possible

- $\blacktriangleright\,$  strictly: only two phases homogeneous and inhomogeneous  $\,\,\Rightarrow\,$  no LP
- ► There can be a 2nd-order transition between inhom. and hom. phase where the amplitude of the *inhomogeneous* part of  $M(\vec{x})$  goes to zero
- $M_0$  homogeneous ground state  $\Rightarrow \delta M(\vec{x}) \rightarrow 0$  along this phase boundary



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous CEP:  $\alpha_2 = \alpha_3 = 0$ 

• <u>case 2</u>:  $\alpha_{4,b} < 0 \Rightarrow$  inhomogeneous phases possible

- strictly: only two phases homogeneous and inhomogeneous  $\Rightarrow$  no LP
- ► There can be a 2nd-order transition between inhom. and hom. phase where the amplitude of the *inhomogeneous* part of M(x) goes to zero
- $M_0$  homogeneous ground state  $\Rightarrow \delta M(\vec{x}) \rightarrow 0$  along this phase boundary
- in general:  $\nabla \delta M(\vec{x}) \neq 0$  along this phase boundary

 $\Rightarrow$  as in the chiral limit:  $\alpha_{4,b} < 0, \alpha_2 > 0$ 



► GL expansion:

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left( \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

• <u>case 1</u>:  $\alpha_{4,b} > 0 \Rightarrow$  homogeneous CEP:  $\alpha_2 = \alpha_3 = 0$ 

• <u>case 2</u>:  $\alpha_{4,b} < 0 \Rightarrow$  inhomogeneous phases possible

- strictly: only two phases homogeneous and inhomogeneous  $\Rightarrow$  no LP
- There can be a 2nd-order transition between inhom. and hom. phase where the amplitude of the *inhomogeneous* part of M(x) goes to zero
- $M_0$  homogeneous ground state  $\Rightarrow \delta M(\vec{x}) \rightarrow 0$  along this phase boundary
- in general:  $\nabla \delta M(\vec{x}) \neq 0$  along this phase boundary

 $\Rightarrow$  as in the chiral limit:  $\alpha_{4,b} < 0, \alpha_2 > 0$ 

 $\rightarrow$  pseudo Lifshitz point (PLP):  $\alpha_2 = \alpha_{4,b} = 0$ 

# Summarizing: GL analysis of critical and Lifshitz points



- chiral limit (m = 0):
  - expansion about M = 0
  - TCP: α<sub>2</sub> = α<sub>4,a</sub> = 0
  - LP: α<sub>2</sub> = α<sub>4,b</sub> = 0
- away from the chiral limit  $(m \neq 0)$ :
  - expansion about  $M_0(T, \mu)$  solving  $\alpha_1(T, \mu, M_0) = 0$
  - CEP: α<sub>2</sub> = α<sub>3</sub> = 0
  - PLP:  $\alpha_2 = \alpha_{4,b} = 0$





► NJL mean-field thermodynamic potential:

$$\Omega_{MF}(T,\mu) = -\frac{\tau}{V} \text{Tr} \log\left(\frac{S^{-1}}{T}\right) + G \frac{1}{V} \int d^3x \left(S^2(\vec{x}) + P^2(\vec{x})\right)$$



NJL mean-field thermodynamic potential:

$$\Omega_{MF}(T,\mu) = -\frac{T}{V} \operatorname{Tr} \log \left( \frac{S^{-1}}{T} \right) + G \frac{1}{V} \int d^3x \, \left( S^2(\vec{x}) + P^2(\vec{x}) \right)$$

► again assume P = 0  $\rightarrow$   $M(\vec{x}) = m - 2GS(\vec{x}) \equiv M_0 + \delta M(\vec{x})$ 



NJL mean-field thermodynamic potential:

$$\Omega_{MF}(T,\mu) = -\frac{\tau}{V} \operatorname{Tr} \log\left(\frac{S^{-1}}{T}\right) + G \frac{1}{V} \int d^3x \, \left(S^2(\vec{x}) + P^2(\vec{x})\right)$$

► again assume P = 0  $\rightarrow$   $M(\vec{x}) = m - 2GS(\vec{x}) \equiv M_0 + \delta M(\vec{x})$ 

$$\Rightarrow \quad \Omega_{MF} = -\frac{T}{V} \operatorname{Tr} \log(S_0^{-1} - \delta M) + \frac{1}{V} \int_V d^3 x \, \frac{(M_0 - m + \delta M(\vec{x}))^2}{4G}$$

►  $S_0^{-1}(x) = i\partial + \mu\gamma^0 - M_0$  inverse propagator of a free fermion with mass  $M_0$ 



NJL mean-field thermodynamic potential:

$$\Omega_{MF}(T,\mu) = -\frac{T}{V} \operatorname{Tr} \log\left(\frac{S^{-1}}{T}\right) + G \frac{1}{V} \int d^3x \, \left(S^2(\vec{x}) + P^2(\vec{x})\right)$$

► again assume P = 0  $\rightarrow$   $M(\vec{x}) = m - 2GS(\vec{x}) \equiv M_0 + \delta M(\vec{x})$ 

$$\Rightarrow \quad \Omega_{MF} = -\frac{T}{V} \operatorname{Tr} \log(S_0^{-1} - \delta M) + \frac{1}{V} \int_V d^3 x \, \frac{(M_0 - m + \delta M(\vec{x}))^2}{4G}$$

- ►  $S_0^{-1}(x) = i\partial + \mu\gamma^0 M_0$  inverse propagator of a free fermion with mass  $M_0$
- expand logarithm:

$$\log(S_0^{-1} - \delta M) = \log(S_0^{-1}) + \log(1 - S_0 \delta M) = \log(S_0^{-1}) - \sum_{n=1}^{\infty} \frac{1}{n} (S_0 \delta M)^n$$



• Thermodynamic potential:  $\Omega_{MF} = \sum_{n=0}^{\infty} \Omega^{(n)}$ 

 $\Omega^{(n)}$ : contribution of order  $(\delta M)^n$ :

$$\begin{split} \Omega^{(0)} &= -\frac{T}{V} \operatorname{Tr} \, \log S_0^{-1} \, + \, \frac{1}{V} \int_{V} d^3 x \, \frac{(M_0 - m)^2}{4G} \\ \Omega^{(1)} &= \frac{T}{V} \operatorname{Tr} \, (S_0 \delta M) \, + \, \frac{M_0 - m}{2G} \, \frac{1}{V} \int_{V} d^3 x \, \delta M(\vec{x}) \, , \\ \Omega^{(2)} &= \frac{1}{2} \frac{T}{V} \operatorname{Tr} \, (S_0 \delta M)^2 \, + \, \frac{1}{4G} \, \frac{1}{V} \int_{V} d^3 x \, \delta M^2(\vec{x}) \, , \\ \Omega^{(n)} &= \frac{1}{n} \frac{T}{V} \operatorname{Tr} \, (S_0 \delta M)^n \quad \text{for } n \geq 3. \end{split}$$



functional trace:

$$\mathbf{Tr} \left(S_0 \delta M\right)^n = 2N_c \int \prod_{i=1}^n d^4 x_i \operatorname{tr}_{\mathsf{D}} \left[S_0(x_n, x_1) \delta M(\vec{x}_1) S_0(x_1, x_2) \delta M(\vec{x}_2) \dots S_0(x_{n-1}, x_n) \delta M(\vec{x}_n)\right]$$
## **Determination of the GL coefficients**



functional trace:

$$\mathbf{Tr} \left(S_0 \delta M\right)^n = 2N_c \int \prod_{i=1}^n d^4 x_i \operatorname{tr}_{\mathsf{D}} \left[S_0(x_n, x_1) \delta M(\vec{x}_1) S_0(x_1, x_2) \delta M(\vec{x}_2) \dots S_0(x_{n-1}, x_n) \delta M(\vec{x}_n)\right]$$

- ► gradient expansion:  $\delta M(\vec{x}_i) = \delta M(\vec{x}_1) + \nabla M(\vec{x}_1) \cdot (\vec{x}_i \vec{x}_1) + ...$ 
  - $\Rightarrow \quad \Omega^{(n)} = \sum_{j=0}^{\infty} \Omega^{(n,j)} \ , \quad j = \text{number of gradients}$

## **Determination of the GL coefficients**



functional trace:

$$\mathbf{Tr} \left(S_0 \delta M\right)^n = 2N_c \int \prod_{i=1}^n d^4 x_i \operatorname{tr}_{\mathsf{D}} \left[S_0(x_n, x_1) \delta M(\vec{x}_1) S_0(x_1, x_2) \delta M(\vec{x}_2) \dots S_0(x_{n-1}, x_n) \delta M(\vec{x}_n)\right]$$

► gradient expansion:  $\delta M(\vec{x}_i) = \delta M(\vec{x}_1) + \nabla M(\vec{x}_1) \cdot (\vec{x}_i - \vec{x}_1) + \dots$ 

$$\Rightarrow \quad \Omega^{(n)} = \sum_{j=0}^{\infty} \Omega^{(n,j)} , \quad j = \text{number of gradients}$$

- ► final steps:
  - Insert momentum-space rep. of the free propagators S<sub>0</sub> and turn out all but one d<sup>4</sup>x<sub>i</sub> integrals.
  - Compare results with GL expansion of  $\Omega_{MF}$  to read off the GL coefficients.



Resulting coefficients:

$$\begin{split} \alpha_1 &= \frac{M_0 - m}{2G} + M_0 F_1 \,, \qquad \alpha_2 = \frac{1}{4G} + \frac{1}{2} F_1 + M_0^2 F_2 \,, \qquad \alpha_3 = M_0 \left( F_2 + \frac{4}{3} M_0^2 F_3 \right) \,, \\ \alpha_{4,a} &= \frac{1}{4} F_2 + 2M_0^2 F_3 + 2M_0^4 F_4 \,, \qquad \alpha_{4,b} = \frac{1}{4} F_2 + \frac{1}{3} M_0^2 F_3 \end{split}$$

• 
$$F_n = 8N_c \int \frac{d^3p}{(2\pi)^3} T \sum_j \frac{1}{[(i\omega_j + \mu)^2 - \bar{p}^2 - M_0^2]^n}, \quad \omega_j = (2j+1)\pi T$$



Resulting coefficients:

$$\begin{split} \alpha_1 &= \frac{M_0 - m}{2G} + M_0 F_1 \,, \qquad \alpha_2 = \frac{1}{4G} + \frac{1}{2} F_1 + M_0^2 F_2 \,, \qquad \alpha_3 = M_0 \left( F_2 + \frac{4}{3} M_0^2 F_3 \right) \,, \\ \alpha_{4,a} &= \frac{1}{4} F_2 + 2M_0^2 F_3 + 2M_0^4 F_4 \,, \qquad \alpha_{4,b} = \frac{1}{4} F_2 + \frac{1}{3} M_0^2 F_3 \end{split}$$

• 
$$F_n = 8N_c \int \frac{d^3p}{(2\pi)^3} T \sum_j \frac{1}{[(i\omega_j + \mu)^2 - \vec{p}^2 - M_0^2]^n}, \quad \omega_j = (2j+1)\pi T$$

- ► chiral limit:
  - $m = 0 \Rightarrow M_0 = 0$  solves gap equation  $\alpha_1 = 0$
  - $M_0 = 0 \Rightarrow \alpha_3 = 0$  (no odd powers)
  - $M_0 = 0 \Rightarrow \alpha_{4,a} = \alpha_{4,b} \Rightarrow \text{TCP} = \text{LP}$  [Nickel, PRL (2009)]



Resulting coefficients:

$$\begin{split} \alpha_1 &= \frac{M_0 - m}{2G} + M_0 F_1 \,, \qquad \alpha_2 = \frac{1}{4G} + \frac{1}{2} F_1 + M_0^2 F_2 \,, \qquad \alpha_3 = M_0 \left( F_2 + \frac{4}{3} M_0^2 F_3 \right) \,, \\ \alpha_{4,a} &= \frac{1}{4} F_2 + 2M_0^2 F_3 + 2M_0^4 F_4 \,, \qquad \alpha_{4,b} = \frac{1}{4} F_2 + \frac{1}{3} M_0^2 F_3 \end{split}$$

• 
$$F_n = 8N_c \int \frac{d^3p}{(2\pi)^3} T \sum_j \frac{1}{[(i\omega_j + \mu)^2 - \vec{p}^2 - M_0^2]^n}, \quad \omega_j = (2j+1)\pi T$$

towards the chiral limit:

► 
$$M_0 \rightarrow 0 \Rightarrow \alpha_3, \alpha_{4ba}, \alpha_{4,b} \propto F_2 \Rightarrow \mathsf{CEP} \rightarrow \mathsf{TCP} = \mathsf{LP}$$



Resulting coefficients:

$$\begin{split} \alpha_1 &= \frac{M_0 - m}{2G} + M_0 F_1 \,, \qquad \alpha_2 = \frac{1}{4G} + \frac{1}{2} F_1 + M_0^2 F_2 \,, \qquad \alpha_3 = M_0 \left( F_2 + \frac{4}{3} M_0^2 F_3 \right) \,, \\ \alpha_{4,a} &= \frac{1}{4} F_2 + 2M_0^2 F_3 + 2M_0^4 F_4 \,, \qquad \alpha_{4,b} = \frac{1}{4} F_2 + \frac{1}{3} M_0^2 F_3 \end{split}$$

• 
$$F_n = 8N_c \int \frac{d^3p}{(2\pi)^3} T \sum_j \frac{1}{[(i\omega_j + \mu)^2 - \vec{p}^2 - M_0^2]^n}, \quad \omega_j = (2j+1)\pi T$$

► away from the chiral limit:

• 
$$M_0 \neq 0 \Rightarrow \alpha_3 = 4M_0\alpha_{4,b} \Rightarrow \mathsf{CEP} = \mathsf{PLP}$$



Resulting coefficients:

$$\begin{split} \alpha_1 &= \frac{M_0 - m}{2G} + M_0 F_1 \,, \qquad \alpha_2 = \frac{1}{4G} + \frac{1}{2} F_1 + M_0^2 F_2 \,, \qquad \alpha_3 = M_0 \left( F_2 + \frac{4}{3} M_0^2 F_3 \right) \,, \\ \alpha_{4,a} &= \frac{1}{4} F_2 + 2M_0^2 F_3 + 2M_0^4 F_4 \,, \qquad \alpha_{4,b} = \frac{1}{4} F_2 + \frac{1}{3} M_0^2 F_3 \end{split}$$

• 
$$F_n = 8N_c \int \frac{d^3p}{(2\pi)^3} T \sum_j \frac{1}{[(\omega_j + \mu)^2 - \vec{p}^2 - M_0^2]^n}, \quad \omega_j = (2j+1)\pi T$$

- away from the chiral limit:
  - $M_0 \neq 0 \Rightarrow \alpha_3 = 4M_0\alpha_{4,b} \Rightarrow \text{CEP} = \text{PLP}$

The CEP coincides with the PLP!

### **Results:**









	chiral limit	explicitly broken
NJL model		
QM model		



	chiral limit	explicitly broken
NJL model	LP = TCP	
	[Nickel, PRL (2009)]	
QM model		





	chiral limit	explicitly broken
NJL model	LP = TCP	
	[Nickel, PRL (2009)]	
	LP = TCP	
QM model	if $m_{\sigma} = 2\bar{M}$	
	[MB, Carignano, Schaefer, PRD (2014)]	





	chiral limit	explicitly broken
NJL model	LP = TCP	PLP = CEP
	[Nickel, PRL (2009)]	[MB, Carignano, PLB (2019)]
	LP = TCP	
QM model	if $m_{\sigma} = 2\bar{M}$	
	[MB, Carignano, Schaefer, PRD (2014)]	





	chiral limit	explicitly broken
NJL model	LP = TCP	PLP = CEP
	[Nickel, PRL (2009)]	[MB, Carignano, PLB (2019)]
	LP = TCP	PLP = CEP
QM model	if $m_{\sigma} = 2\bar{M}$	if $m_{\sigma} = 2\bar{M}$ in the chiral limit
	[MB, Carignano, Schaefer, PRD (2014)]	[MB, Carignano, Kurth EPJST (2020)]





	chiral limit	explicitly broken
NJL model	LP = TCP	PLP = CEP
	[Nickel, PRL (2009)]	[MB, Carignano, PLB (2019)]
	LP = TCP	PLP = CEP
QM model	if $m_{\sigma} = 2\bar{M}$	if $m_{\sigma} = 2\bar{M}$ in the chiral limit
	[MB, Carignano, Schaefer, PRD (2014)]	[MB, Carignano, Kurth EPJST (2020)]

Model results, but independent of model parameters



	chiral limit	explicitly broken
NJL model	LP = TCP	PLP = CEP
	[Nickel, PRL (2009)]	[MB, Carignano, PLB (2019)]
	LP = TCP	PLP = CEP
QM model	if $m_{\sigma} = 2\bar{M}$	if $m_{\sigma} = 2\bar{M}$ in the chiral limit
	[MB, Carignano, Schaefer, PRD (2014)]	[MB, Carignano, Kurth EPJST (2020)]

- Model results, but independent of model parameters
- → Model predictions of an inhomogeneous phase should be taken as seriously as those of a CEP!

## Stability analysis



## Stability analysis



#### ► as before:

Expand the thermodynamic potential in powers of small fluctuations  $\delta M$  around the most stable homogeneous solution  $M_0$ 

• Contributions of order  $(\delta M)^n$ :

$$\Omega^{(0)} = -\frac{T}{V} \operatorname{Tr} \log S_0^{-1} + \frac{1}{V} \int_{V} d^3 x \, \frac{(M_0 - m)^2}{4G}$$
$$\Omega^{(1)} = \frac{T}{V} \operatorname{Tr} (S_0 \delta M) + \frac{M_0 - m}{2G} \frac{1}{V} \int_{V} d^3 x \, \delta M(\vec{x})$$
$$\Omega^{(2)} = \frac{1}{2} \frac{T}{V} \operatorname{Tr} (S_0 \delta M)^2 + \frac{1}{4G} \frac{1}{V} \int_{V} d^3 x \, \delta M^2(\vec{x})$$
$$\Omega^{(n>3)} = \frac{1}{n} \frac{T}{V} \operatorname{Tr} (S_0 \delta M)^n$$

## Stability analysis



#### ► as before:

Expand the thermodynamic potential in powers of small fluctuations  $\delta M$  around the most stable homogeneous solution  $M_0$ 

• Contributions of order  $(\delta M)^n$ :

 $\Omega^{(0)}$  not relevant in the following

 $\Omega^{(1)} = 0$  by the gap equation

$$\Omega^{(2)} = \frac{1}{2} \frac{T}{V} \operatorname{Tr} (S_0 \delta M)^2 + \frac{1}{4G} \frac{1}{V} \int_V d^3 x \ \delta M^2(\vec{x})$$

 $\Omega^{(n>3)}$  not relevant in the following

### **Quadratic contribution**



$$\blacktriangleright \ \Omega^{(2)} = \frac{1}{2} \frac{T}{V} \operatorname{Tr} \left( S_0 \delta M \right)^2 + \frac{1}{4G} \frac{1}{V} \int_V d^3 x \ \delta M^2(\vec{x})$$

- functional trace:
  - **Tr**  $(S_0 \delta M)^2 = 2N_c \int d^4x \, d^4x' \, \text{tr}_D \Big[ S_0(x, x') \, \delta M(\vec{x}) \, S_0(x', x) \, \delta M(\vec{x}) \Big]$

#### **Quadratic contribution**



$$\blacktriangleright \ \Omega^{(2)} = \frac{1}{2} \frac{T}{V} \operatorname{Tr} \left( S_0 \delta M \right)^2 + \frac{1}{4G} \frac{1}{V} \int_V d^3 x \ \delta M^2(\vec{x})$$

- functional trace:
  - **Tr**  $(S_0 \delta M)^2 = 2N_c \int d^4x \, d^4x' \, \text{tr}_D \Big[ S_0(x, x') \, \delta M(\vec{x}) \, S_0(x', x) \, \delta M(\vec{x}) \Big]$
- ► Evaluate in momentum space without gradient expansion:

$$\Omega^{(2)} = \frac{1}{2V} \int \frac{d^3q}{(2\pi)^3} |\delta M(\vec{q})|^2 \Gamma_S^{-1}(q)$$

•  $\Gamma_S^{-1}(q) \propto \text{ inverse sigma propagator at } q = \begin{pmatrix} 0 \\ \vec{q} \end{pmatrix}$ 

$$= \times + \times \times + \dots = \times + \times +$$

• unstable region:  $\Gamma_S^{-1}(q) < 0$ 

#### **Quadratic contribution**



• 
$$\Omega^{(2)} = \frac{1}{2} \frac{T}{V} \operatorname{Tr} (S_0 \delta M)^2 + \frac{1}{4G} \frac{1}{V} \int_V d^3 x \, \delta M^2(\vec{x})$$

- functional trace:
  - **Tr**  $(S_0 \delta M)^2 = 2N_c \int d^4x \, d^4x' \, \text{tr}_D \Big[ S_0(x, x') \, \delta M(\vec{x}) \, S_0(x', x) \, \delta M(\vec{x}) \Big]$
- ► Evaluate in momentum space without gradient expansion:

$$\Omega^{(2)} = \frac{1}{2V} \int \frac{d^3q}{(2\pi)^3} |\delta M(\vec{q})|^2 \Gamma_S^{-1}(q)$$

►  $\Gamma_S^{-1}(q) \propto \text{ inverse sigma propagator at } q = \begin{pmatrix} 0 \\ \vec{a} \end{pmatrix}$ 

• unstable region:  $\Gamma_{S}^{-1}(q) < 0$ 

### • including pseudoscalar fluctuations $\delta P$ :

analogous expressions involving  $\Gamma_P^{-1}(q) \propto$  inverse pion propagator

### Example



 inverse meson propagators for m = 10 MeV, T = 10 MeV, μ = 344 MeV: [MB, S. Carignano, PLB (2018)]



▶ blue:  $\Gamma_P^{-1} \rightarrow$  stable w.r.t.  $\delta P$ 

### Phasediagram

[MB, S. Carignano, PLB (2018)]





dominant instability in the scalar channel

## Phasediagram

[MB, S. Carignano, PLB (2018)]





- orange region: RKC favored
- instability region < RKC region (not shown)
  - "right phase" boundaries agree
  - stability analysis misses instabilites in the homogeneous broken regime w.r.t. large fluctuations

dominant instability in the scalar channel







from [Koenigstein et al. (2022)]

#### 1 + 1 dim Gross-Neveu model:

 inhomogeneous phase in the renormalized limit [Thies et al.]





[MB, Kurth, Wagner Winstel; PRD (2021)]

- 1 + 1 dim Gross-Neveu model:
  - inhomogeneous phase in the renormalized limit [Thies et al.]
- 2 + 1 dim Gross-Neveu model:
  - IP for finite Λ
  - disappears for  $\Lambda \to \infty$





[MB, Kurth, Wagner Winstel; PRD (2021)]

#### Then how about 3 + 1 dim GN /NJL ?

- ▶ non-renormalizable → cutoff must be kept finite
- strong regulator dependecies [Pannullo, Wagner, Winstel PoS LATTICE2022]
- ▶ No IP in GN with  $2 \le d < 3 \varepsilon$  spatial dimensions [Pannullo, PRD (2023)]

#### 1 + 1 dim Gross-Neveu model:

- inhomogeneous phase in the renormalized limit [Thies et al.]
- 2 + 1 dim Gross-Neveu model:
  - IP for finite Λ
  - disappears for  $\Lambda \to \infty$



1 + 1 dim Gross-Neveu model:

2 + 1 dim Gross-Neveu model:

disappears for  $\Lambda \to \infty$ 

IP for finite Λ

inhomogeneous phase in the

renormalized limit [Thies et al.]



[MB, Kurth, Wagner Winstel; PRD (2021)]

#### Then how about 3 + 1 dim GN /NJL ?

- ▶ non-renormalizable → cutoff must be kept finite
- strong regulator dependecies [Pannullo, Wagner, Winstel PoS LATTICE2022]
- ▶ No IP in GN with 2 ≤ d < 3  $\varepsilon$  spatial dimensions [Pannullo, PRD (2023)]
- ► 3 + 1 dim QM model:

IP survives  $\Lambda \to \infty$ , but potential not bounded from below



But maybe the cutoff contains some physics ...



But maybe the cutoff contains some physics ... Does the cutoff mimic asymptotic freedom?

- But maybe the cutoff contains some physics ... Does the cutoff mimic asymptotic freedom?
- Indications of an inhomogeneous chiral phase in QCD from DSEs (CDW-like ansatz)
  [D. Müller et al., PLB (2013)]





- But maybe the cutoff contains some physics ... Does the cutoff mimic asymptotic freedom?
- Indications of an inhomogeneous chiral phase in QCD from DSEs (CDW-like ansatz)
  [D. Müller et al., PLB (2013)]
- Ongoing work towards a QCD stability analysis [Motta et al., arXiv:2306.09749]
  - ightarrow Theo Motta's talk on Tuesday





### Conclusion



- Chiral models can give us hints about interesting features of the QCD phase diagram:
  - the critical endpoint
  - color-superconducting phases
  - inhomogeneous phases
  - **۱**...
- They are not suited for quantitative predictions of them, but they have inspired more sophisticated (QCD based) investigations and are useful benchmarks for them.