

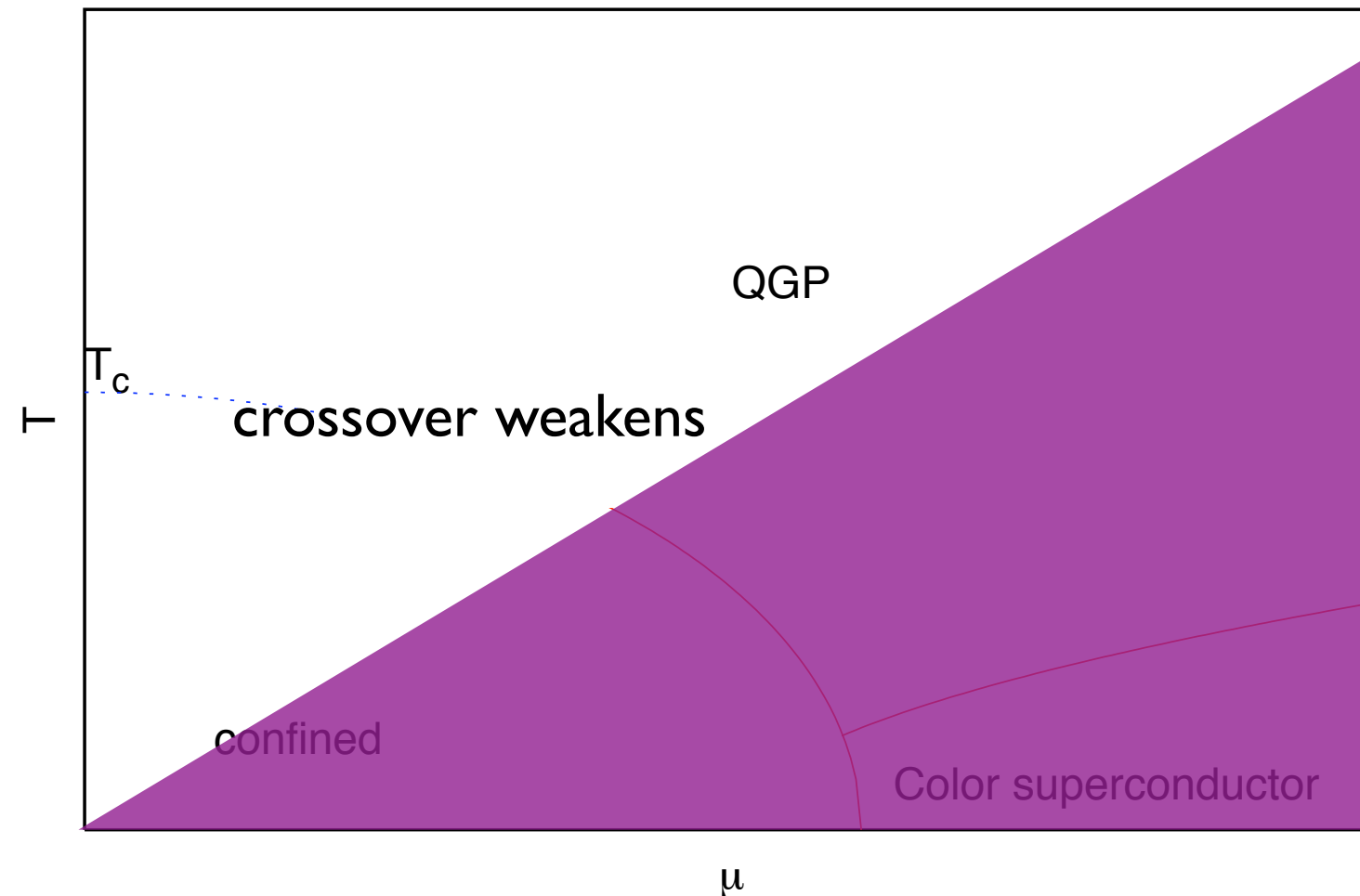
# Towards the cold and dense regime of QCD with effective lattice theories

Owe Philipsen



- QCD phase diagram and sign problem
- Towards cold and dense QCD: effective lattice theories

# The lattice-calculable region of the phase diagram



- Sign problem prohibits direct simulation, circumvented by approximate methods: reweighting, Taylor expansion, imaginary chem. pot., need  $\mu/T \lesssim 1$  ( $\mu = \mu_B/3$ )
- No critical point in the controllable region, some signals beyond

# Effective lattice theories for finite density

- General idea: two-step treatment
- I. Derivation of effective theory from LQCD by expansion methods  $\sim \frac{1}{g^2}, \frac{1}{m_q}$
- Part of d.o.f's integrated out, sign problem becomes milder
- II. Simulate effective theory (flux rep. + worm algorithm, complex Langevin);  
or solve analytically by “high T expansion” techniques from Stat. Mech.

Two possibilities for effective degrees of freedom:

$$Z = \int DU_0 DU_i (\det Q)^{N_f} e^{S_g[U]} = \int DU_0 e^{S_{eff}[U_0]} = \int DL e^{S_{eff}[L]} \quad \text{Polyakov loops}$$

$$Z = \int DU D\bar{\psi} D\psi e^{S_g[U] + S_f[\bar{\psi}, \psi, U]} = \int D\bar{\psi} D\psi e^{S_{eff}[\bar{\psi}, \psi]} \quad \text{Baryons and mesons}$$

These formulations are, in principle, exact; in practice truncations of systematic expansions

# Polyakov loops: start from Wilson's lattice action

Pure gauge part: character expansion

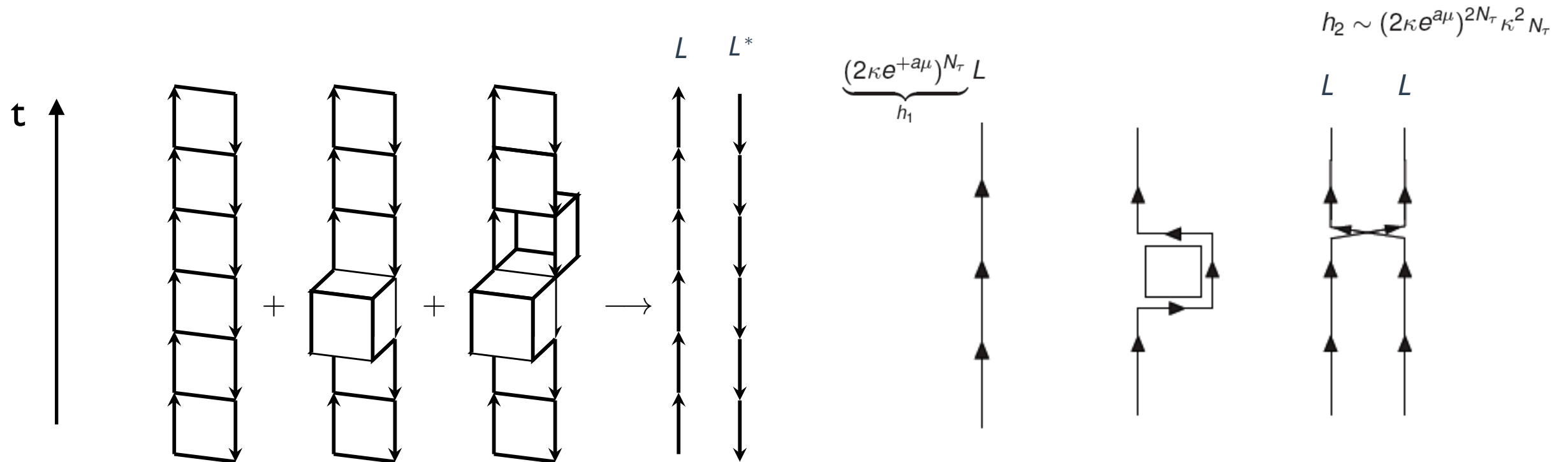
$$u(\beta) = \frac{\beta}{18} + \frac{\beta^2}{216} + \dots < 1$$

$$\beta = \frac{2N}{g^2}$$

Fermion determinant: hopping expansion

$$\kappa = \frac{1}{2am + 8}$$

Generates couplings over all distances, n-pt. couplings, higher reps....:



$$\lambda(u, N_\tau \geq 5) = u^{N_\tau} \exp \left[ N_\tau \left( 4u^4 + 12u^5 - 14u^6 - 36u^7 + \frac{295}{2}u^8 + \frac{1851}{10}u^9 + \frac{1055797}{5120}u^{10} \right) \right]$$



# The effective 3d theory

$$-S_{\text{eff}} = \sum_i \lambda_i(u, \kappa, N_\tau) S_i^S - 2N_f \sum_i \left[ h_i(u, \kappa, \mu, N_\tau) S_i^A + \bar{h}_i(u, \kappa, \mu, N_\tau) S_i^{\dagger A} \right]$$

effective couplings

$$S_i^{A,S} = S_i^{A,S}[L, L^*]$$

This is a 3d continuous spin model!

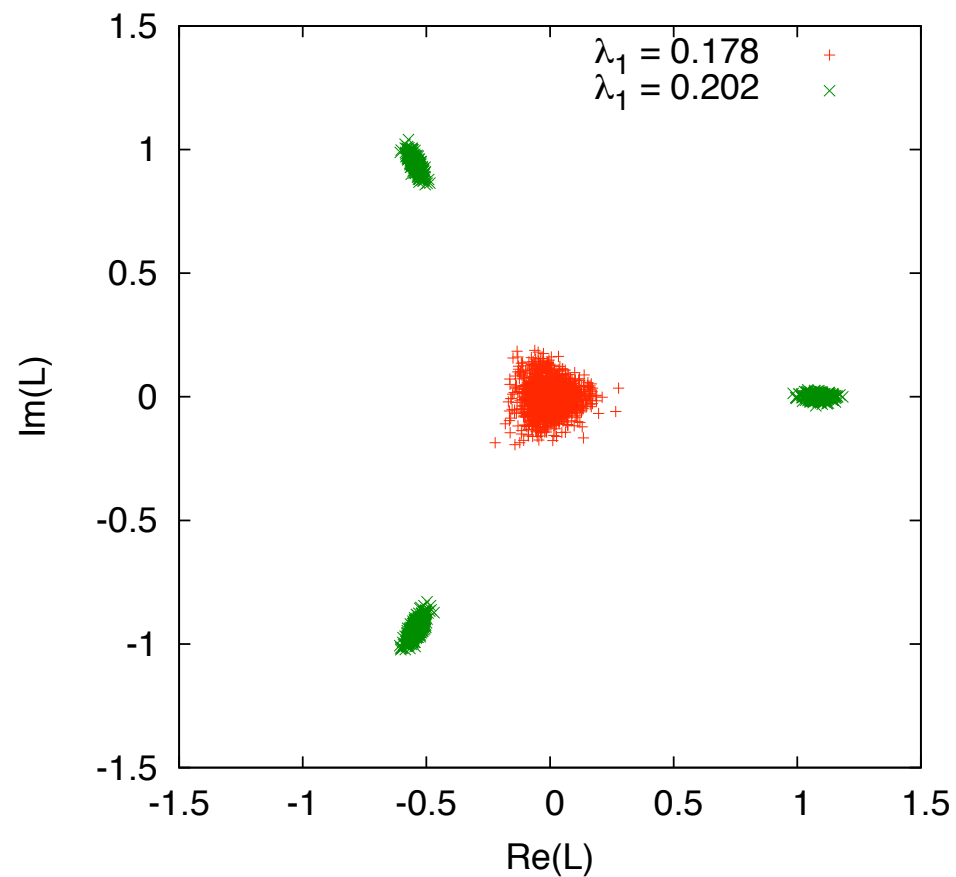
“Duality transformation”:  $\beta = \frac{2N}{g^2} \longleftrightarrow u = \frac{\beta}{18} + O(\beta^2) < 1$

$$Z = \int DW \prod_{\langle \mathbf{x}, \mathbf{y} \rangle} \left[ 1 + \lambda(L_{\mathbf{x}} L_{\mathbf{y}}^* + L_{\mathbf{x}}^* L_{\mathbf{y}}) \right] \quad L = \text{Tr} W$$

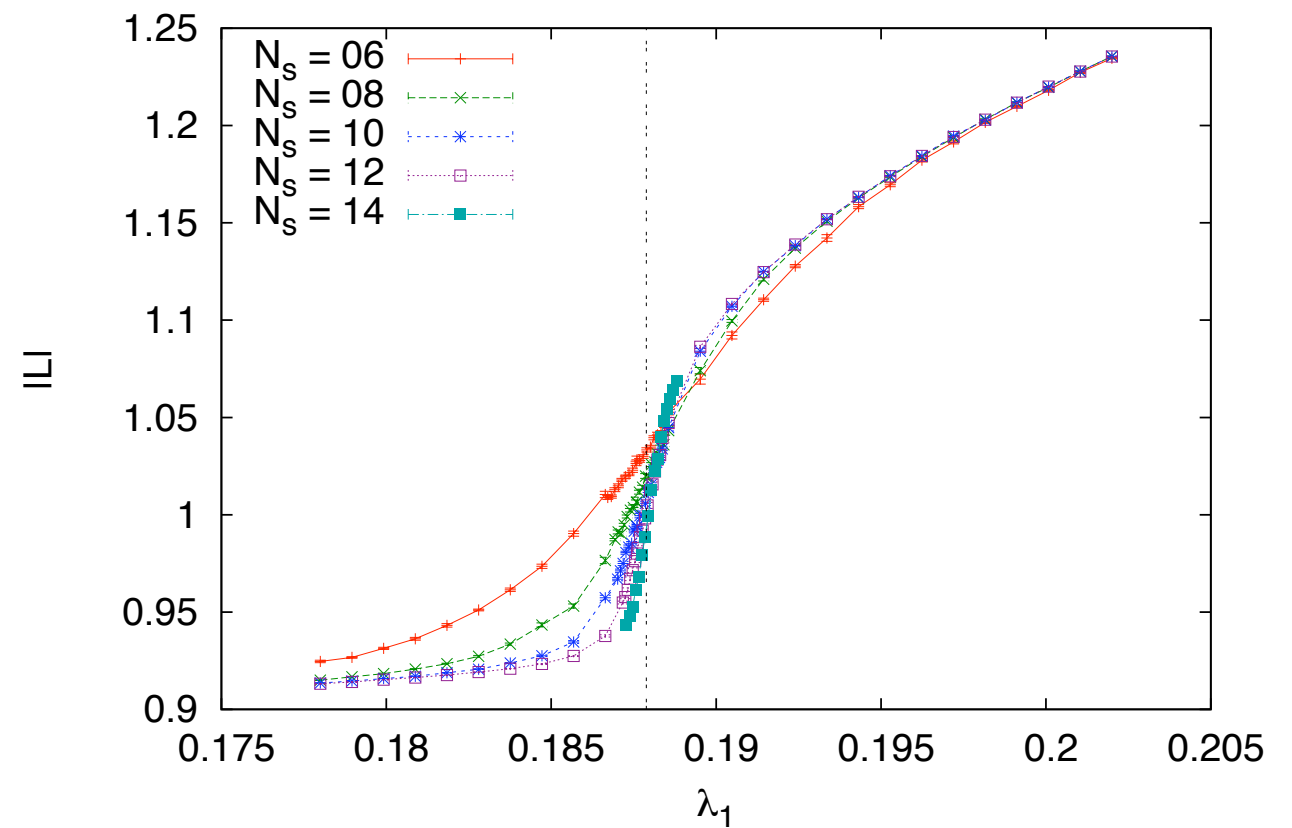
$$\times \prod_{\mathbf{x}} [1 + h_1 L_{\mathbf{x}} + h_1^2 L_{\mathbf{x}}^* + h_1^3]^{2N_f} [1 + \bar{h}_1 L_{\mathbf{x}}^* + \bar{h}_1^2 L_{\mathbf{x}} + \bar{h}_1^3]^{2N_f}$$

$$\times \prod_{\langle \mathbf{x}, \mathbf{y} \rangle} \left( 1 - h_2 \text{Tr} \frac{h_1 W_{\mathbf{x}}}{1 + h_1 W_{\mathbf{x}}} \text{Tr} \frac{h_1 W_{\mathbf{y}}}{1 + h_1 W_{\mathbf{y}}} \right) \left( 1 - h_2 \text{Tr} \frac{\bar{h}_1 W_{\mathbf{x}}^\dagger}{1 + \bar{h}_1 W_{\mathbf{x}}^\dagger} \text{Tr} \frac{\bar{h}_1 W_{\mathbf{y}}^\dagger}{1 + \bar{h}_1 W_{\mathbf{y}}^\dagger} \right) \dots$$

# Example SU(3) Yang-Mills



Order-disorder transition  
=Z(3) breaking



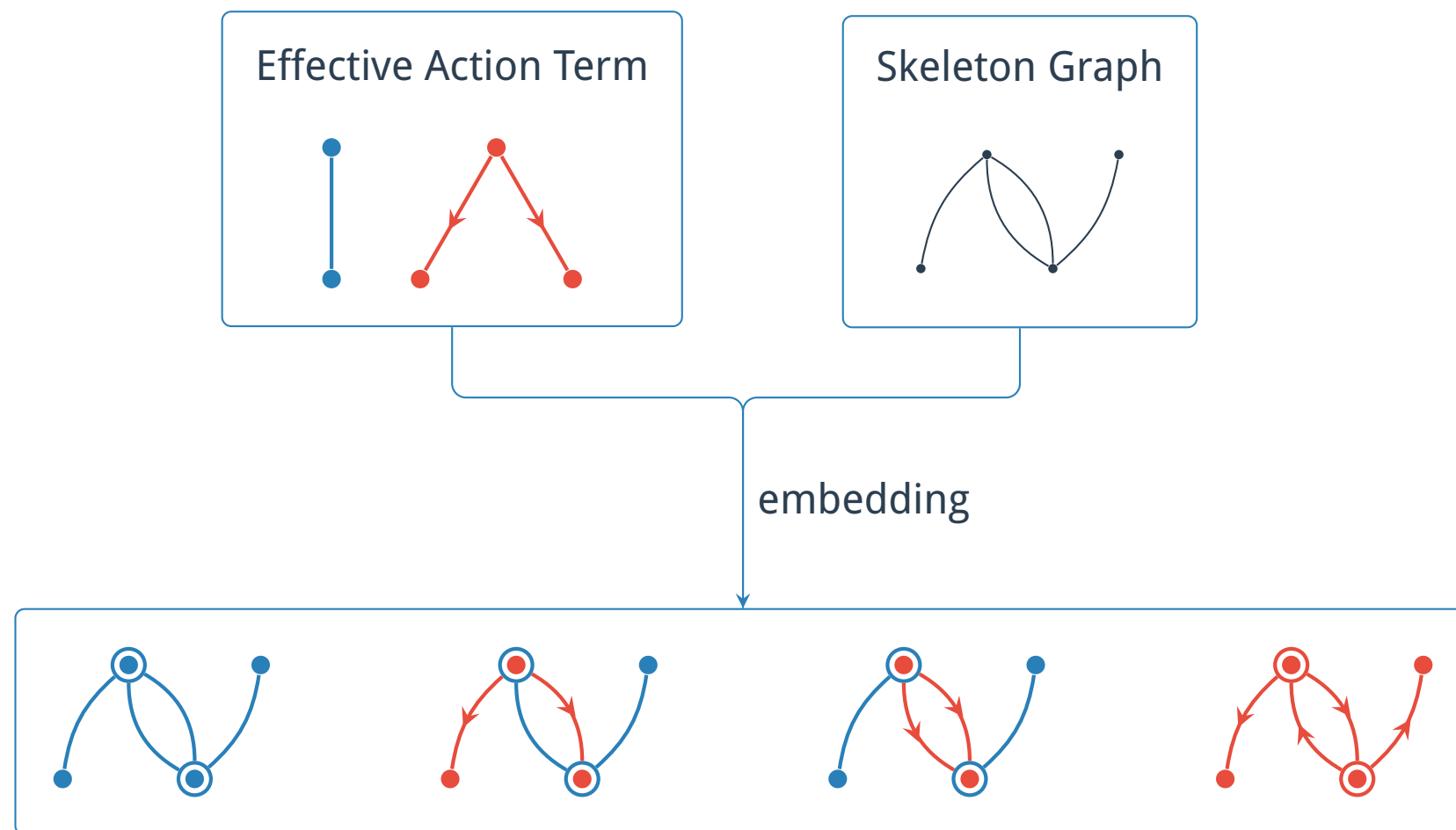
# Linked cluster expansion of effective theory

$$\mathcal{Z} = \int \mathcal{D}\phi e^{-S_0[\phi] + \frac{1}{2} \sum v_{ij}(x,y) \phi_i(x) \phi_j(y) + \frac{1}{3!} \sum u_{ijk}(x,y,z) \phi_i(x) \phi_j(y) \phi_k(z) + \dots}$$

$$W = -\ln \mathcal{Z} = \bullet + \frac{1}{2} \begin{array}{c} \bullet \\ | \\ \bullet \end{array} + \frac{1}{2} \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \bullet \quad \bullet \end{array} + \frac{1}{4} \begin{array}{c} \bullet \\ \parallel \\ \bullet \end{array} + \frac{1}{2} \begin{array}{c} \bullet \\ \diagdown \quad \diagup \\ \bullet \quad \bullet \end{array} + \frac{1}{2} \begin{array}{c} \bullet \\ \curvearrowright \\ \bullet \end{array} + \mathcal{O}(v^3)$$

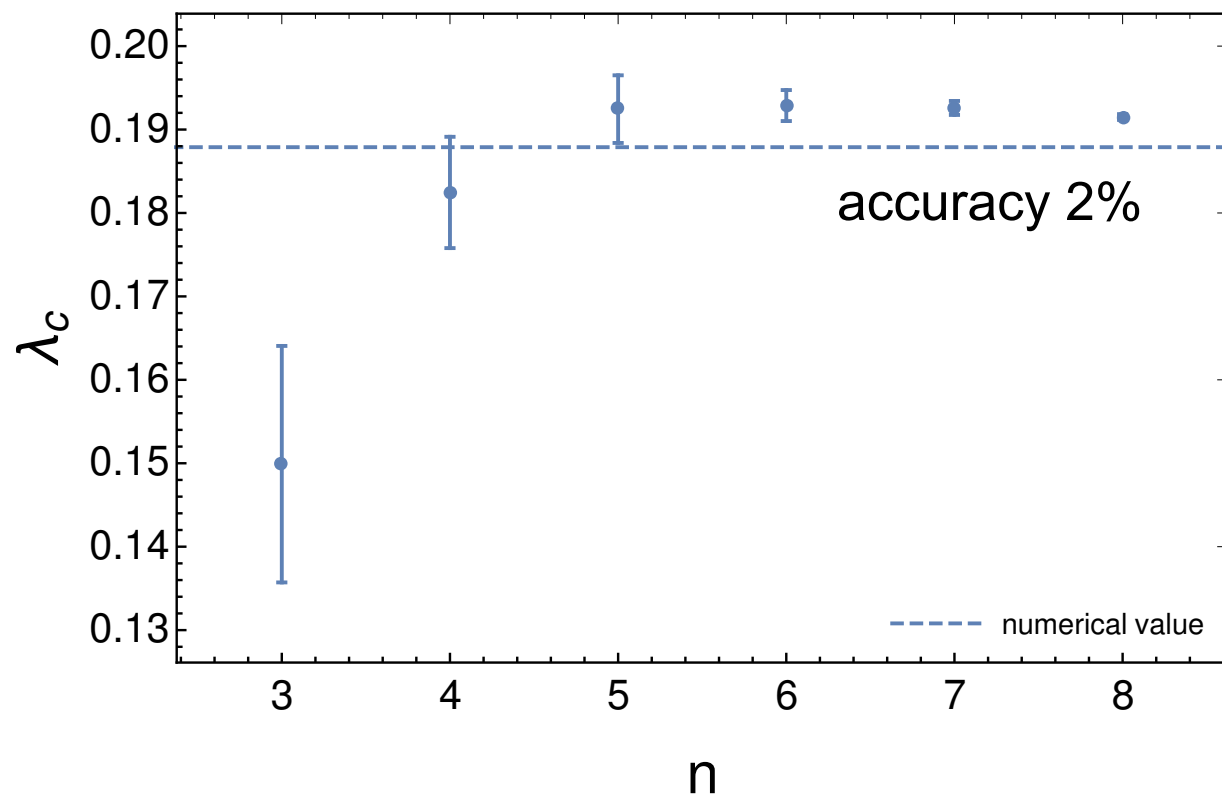
Mapping of the effective theory by embedding:

Glesaaen, Neuman, O.P. 15



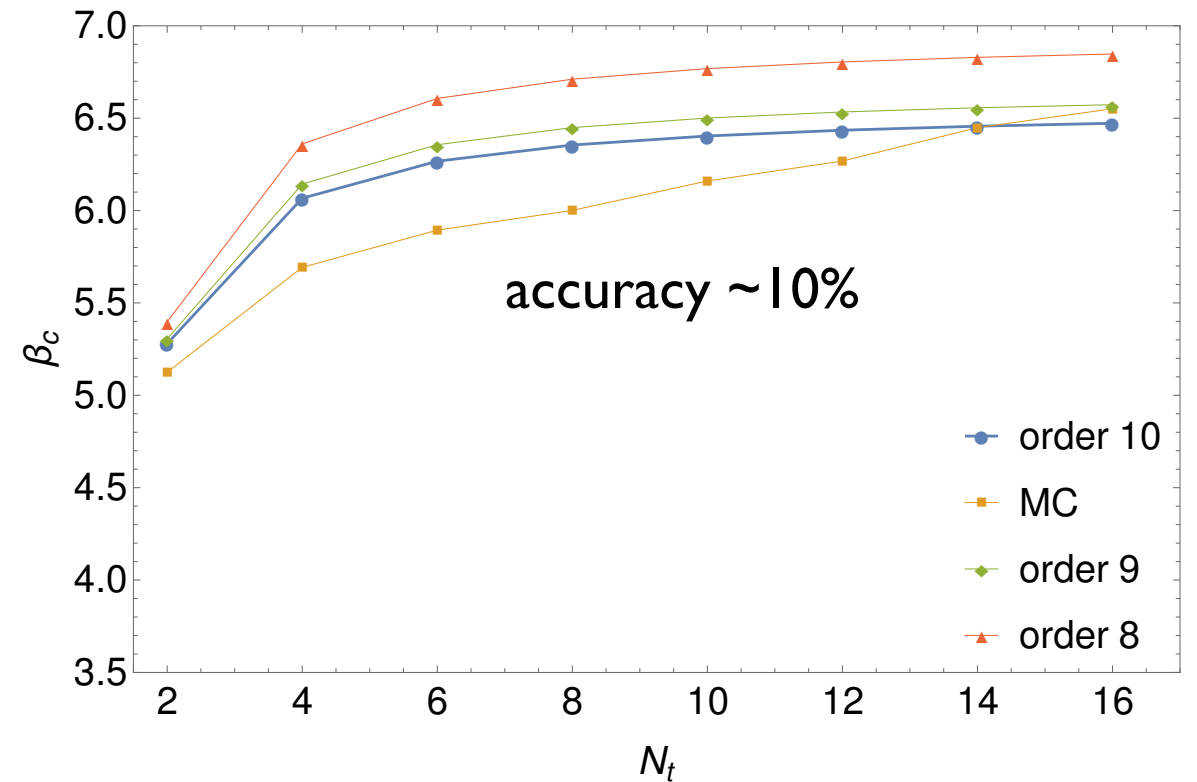
# Yang-Mills fully analytic

Solution of eff.th.



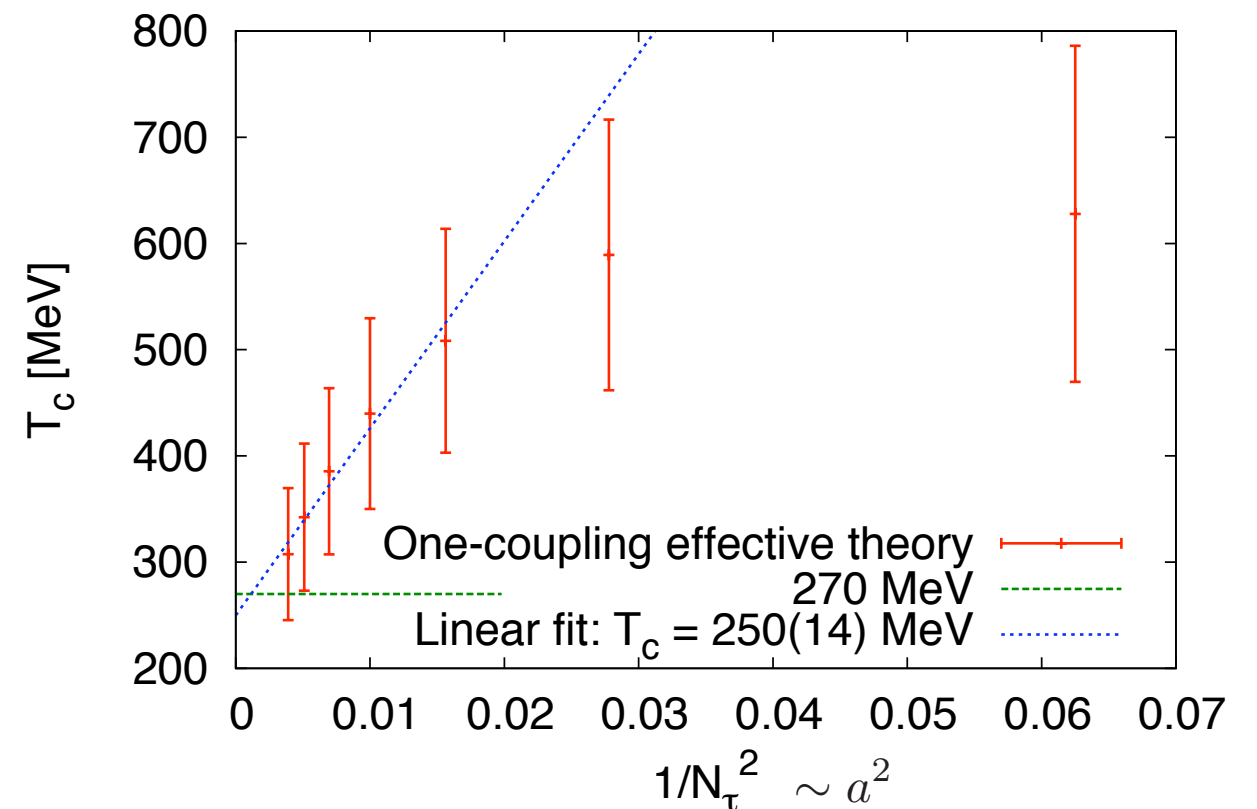
order of expansion

Conversion to 4d YM

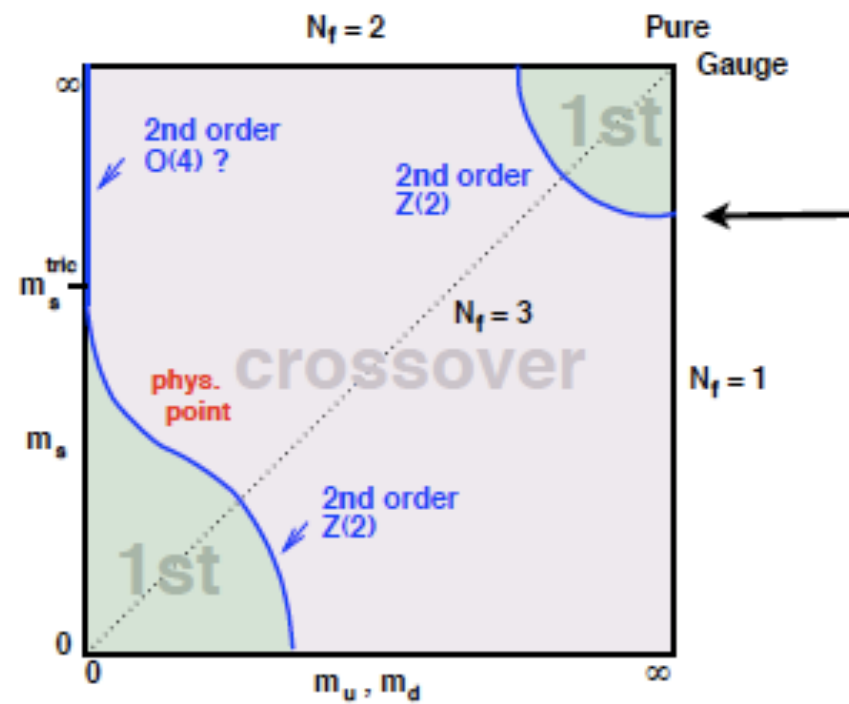


Two calculations:

1. by “hand” (Q. Pham, J. Scheunert, GU)
2. automatic graph generation (J. Kim, GU)



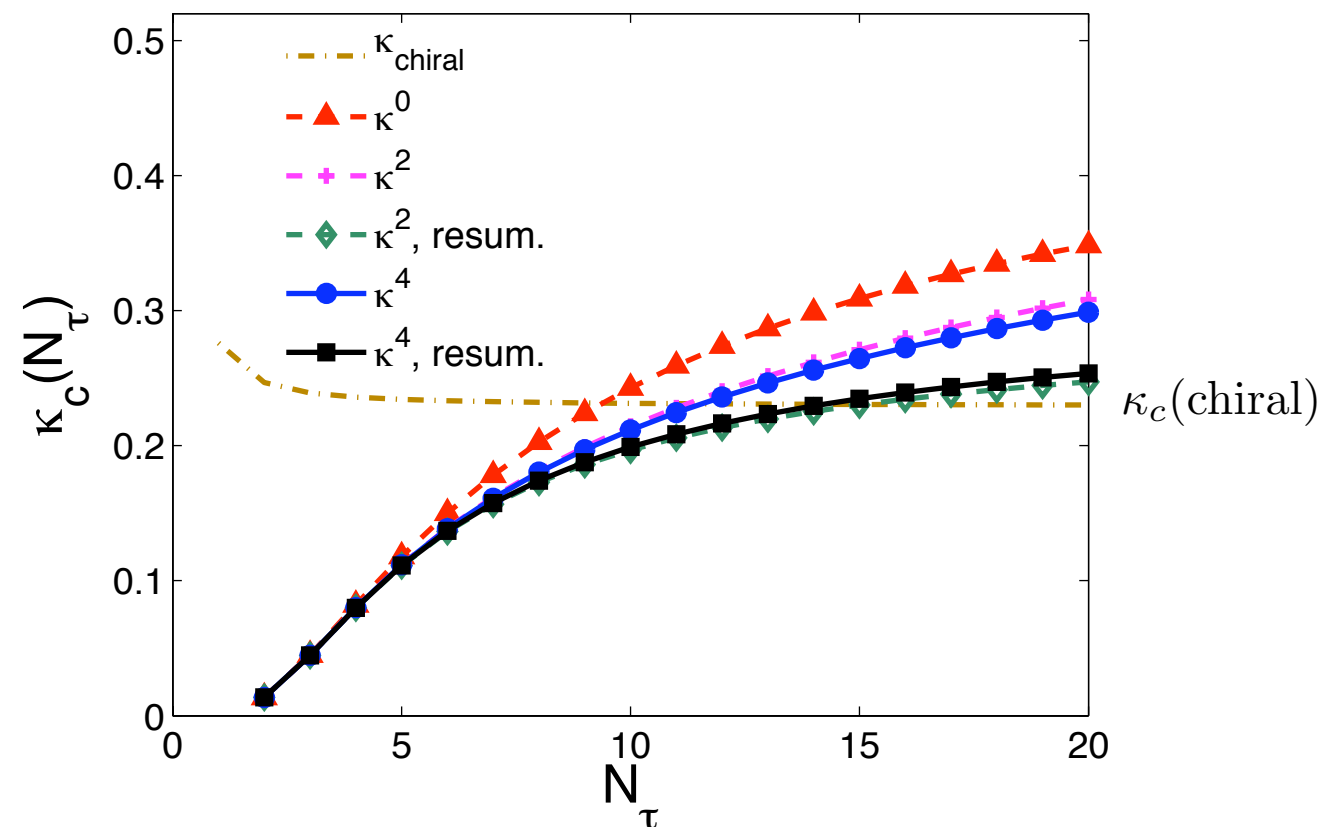
# The deconfinement transition for heavy quarks



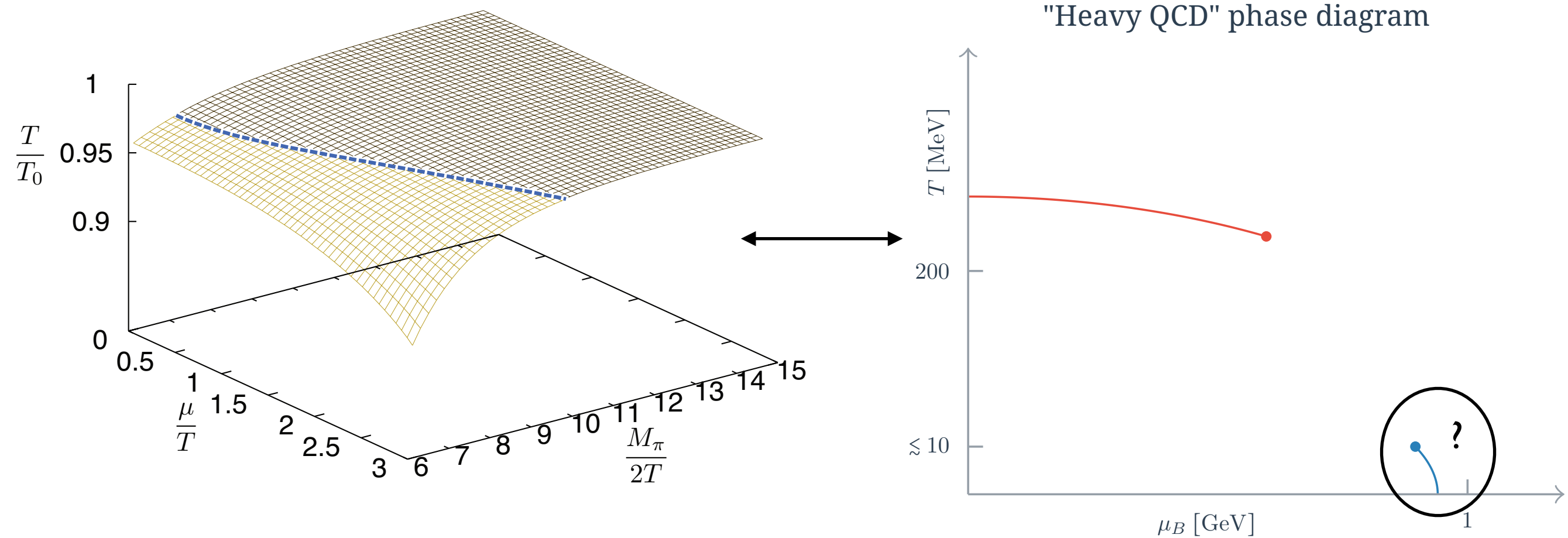
		eff. theory	4d MC, WHOT	4d MC, de Forcrand et al
$N_f$	$M_c/T$	$\kappa_c(N_\tau = 4)$	$\kappa_c(4)$ , Ref. [23]	$\kappa_c(4)$ , Ref. [22]
1	7.22(5)	0.0822(11)	0.0783(4)	$\sim 0.08$
2	7.91(5)	0.0691( 9)	0.0658(3)	—
3	8.32(5)	0.0625( 9)	0.0595(3)	—

Accuracy  $\sim 5\%$ , predictions for  $N_t=6,8,\dots$  available!

Not yet good enough for continuum extrapolation



# The deconfinement transition at finite density

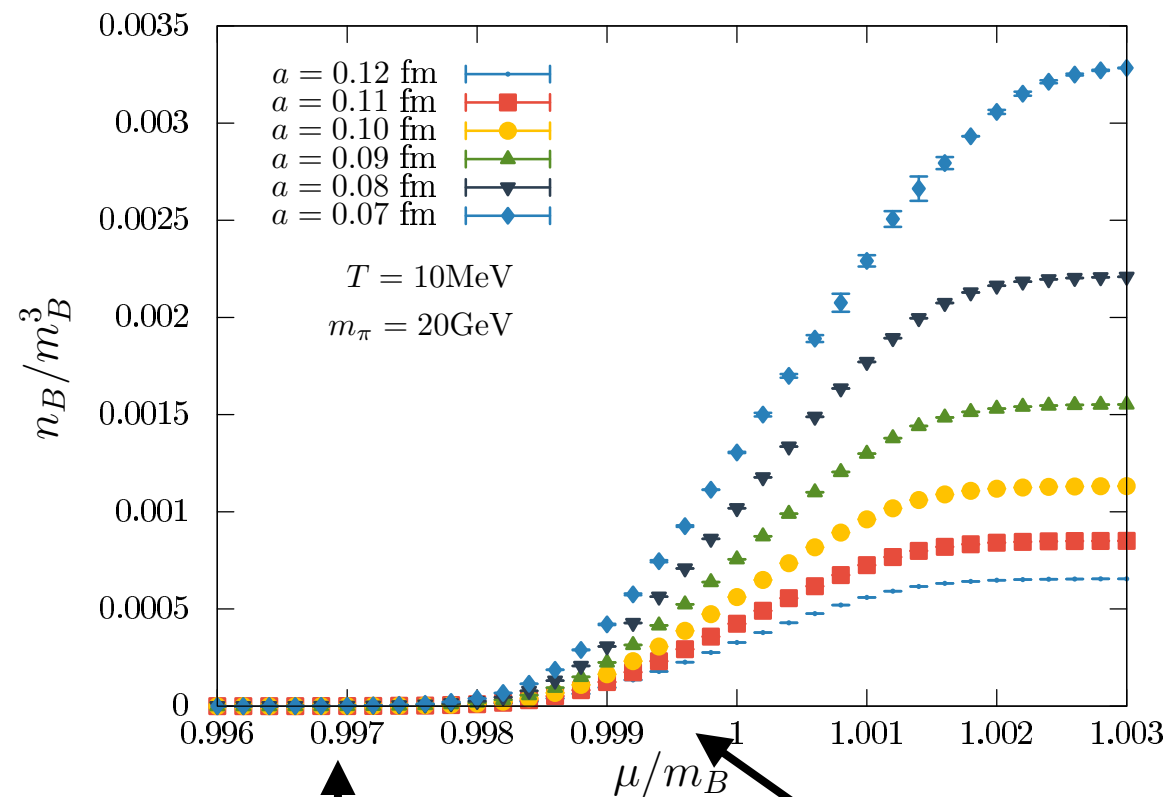


Fromm, Langelage, Lottini, O.P. 11

Continuum, functional methods:  
Fischer, Lücker, Pawłowski 15

# The cold and dense regime

Analytic calculation through  $\sim u^5 \kappa^8$



Lattice saturation  $a^3 n_B \sim 2N_c N_f$

same for isospin, **quark matter**

silver blaze phenomenon

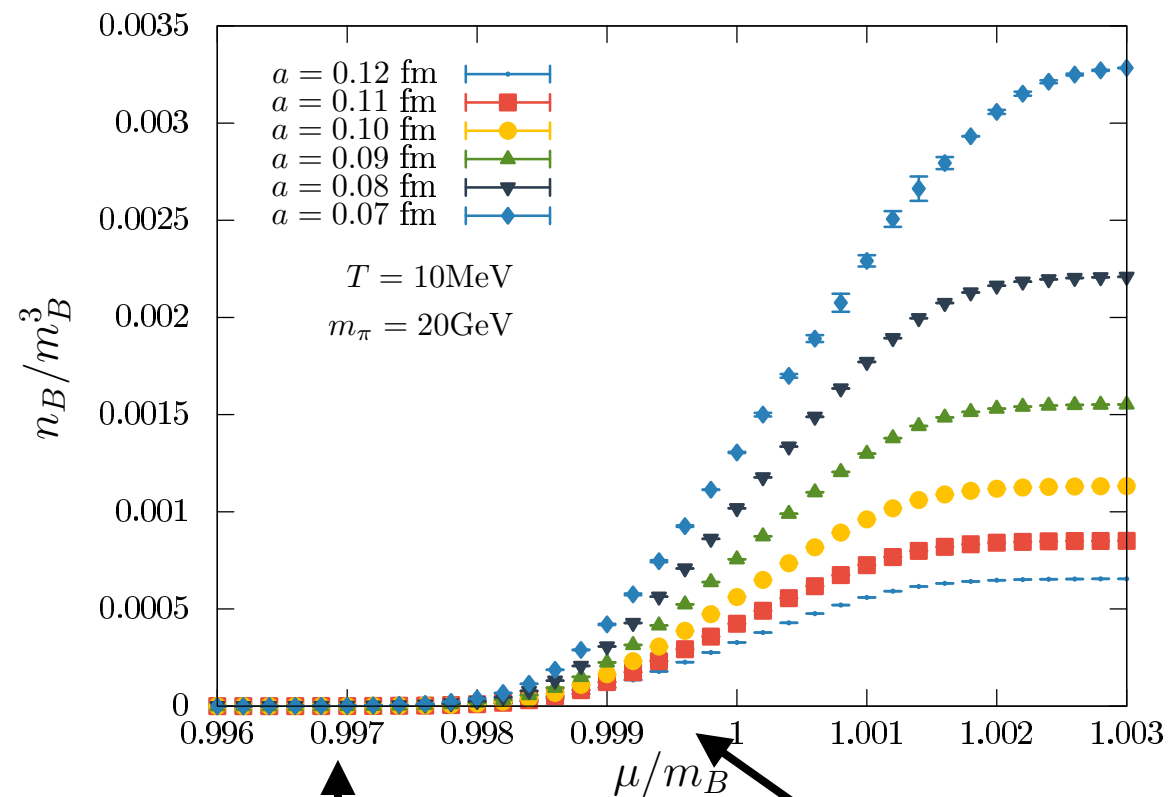
binding energy between nucleons

different for isospin, **baryon matter**

# The cold and dense regime

Analytic calculation through  $\sim u^5 \kappa^8$

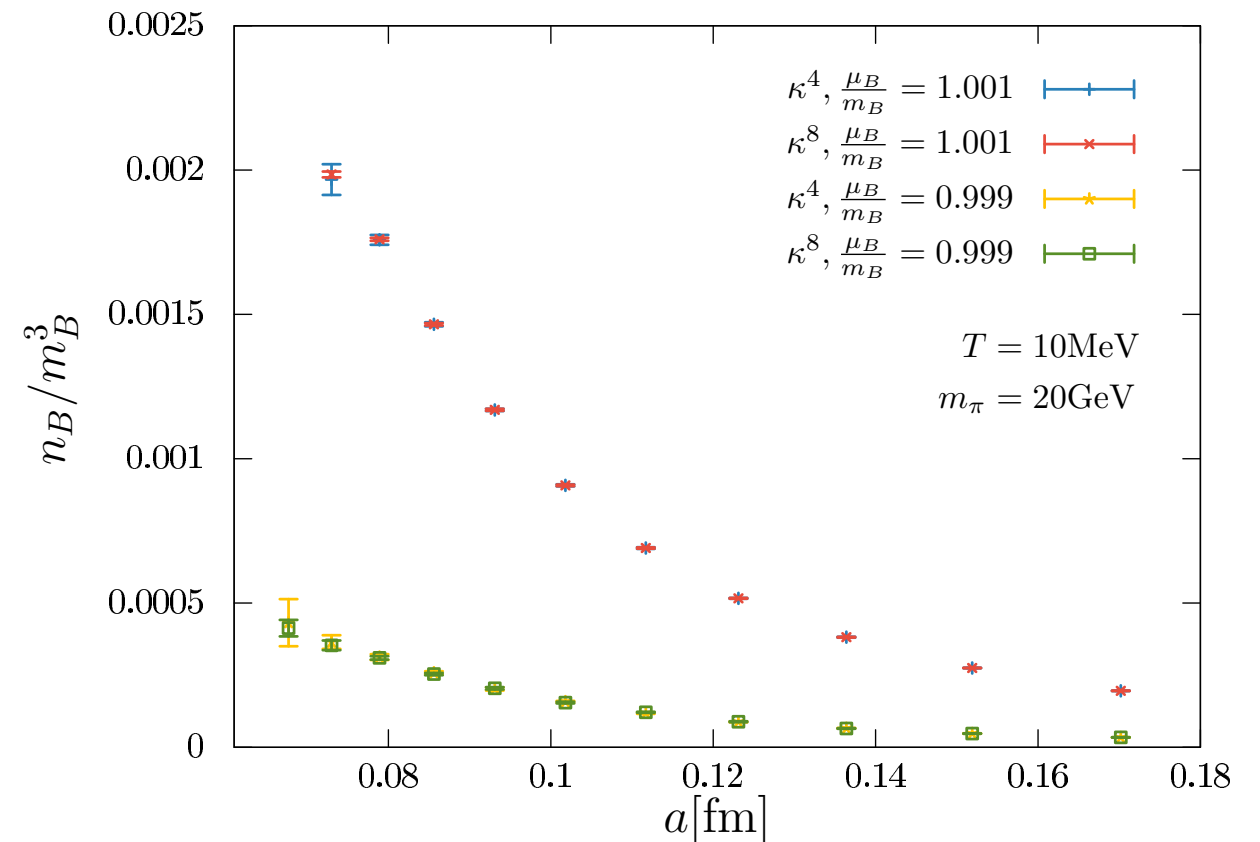
Continuum extrapolation



silver blaze phenomenon

binding energy between nucleons

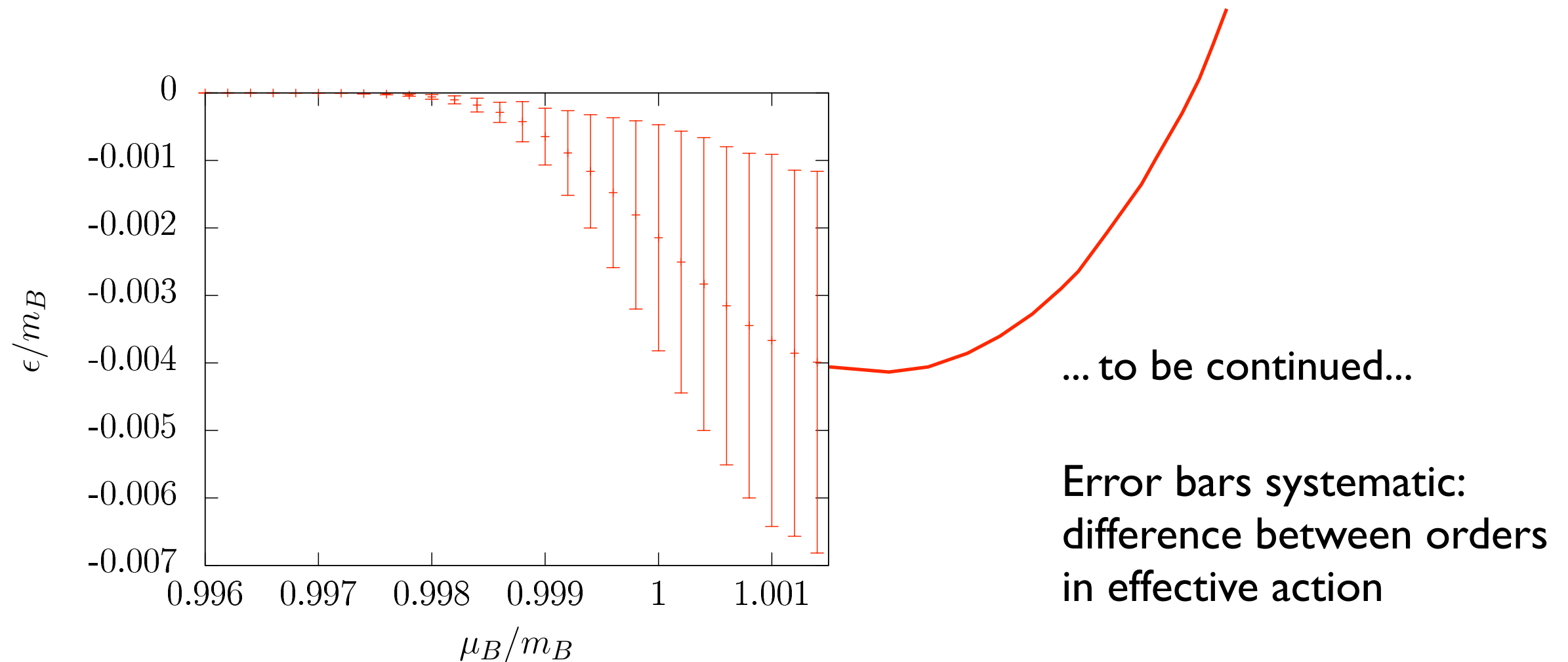
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# Binding energy per nucleon

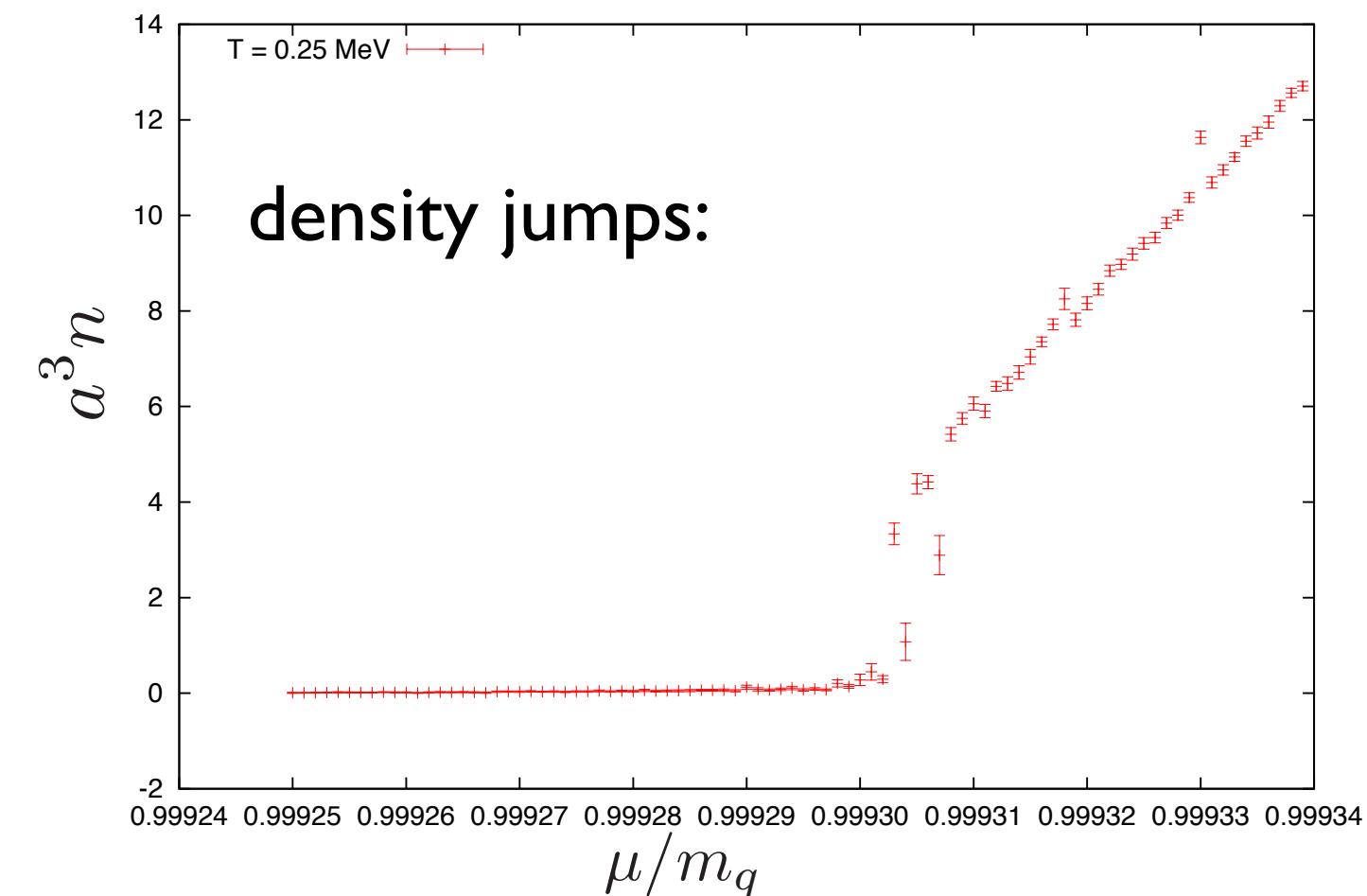
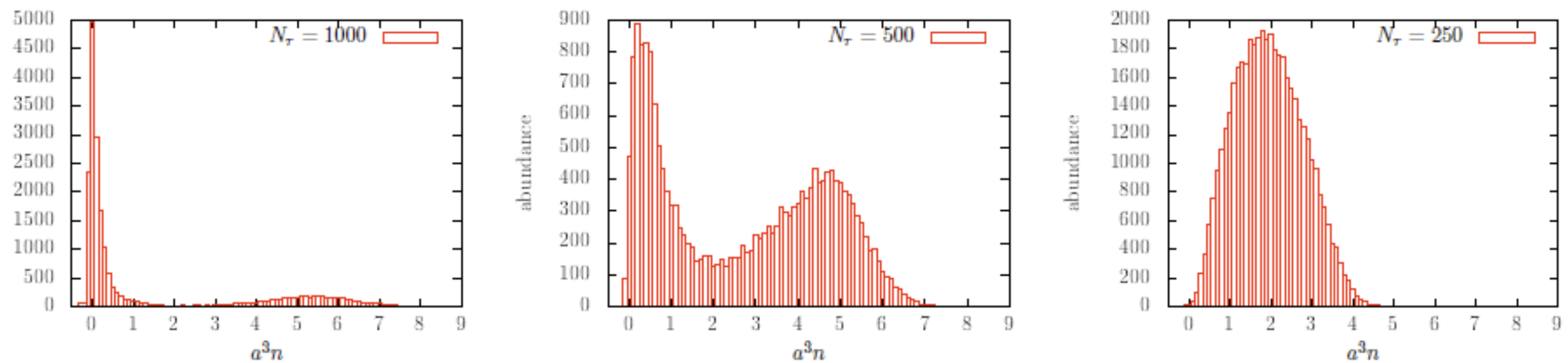
$$T \rightarrow 0 : \quad \epsilon = \frac{e - n_B m_B}{n_B m_B} = \frac{e}{n_B m_B} - 1 \stackrel{LO}{\sim} \kappa^2 \sim e^{-am_{\text{meson}}}$$



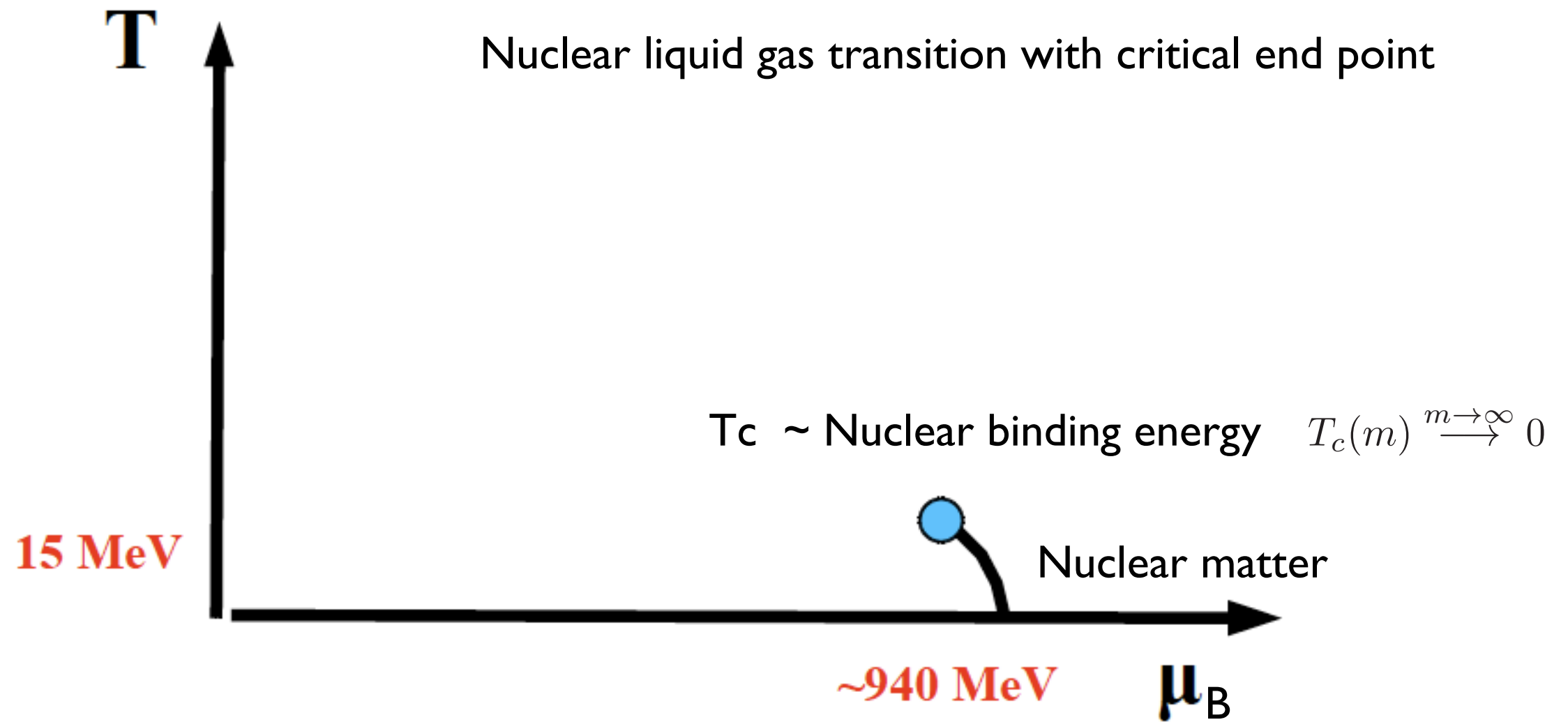
**Minimum:** access to nucl. binding energy, nucl. saturation density!

$\epsilon \sim 10^{-3}$  consistent with the location of the onset transition, heavy quarks  
 $\sim 10^{-2}$  in nature

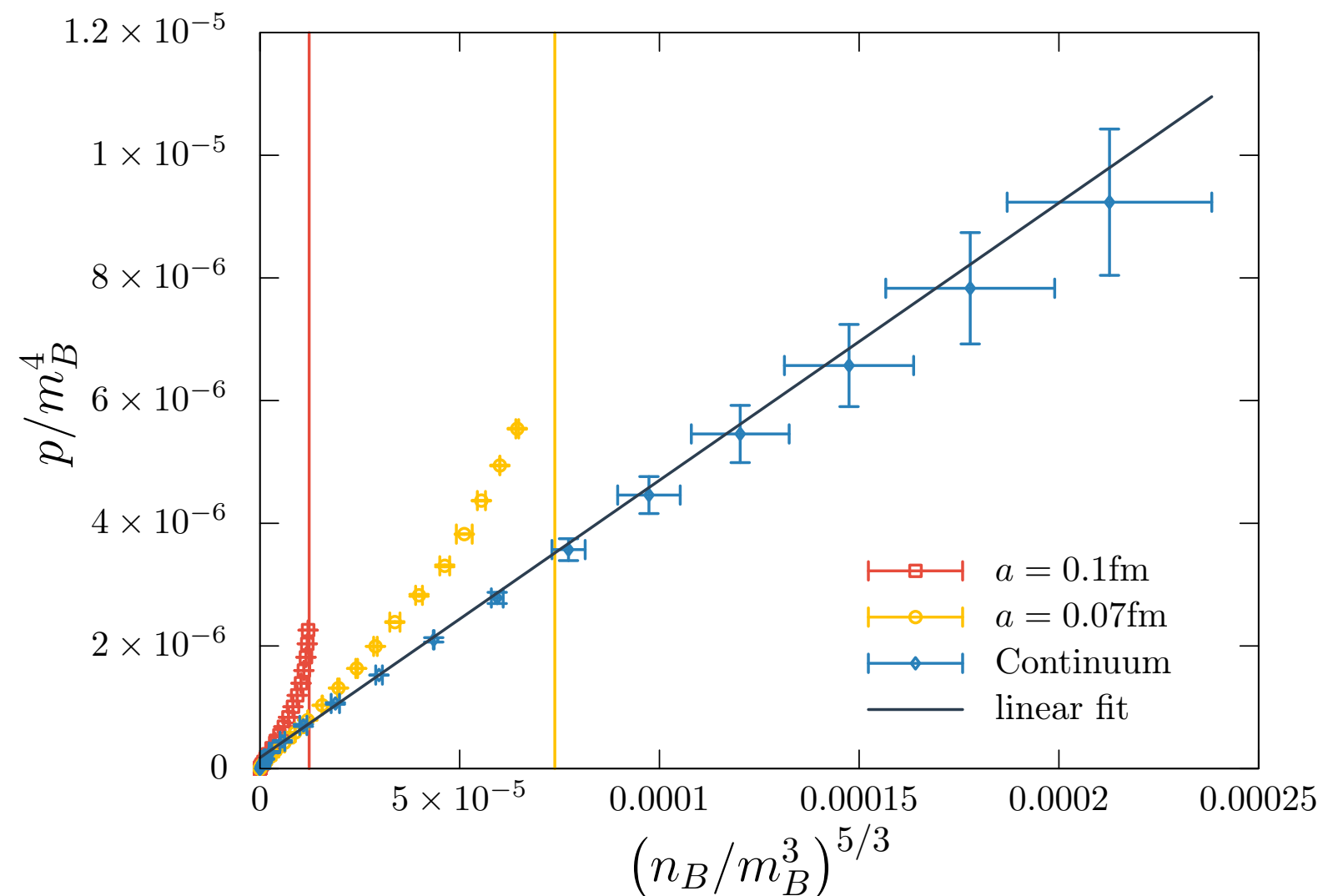
# Light quarks: first order transition + endpoint



- phase coexistence: first order
- for higher  $T = \frac{1}{aN_\tau}$  crossover
- nuclear liquid gas transition!



# Equation of state of heavy nuclear matter, continuum



- EoS fitted by polytrope, non-relativistic fermions!
- Can we understand the pre-factor? Interactions, mass-dependence...

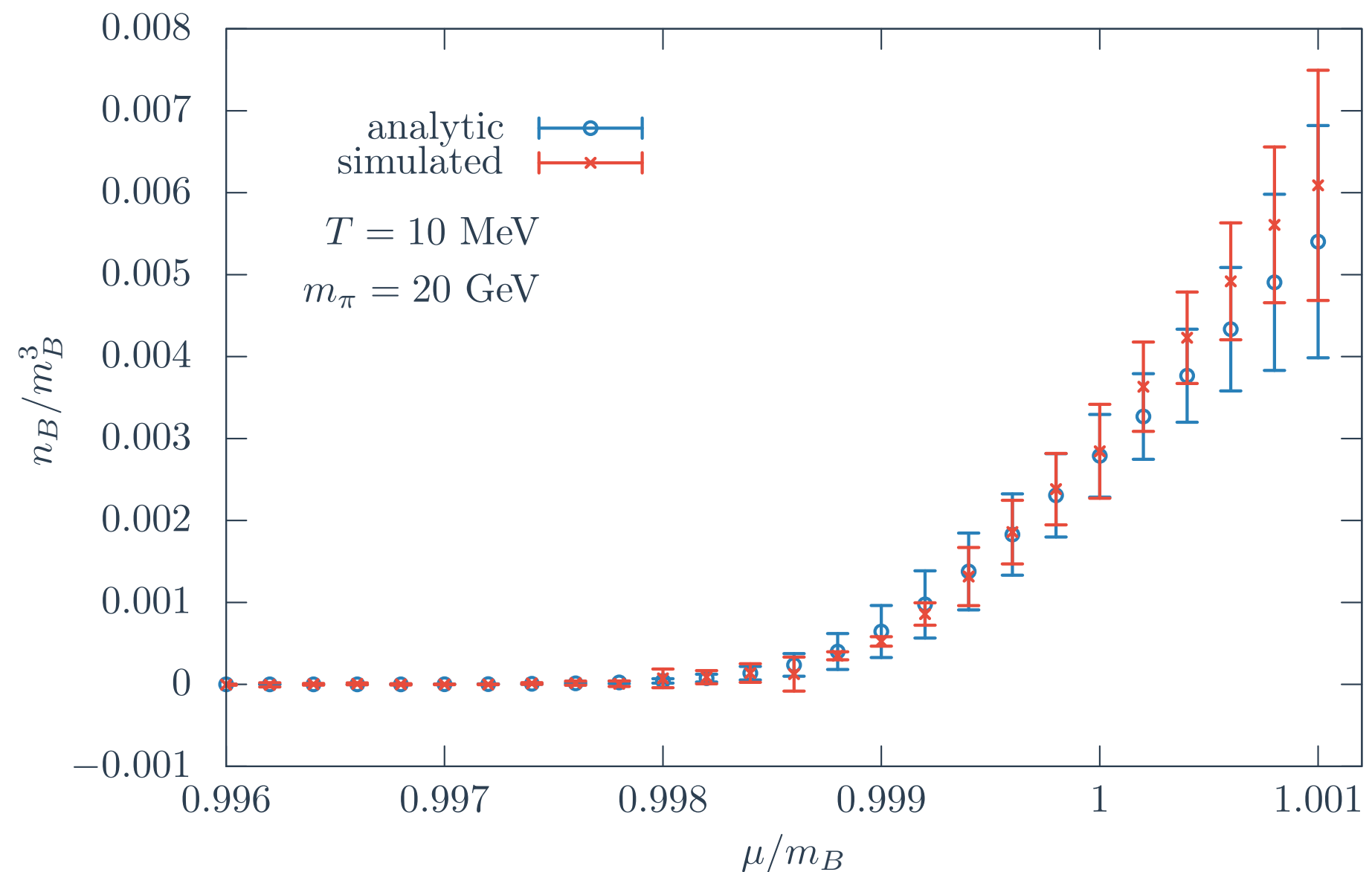
# Linked cluster expansion of effective theory

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“perturbation theory” in effective couplings

Glesaaen, Neuman, O.P. 15

through  $u^5 \kappa^8$



# The effective lattice theory approach II

- Two-step treatment:

- I. Calculate effective theory analytically

- II. Simulate effective theory

- Step I.: integrate over gauge links in strong coupling expansion, leave fermions (staggered)

$$Z_{\text{QCD}} = \int d\psi d\bar{\psi} dU e^{S_F + S_G} = \int d\psi d\bar{\psi} Z_F \langle e^{S_G} \rangle_{Z_F}$$
$$\langle e^{S_G} \rangle_{Z_F} \simeq 1 + \langle S_G \rangle_{Z_F} = 1 + \frac{\beta}{2N_c} \sum_P \left\langle \text{tr}[U_P + U_P^\dagger] \right\rangle_{Z_F} \quad Z_F(\psi, \bar{\psi}) = \int dU e^{S_F}$$

- Result: 4d “polymer” model of QCD (hadronic degrees of freedom!)  
Valid for all quark masses (also  $m=0$ !), at strong coupling (very coarse lattices)

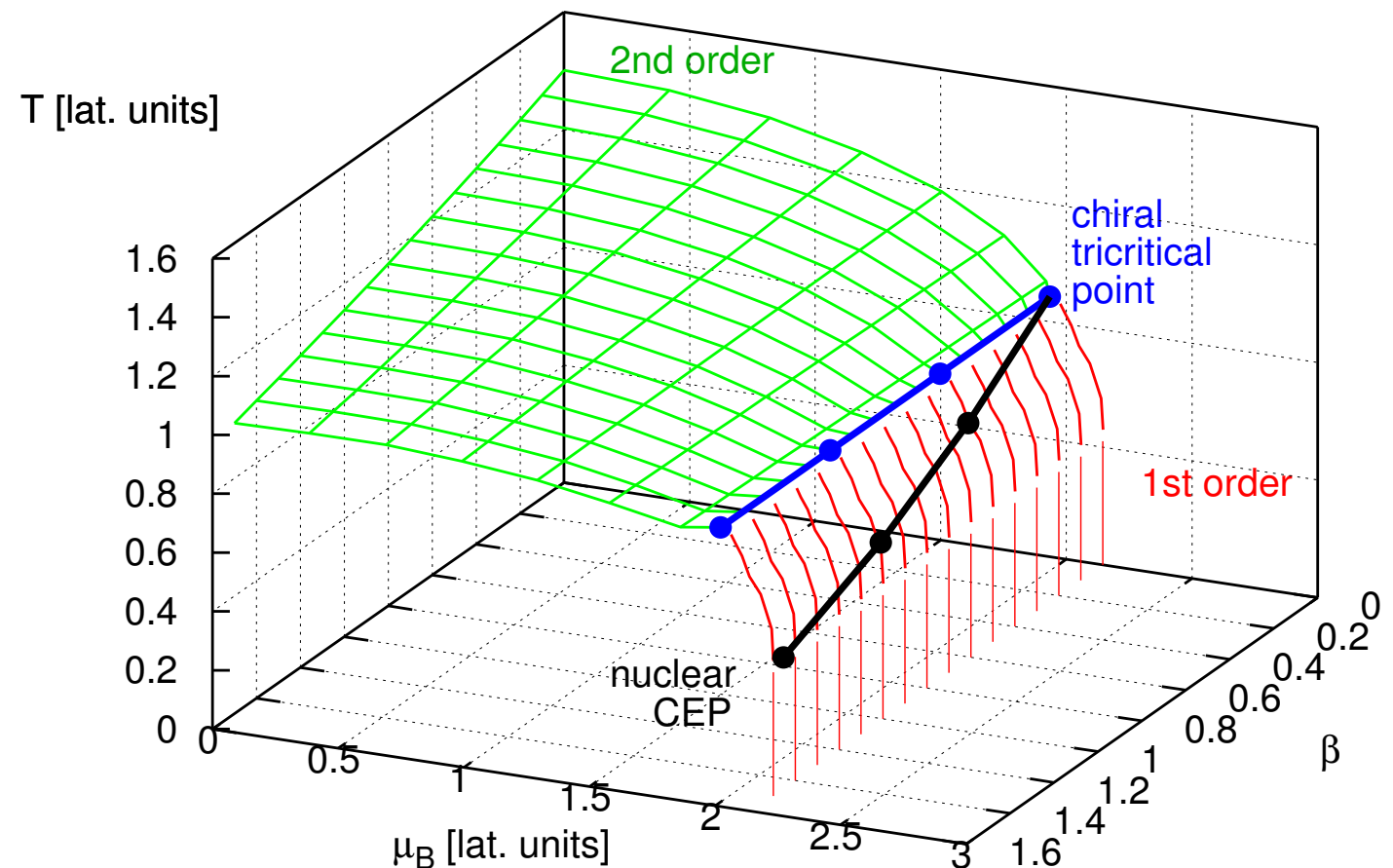
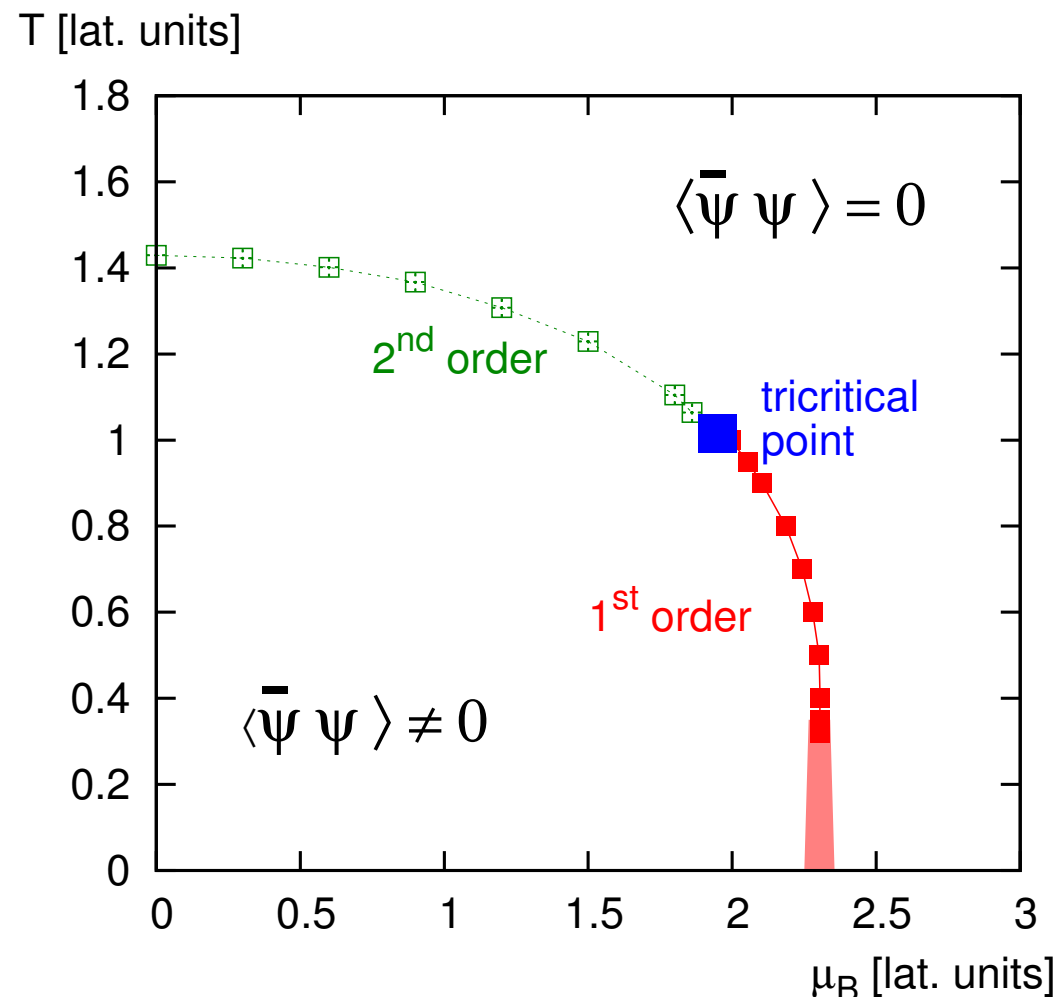
- Step II: sign problem milder: Monte Carlo with worm algorithm

- Numerical simulations without fermion matrix inversion, **very cheap!**

# From strong coupling limit to finite coupling

Unrooted staggered fermions:  $N_f=4$

de Forcrand, Langelage, O.P., Unger 14



Strong coupling limit:  $\beta = 0$

Chiral limit:  $m=0$

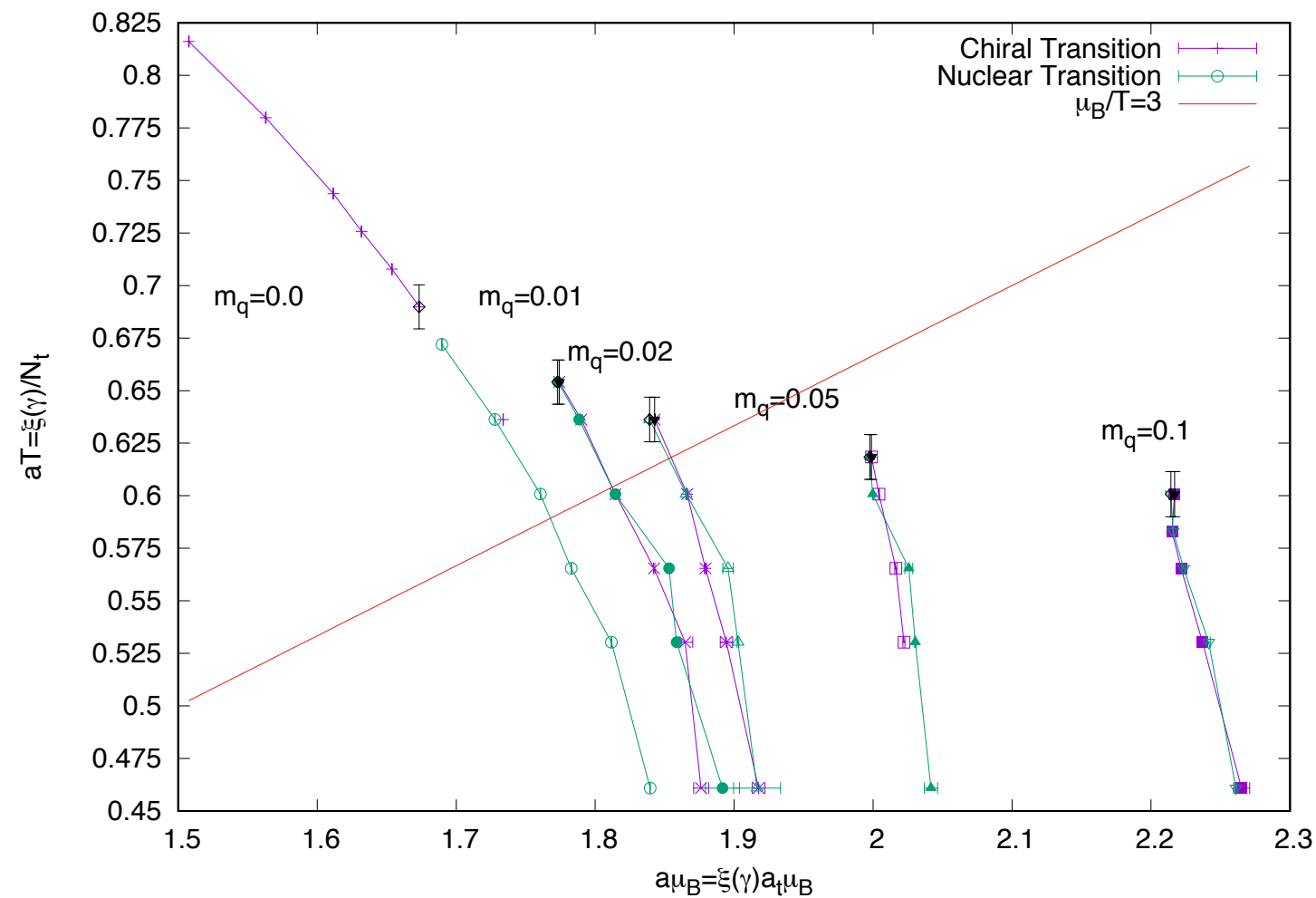
Nucl. and chiral transition coincide!

[Kawamoto, Smit, NPB 81;.../Karsch, Mütter, NPB 89... ]

Including leading gauge corrections

# From the chiral limit to finite mass

In the strong coupling limit: chiral and nuclear liquid gas transitions coincide

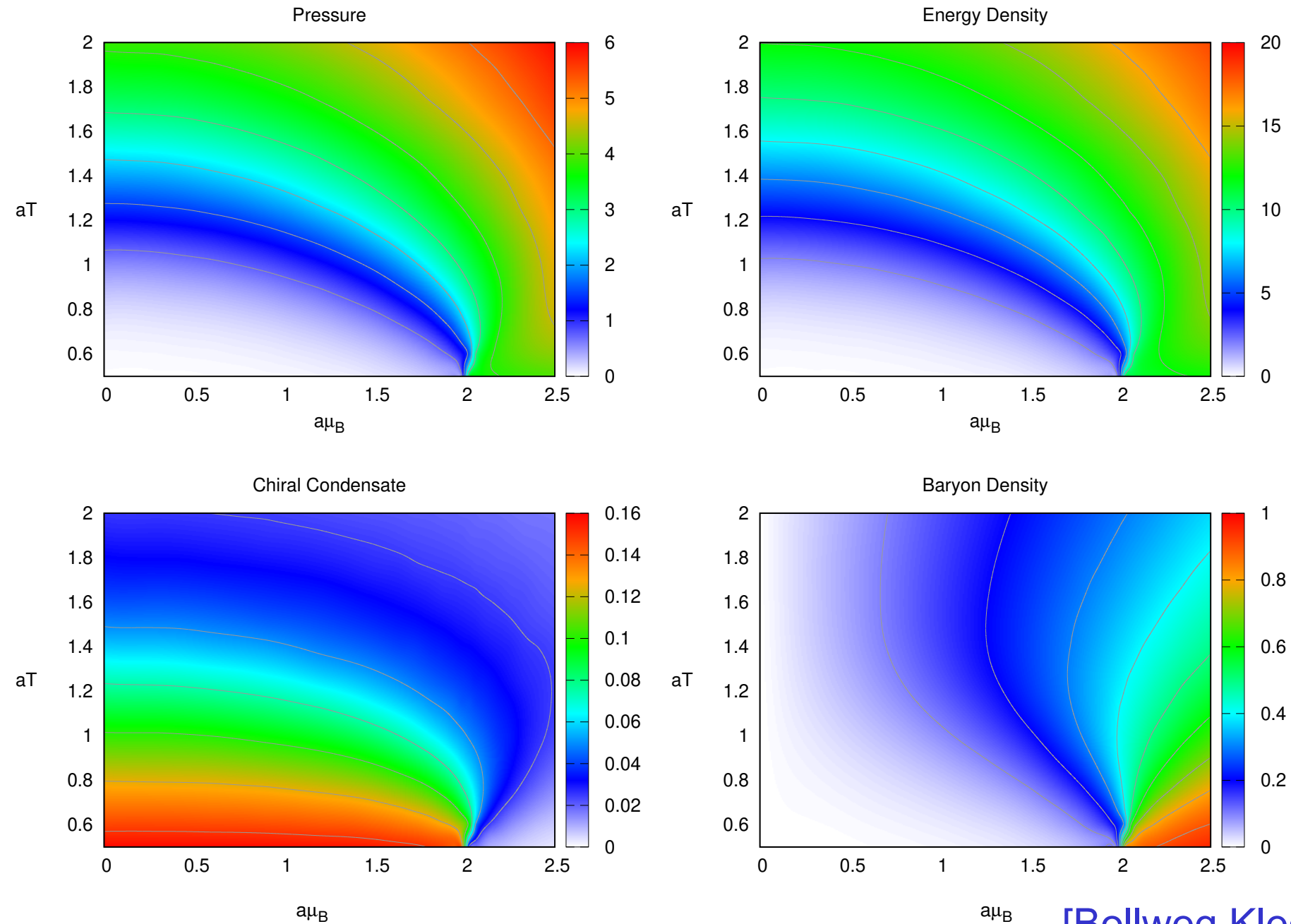


Finite mass + finite coupling in progress within the CRC-TR 211



# Equation of state

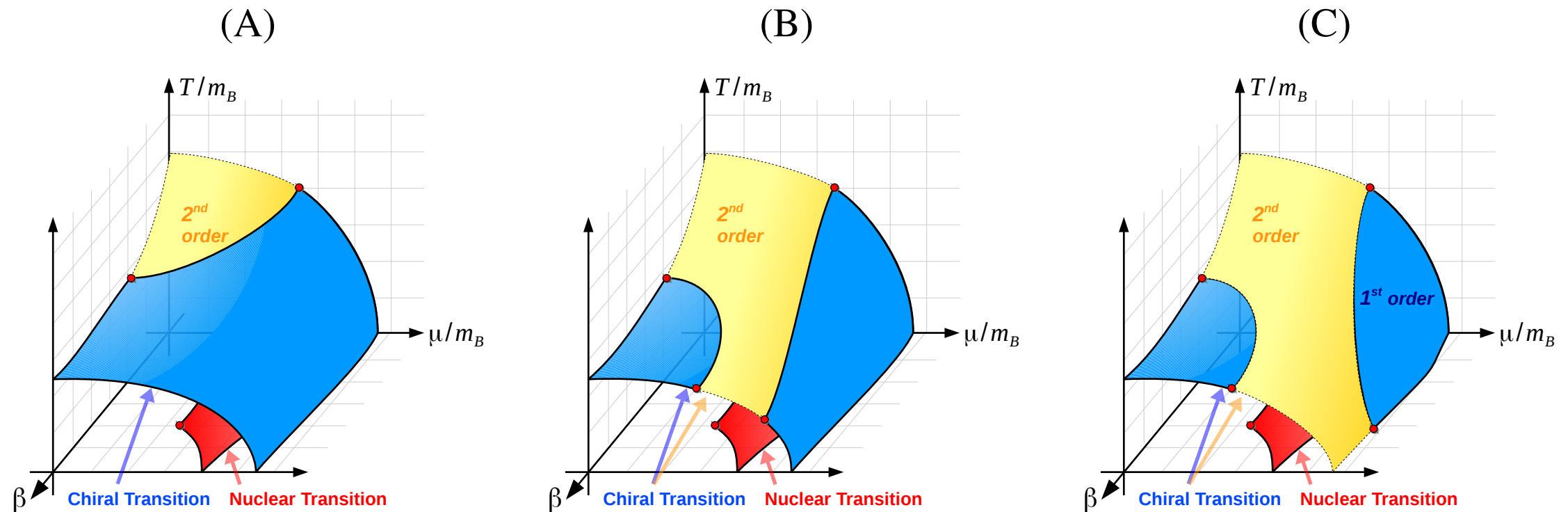
All observables measured via dual variables (here for  $am_q = 0.1$ ), with  $\frac{a}{a_t} = \xi(\gamma, m_q)$  non-perturbatively determined as a function of the bare anisotropy  $\gamma$  and quark mass



[Bollweg, Klegrewe, Unger PoS 2018]

# Evolution towards the continuum

In the continuum, without rooting, this theory describes 4 quark flavours



Still many possibilities, but number of anchor points and constraints is growing

# QCD at large $N_c$

Definition, 't Hooft 1974 :  $N_c \longrightarrow \infty, \quad g^2 N_c = \text{const.}$

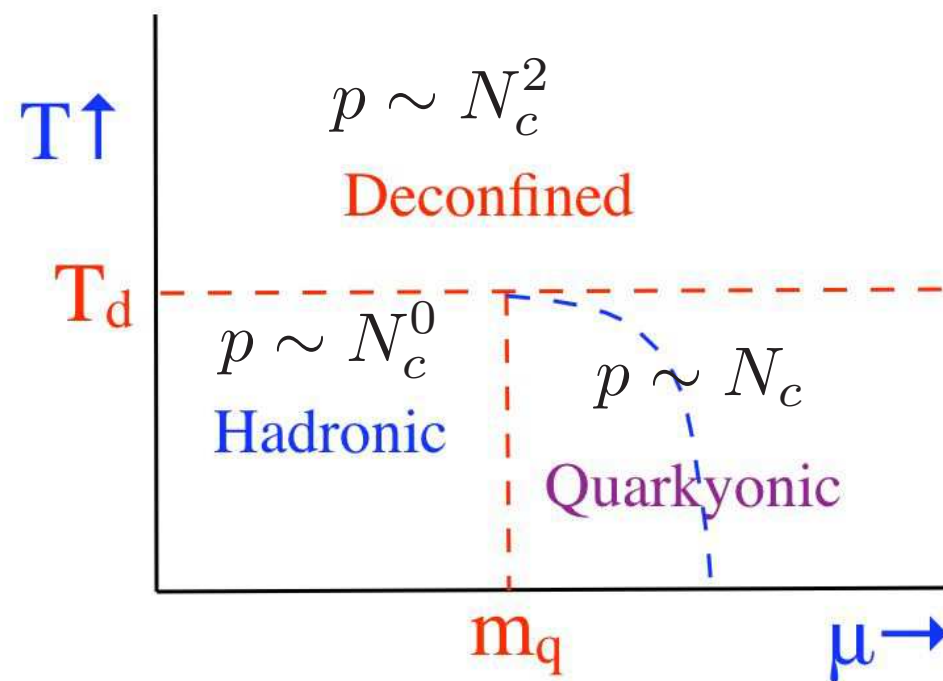
- suppresses quark loops in Feynman diagrams
- mesons are free;  
corrections: cubic interactions  $\sim 1/\sqrt{N_c}$  , quartic int.  $\sim 1/N_c$
- meson masses  $\sim \Lambda_{QCD}$
- baryons:  $N_c$  quarks, baryon masses  $\sim N_c \Lambda_{QCD}$
- baryon interactions:  $\sim N_c$

Witten 1979

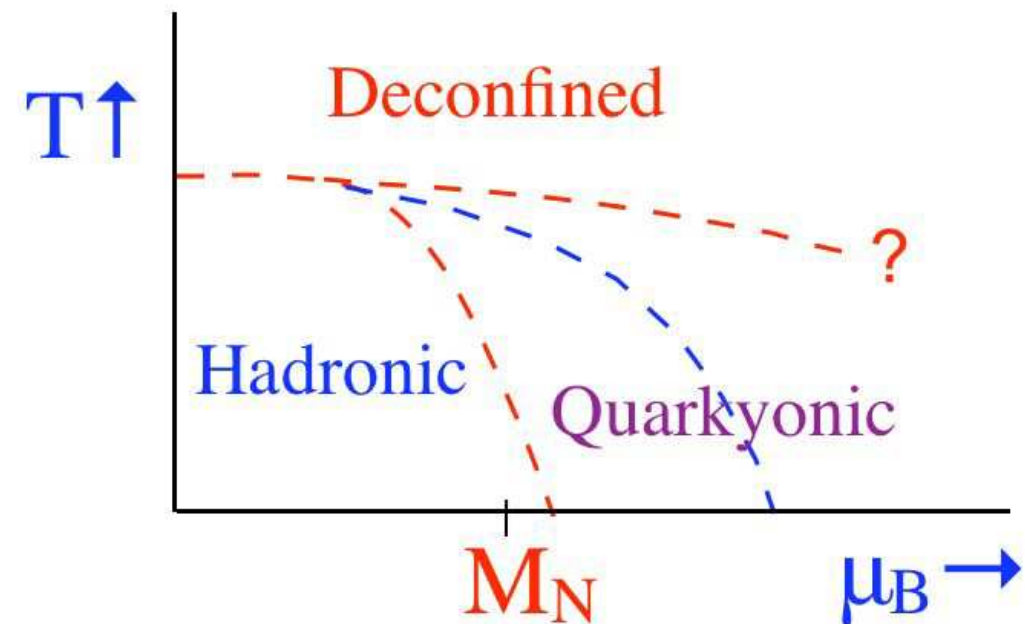
# Implications on the phase diagram

McLerran, Pisarski 07: from Nucl. Phys.A 796 (2007)

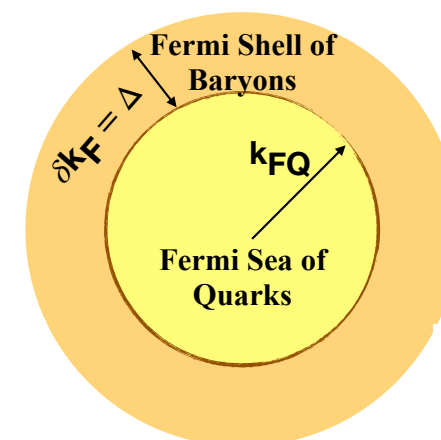
large  $N_c$



QCD, conjectured



Quarkyonic matter



# The effective theory for large $N_c (= N)$

Disclaimer: here we consider strong coupling limit, cannot yet keep  $g^2 N_c = \text{const}$

1st step: recalculate previous results for general  $N_c$

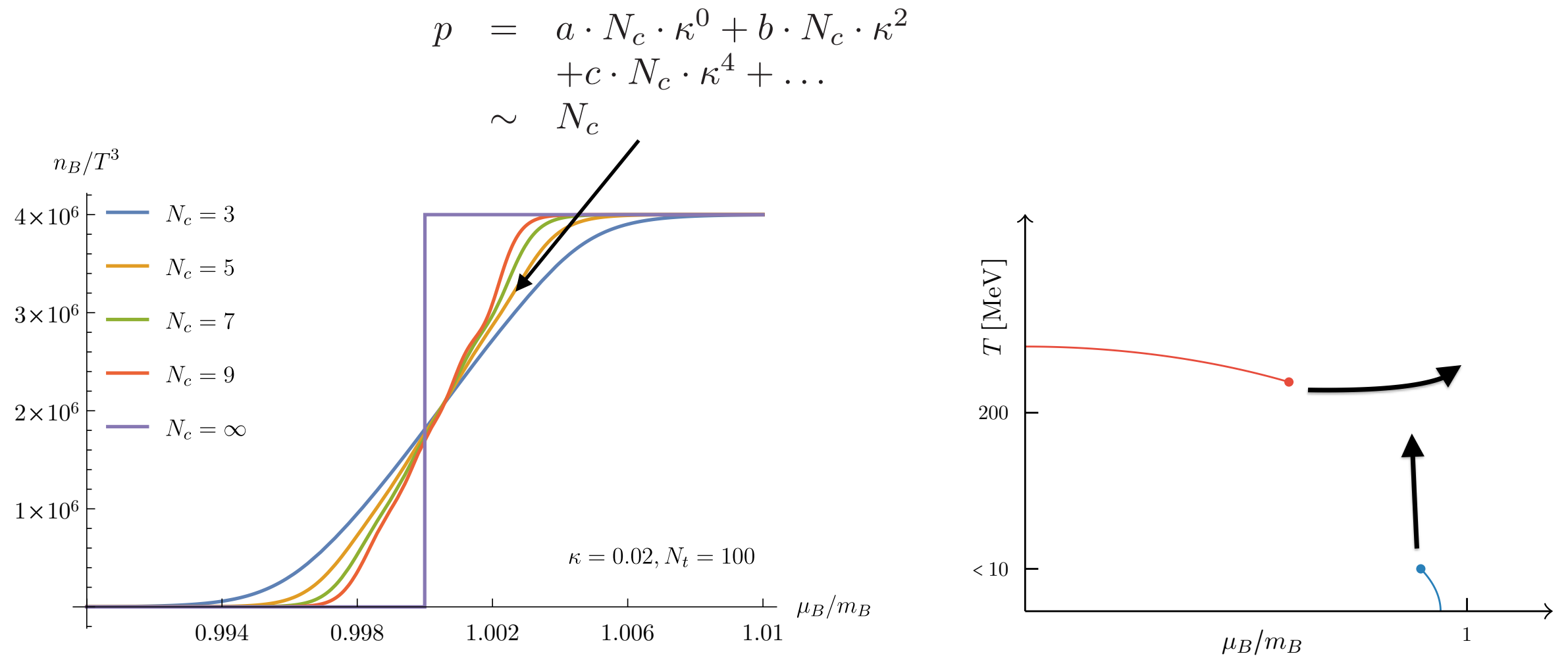
Static determinant:

$$\int_{SU(N)} dU \det(1 + h_1 U)^{2N_f} = \sum_{p=0}^{N_f} \left( \prod_{i=1}^p \frac{(i-1+2N_f-p+N)^{2N_f-p}}{(i-1+2N_f-p)^{2N_f-p}} \right) \left( h_1^{pN} + h_1^{(2N_f-p)N} \right) \left( 1 - \frac{\delta_{p,N_f}}{2} \right)$$

And corrections: 
$$\int_{SU(N)} dU \det(1 + h_1 U)^{2N_f} \text{tr} \left( \frac{(h_1 U)^n}{(1 + h_1 U)^m} \right)$$

$$\begin{aligned} &= h_1^{N(2N_f+1)} \sum_{r=\max(0, N-m)}^{2N_f+N-m} (-1)^{r+N+1} \binom{N+r-1}{r} (r+m-1)^{N-1} \frac{(2N_f)^{2N_f+1-r-m}}{(N+2N_f-r-m)} \\ &+ \sum_{p=0}^{2N_f} h_1^{Np} \det_{1 \leq i, j \leq N} \left[ \binom{2N_f}{i-j+p} \right] \sum_{\mu=1}^N \sum_{r=\max(0, \mu-m)}^{\mu+p-m} (-1)^r \binom{r+n-1}{r} \\ &\times \frac{(-1)^{\mu+1}}{r+m} \frac{(r+m+N-\mu)^{r+m}}{(r+m-\mu)!(\mu-1)!} \frac{(\mu+p-1)^{r+m}}{(N+2N_f-p+r+m-\mu)^{r+m}}. \end{aligned}$$

# Implications for phase diagram



The conjectured large  $N_c$  phase diagram seen to emerge gradually

# Conclusions

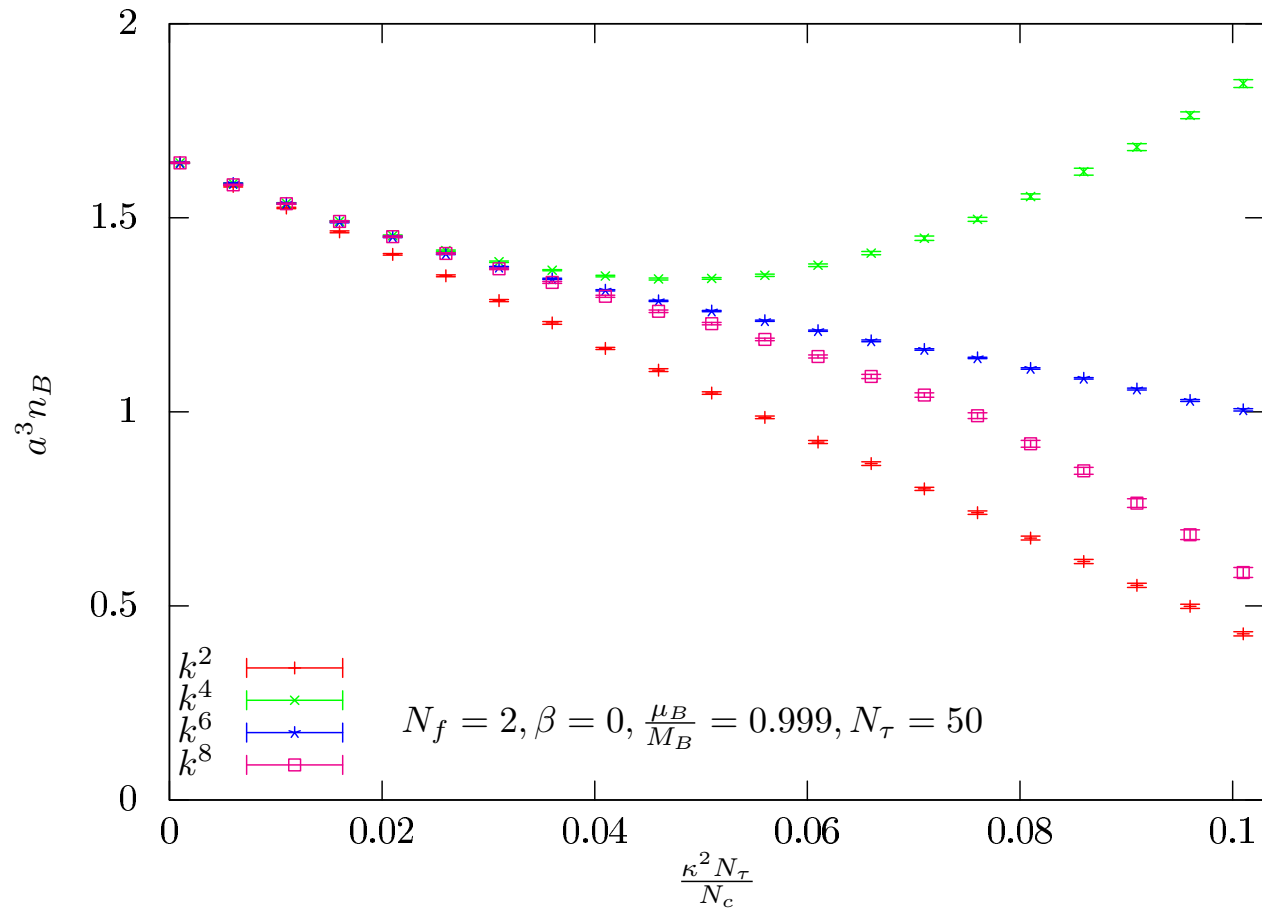
- QCD at finite density possible with effective lattice theories
- Full deconfinement transition for heavy quarks near continuum
- Chiral transition in strong coupling region
- Nuclear liquid gas transition, endpoint as function of quark mass

Tool development to move on to physically interesting parameter space

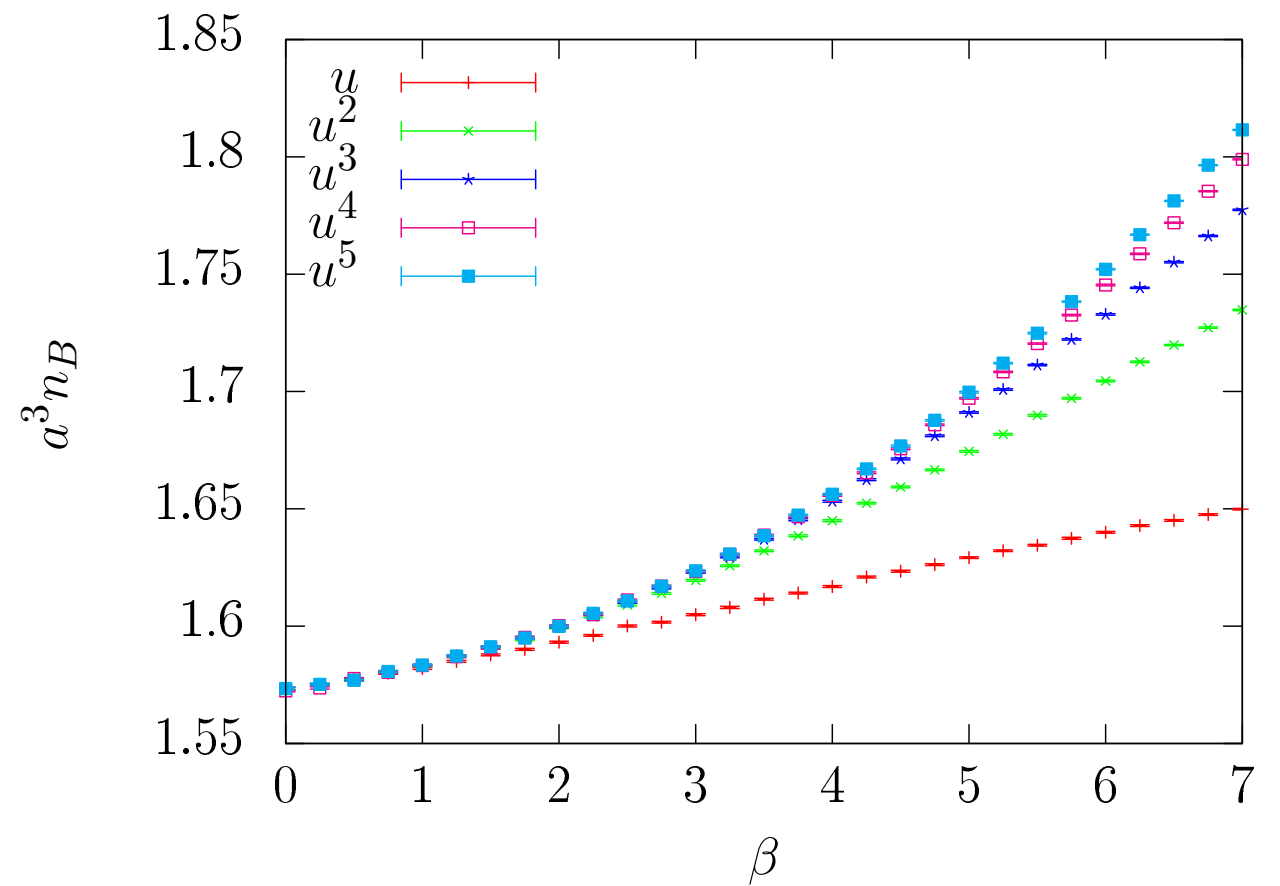
Backup slides



# Convergence of the effective theory

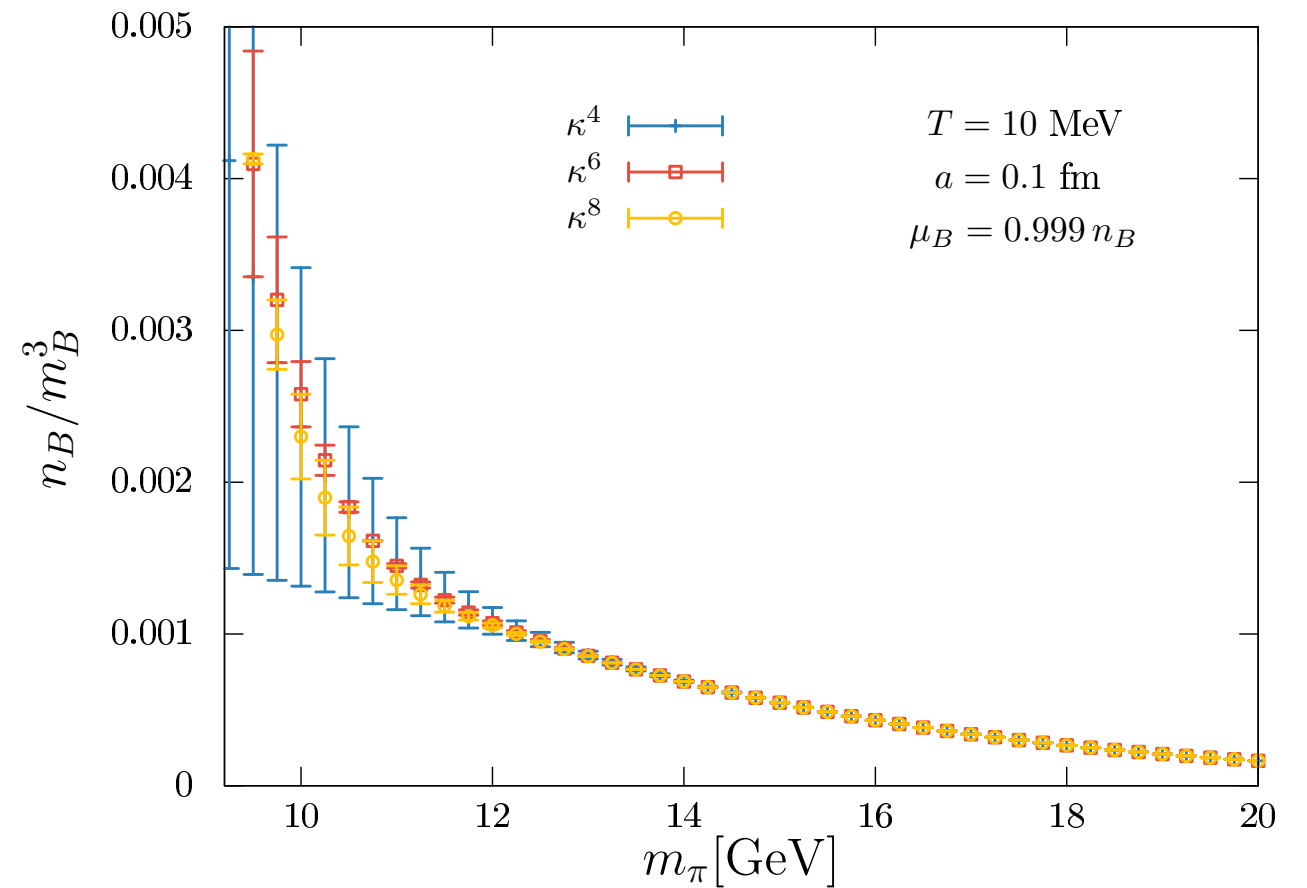
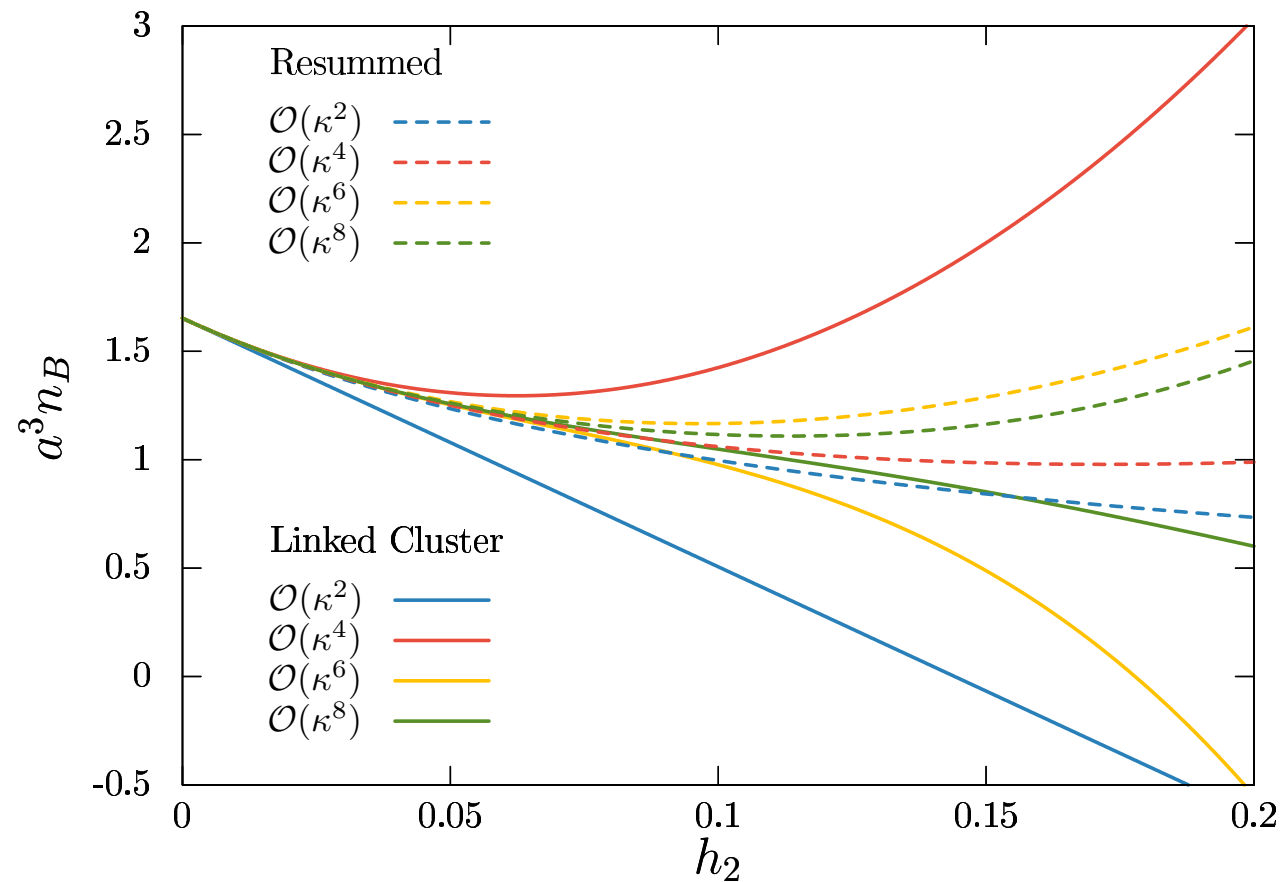


hopping expansion in strong coupling limit



strong coupling expansion at  $\kappa^8$

# Resummations + reach in mass range



Resumming long range non-overlapping chains, gain in mass range “sobering”

# Subleading couplings

Subleading contributions for next-to-nearest neighbours:

$$\lambda_2 S_2 \propto u^{2N_\tau+2} \sum_{[kl]}' 2\text{Re}(L_k L_l^*) \quad \text{distance} = \sqrt{2}$$

$$\lambda_3 S_3 \propto u^{2N_\tau+6} \sum_{\{mn\}}'' 2\text{Re}(L_m L_n^*) \quad \text{distance} = 2$$

as well as terms from loops in the *adjoint* representation:

$$\lambda_a S_a \propto u^{2N_\tau} \sum_{\langle ij \rangle} \text{Tr}^{(a)} W_i \text{Tr}^{(a)} W_j \quad ; \quad \text{Tr}^{(a)} W = |L|^2 - 1$$

# Cold and dense QCD: static strong coupling limit

Fromm, Langelage, Lottini, Neuman, O.P., PRL 13

For  $T=0$  (at finite density) anti-fermions decouple  $N_f = 1, h_1 = C, h_2 = 0$

$$C \equiv (2\kappa_f e^{a\mu_f})^{N_\tau} = e^{(\mu_f - m_f)/T}, \quad \bar{C}(\mu_f) = C(-\mu_f)$$

$$Z(\beta = 0) \xrightarrow{T \rightarrow 0} \left[ \prod_f \int dW (1 + C L + C^2 L^* + C^3)^2 \right]^{N_s^3}$$

$$= [1 + 4C^{N_c} + C^{2N_c}]^{N_s^3}$$

Free gas of baryons!

Quarkyonic?

$$n = \frac{T}{V} \frac{\partial}{\partial \mu} \ln Z = \frac{1}{a^3} \frac{4N_c C^{N_c} + 2N_c C^{2N_c}}{1 + 4C^{N_c} + C^{2N_c}}$$

$$\lim_{\mu \rightarrow \infty} (a^3 n) = 2N_c$$

Sivler blaze property + saturation!

$$\lim_{T \rightarrow 0} a^3 n = \begin{cases} 0, & \mu < m \\ 2N_c, & \mu > m \end{cases}$$

$$N_f = 2$$

$$\begin{aligned}
z_0 = & (1 + 4h_d^3 + h_d^6) + (6h_d^2 + 4h_d^5)h_u + (6h_d + 10h_d^4)h_u^2 + (4 + 20h_d^3 + 4h_d^6)h_u^3 \\
& + (10h_d^2 + 6h_d^5)h_u^4 + (4h_d + 6h_d^4)h_u^5 + (1 + 4h_d^3 + h_d^6)h_u^6 .
\end{aligned} \tag{3.11}$$

Free gas of baryons: complete spin flavor structure of vacuum states!