

Ideal hydrodynamics limit extensions explored

Fluctuations, Polarization and gauge theory



Based on [1810.12468](#), [1807.02796](#), [1701.08263](#), [1604.05291](#), [1502.05421](#), [1112.4086](#)  
(PRD, PRC, last two as yet unpublished) with D. Montenegro, L. Tinti

What is this talk about

**The necessity** of a field theory perspective

Hydrodynamics is neither transport nor string theory!

**Introduction** to the field theory of hydrodynamics

Our knowledge of hydrodynamics rewritten as symmetries

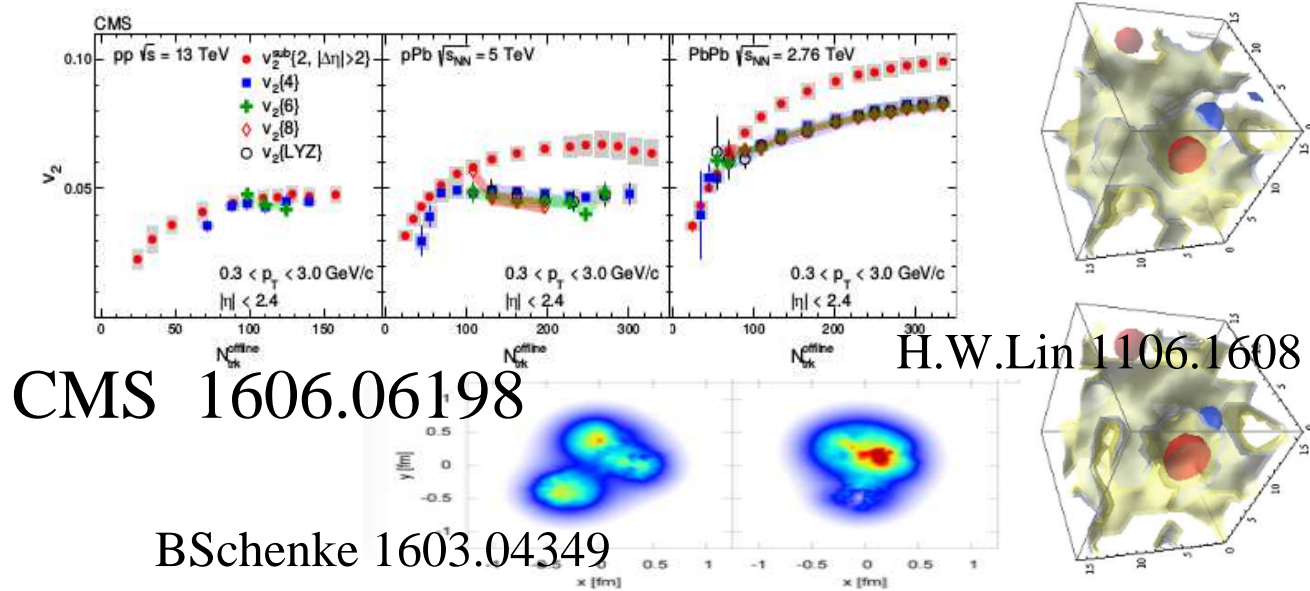
Perhaps not ideal for solving problems, but worth thinking about!

**Extending hydrodynamics I** Polarization

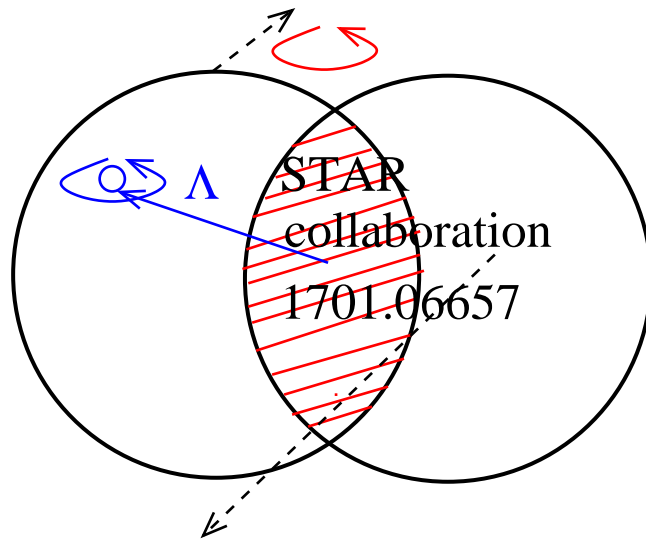
**Extending hydrodynamics II** Gauge symmetries

PS: So far, theory only!

## A spectacular experimental result



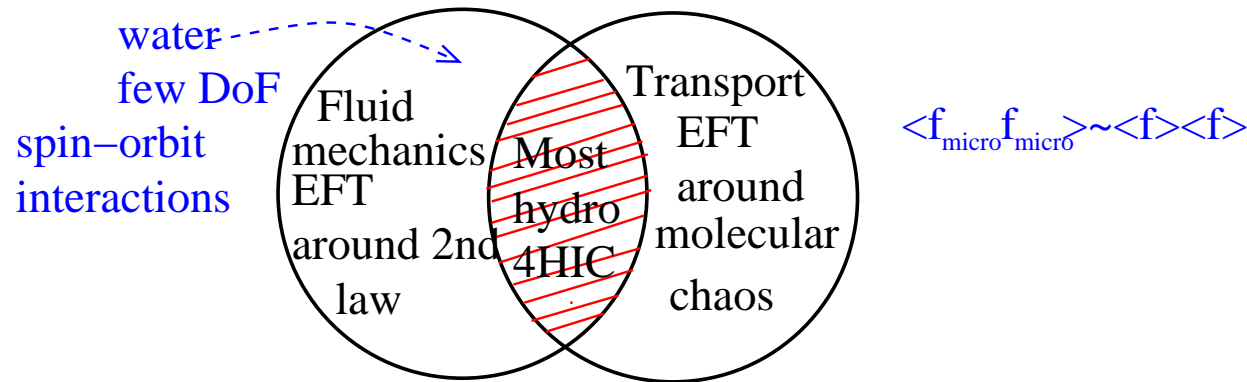
1606.06198 (CMS) : When you consider geometry differences, hydro with  $\mathcal{O}(20)$  particles "just as collective" as for 1000. So mean free path is really small. What about thermal fluctuations? Nothing here is infinite, not even  $N_c$  Also hydro applicability scale below color domain scale. colored hydro?



Another spectacular experimental result. But what have we learned about theory? My take is...

Hydro is not (just) transport! Nor string theory! Hydro is hydro!

Its constituents are usually neither billiard balls not black holes!



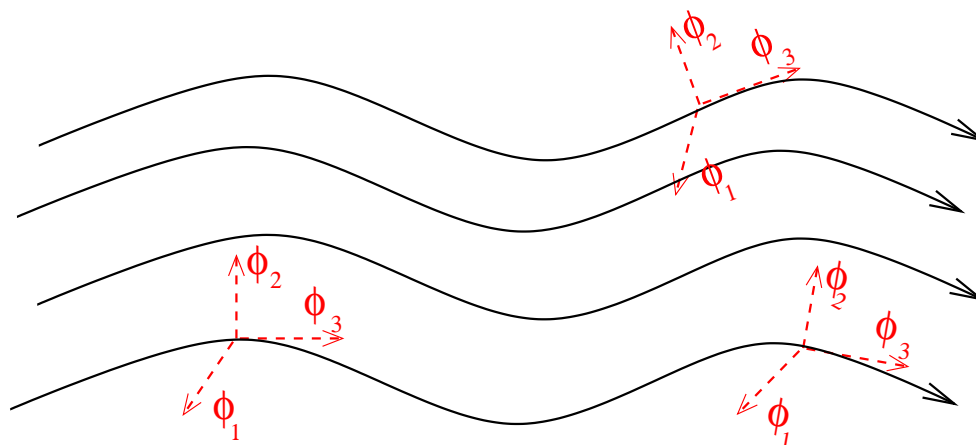
Hydro very good but "micro" and "macro" DoFs talk to each other!

Take water: Boltzmann equation breaks down as particles tightly correlated, hydro works well! AdS/CFT also suspect, this is  $N_c$  suppressed.

Note: **Existing models** produce polarization at freezout, violate detailed balance. No one knows how spin propagates during fluid evolution! (Longitudinal vortical flow and spin alignment non-description?)

Lets set-up EFT around local equilibrium (Nicolis et al,1011.6396 (JHEP))

Continuum mechanics (fluids, solids, jellies,...) is written in terms of 3-coordinates  $\phi_I(x^\mu), I = 1...3$  of the position of a fluid cell originally at  $\phi_I(t = 0, x^i), I = 1...3$ . (**Lagrangian hydro**. NB: no conserved charges)



The system is a **Fluid** if it's Lagrangian obeys some symmetries (Ideal hydrodynamics  $\leftrightarrow$  Isotropy in comoving frame) Solutions generally break these, Excitations (Sound waves, vortices etc) can be thought of as "Goldstone bosons".

**Translation invariance** at Lagrangian level  $\Leftrightarrow$  Lagrangian can only be a function of  $B^{IJ} = \partial_\mu \phi^I \partial^\mu \phi^J$  Now we have a “continuous material”!

**Homogeneity/Isotropy** means the Lagrangian can only be a function of  $B = \det B^{IJ}, \text{diag} B^{IJ}$   
The comoving fluid cell must not see a “preferred” direction  $\Leftarrow SO(3)$  invariance

**Invariance under Volume-preserving diffeomorphisms** means the Lagrangian can only be a function of  $B$  (actually  $b = \sqrt{B}$ )  
In all fluids a cell can be infinitesimally deformed  
(with this, we have a fluid. If this last requirement is not met, Nicolis et al call this a “Jelly”)

A few exercises for the bored public Check that  $L = -F(B)$  leads to

$$T_{\mu\nu} = (P + \rho)u_\mu u_\nu - P g_{\mu\nu}$$

provided that

$$\rho = F(B) , \quad p = F(B) - 2F'(B)B , \quad u^\mu = \frac{1}{6\sqrt{B}} \epsilon^{\mu\alpha\beta\gamma} \epsilon_{IJK} \partial_\alpha \phi^I \partial_\beta \phi^J \partial_\gamma \phi^K$$

(A useful formula is  $\frac{db}{d\partial_\mu \phi_I} \partial_\nu \phi_I = u^\mu u^\nu - g^{\mu\nu}$  )

Equation of state chosen by specifying  $F(b)$  . “Ideal”:  $\Leftrightarrow F(B) \propto b^{2/3}$

$b$  is identified with the entropy and  $b \frac{dF(B)}{dB}$  with the microscopic temperature.

$u^\mu$  fixed by  $u^\mu \partial_\mu \phi^{\forall I} = 0$  . Vortices become Noether currents of diffeomorphisms!

This is all really smart, but why?



Hydrodynamics is based on three scales

$$\underbrace{l_{micro}}_{\sim s^{-1/3}, n^{-1/3}} \ll \underbrace{l_{mfp}}_{\sim \eta/(sT)} \ll L_{macro}$$

$l_{micro}$  stochastic,  $l_{mfp}$  dissipative. If  $l_{micro} \sim l_{mfp}$  soundwaves

**Of amplitude** so that momentum  $P_{sound} \sim (area)\lambda (\delta\rho) c_s \gg T$

**And wavenumber**  $k_{sound} \sim P_{sound}$

**Survive** (ie their amplitude does not decay to  $E_{sound} \sim T$ )  $\tau_{sound} \gg 1/T$

**Transport:** Beyond Molecular chaos **AdS/CFT:** Beyond large  $N_c$   
It turns out Polarization, gauge symmetries mess this  $l_{micro}$  hierarchy!

## Ideal hydrodynamics and the microscopic scale

The most general Lagrangian is

$$L = T_0^4 F\left(\frac{B}{T_0^4}\right) \quad , \quad B = T_0^4 \det B^{IJ} \quad , \quad B^{IJ} = |\partial_\mu \phi^I \partial^\mu \phi^J|$$

Where  $\phi^{I=1,2,3}$  is the comoving coordinate of a volume element of fluid.

**NB:**  $T_0 \sim \Lambda g$  microscopic scale, includes thermal wavelength and  $g \sim N_c^2$  (or  $\mu/\Lambda$  for dense systems).  $T_0 \rightarrow \infty \Rightarrow$  classical limit

It is therefore natural to identify  $T_0$  with the microscopic scale!

$Kn$  behaves as a gradient,  $T_0$  as a Planck constant!!!

At  $T_0 < \infty$  quantum and thermal fluctuations can produce sound waves and vortices, “weighted” by the usual path integral prescription!

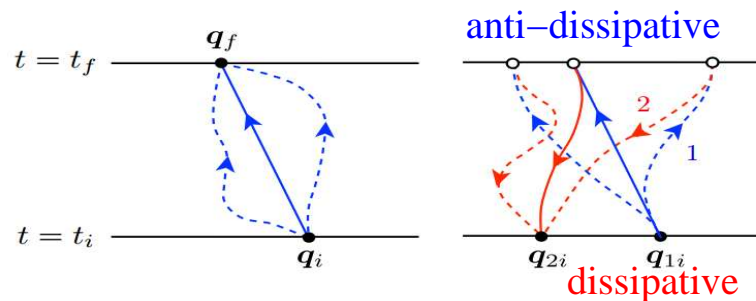
$$L \rightarrow \ln \mathcal{Z} \quad \mathcal{Z} = \int \mathcal{D}\phi_i \exp \left[ -T_0^4 \int F(B) d^4x \right], \langle \mathcal{O} \rangle \sim \frac{\partial \ln \mathcal{Z}}{\partial \dots}$$

$$\left( eg. \quad \left\langle T_{\mu\nu}^x T_{\mu\nu}^{x'} \right\rangle = \frac{\partial^2 \ln \mathcal{Z}}{\partial g_{\mu\nu}(x) \partial g_{\mu\nu}(x')} \right)$$

$T_0 \sim n^{-1/3}$ , unlike Knudsen number, behaves as a “Planck constant”. EFT expansion and lattice techniques should give all allowed terms and correlators. Coarse-graining will be handled here!

The big problem with Lagrangians... usually only non-dissipative terms  
 But there are a few ways to fix it. We focus on coordinate doubling  
 (Galley, but before Morse+Feschbach)

Dissipative  
 extension  
 of Hamilton's  
 principle

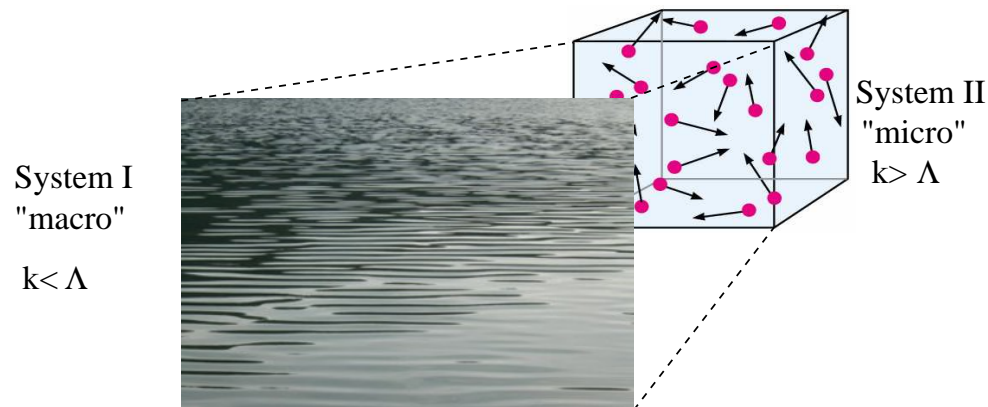


$$L = \frac{1}{2} \left( \underbrace{m\dot{x}^2 - wx^2}_{SHO} \right) \rightarrow \underbrace{(m\dot{x}_+^2 - wx_+^2)}_{\mathcal{L}_1} - \underbrace{(m\dot{x}_-^2 - wx_-^2)}_{\mathcal{L}_2} + \underbrace{\alpha(\dot{x}_+x_- - \dot{x}_-x_+)}_{\mathcal{K}}$$

two sets of equations, one with a damped harmonic oscillator, the other  
 “anti-damped”. Navier-Stokes and Israel-Stewart (GT, D. Montenegro, PRD,  
 (2016)) Functional integrals/Lattice also possible!

For analytical calculations fluid can be perturbed around a hydrostatic ( $\phi_I = \vec{x}$ ) background

$$\phi_I = \vec{x} + \underbrace{(\vec{\pi}_L)}_{\text{sound}} + \underbrace{(\vec{\pi}_T)}_{\text{vortex}}$$



Kolmogorov cascade, Viscosity from turbulence when  $\text{frequency} \simeq \text{energy?}$

And we discover a fundamental problem: Vortices carry arbitrary small energies but stay put! No S-matrix in hydrostatic solution!

$$L_{linear} = \underbrace{\dot{\vec{\pi}}_L^2 - c_s^2 (\nabla \cdot \vec{\pi}_L)^2}_{\text{sound wave}} + \underbrace{\dot{\pi}_T^2}_{\text{vortex}} + \text{Interactions}(\mathcal{O}(\pi^3, \partial\pi^3, \dots))$$

Unlike sound waves, Vortices can not give you “free particles”, since they do not propagate: They carry energy and momentum but stay in the same place! Can not expand such a quantum theory in terms of free particles.

Physically: “quantum vortices” can live for an arbitrary long time, and dominate any vacuum solution with their interactions. This does not mean the theory is ill-defined, just that it's strongly non-perturbative!

Lattice: Tommy Burch, GT, 1502.05421 In ideal limit, Indications of a 1st order transition between turbulent and hydrostatic phases! Need viscous corrections, fluctuation/dissipation on lattice (BIG project!) But also Polarization might help here!

### And chemical potential?

Within Lagrangian field theory a scalar chemical potential is added by adding a  $U(1)$  symmetry to system.

$$\phi_I \rightarrow \phi_I e^{i\alpha} \quad , \quad L(\phi_I, \alpha) = L(\phi_I, \alpha + y) \quad , \quad J^\mu = \frac{dL}{d\partial_\mu \alpha}$$

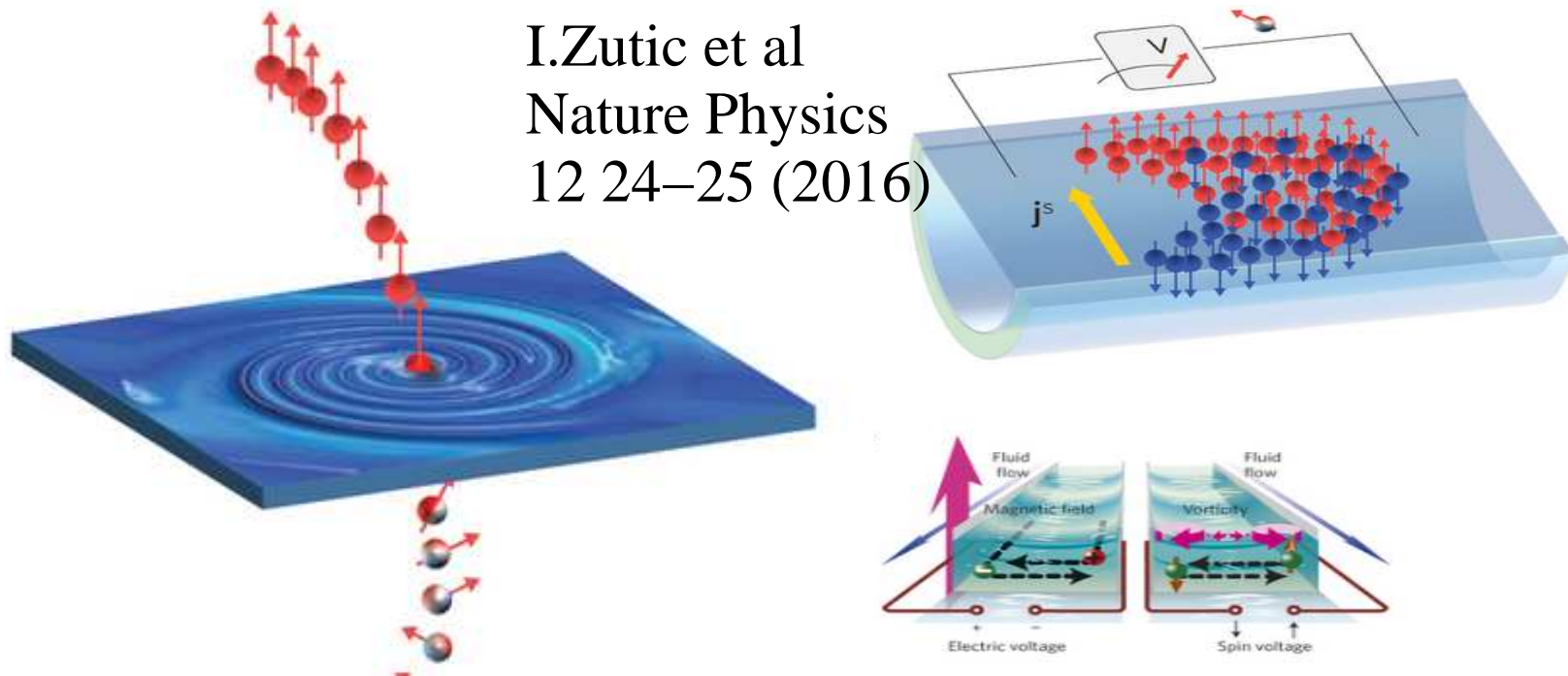
generally flow of  $b$  and of  $J$  not in same direction. Can impose a well-defined  $u^\mu$  by adding chemical shift symmetry

$$L(\phi_I, \alpha) = L(\phi_I, \alpha + y(\phi_I)) \rightarrow L = L(b, y = u_\mu \partial^\mu \alpha)$$

A comparison with the usual thermodynamics gives us

$$\mu = y \quad , \quad n = dF/dy$$

## Application 1: Hydro with polarization



Ultracold atoms: Zutic, Matos-Abiague, "Spin Hydrodynamics", Nature Physics **12** 24-25 Takahashi et al", Nature Physics **12** 52-56 (2016)



Combining polarization with the ideal hydrodynamic limit, defined as

- (i) The dynamics within each cell is faster than macroscopic dynamics, and it is expressible only in term of local variables and with no explicit reference to four-velocity  $u^\mu$  (gradients of flow are however permissible, in fact required to describe local vorticity).
  - (ii) Dynamics is dictated by local entropy maximization, within each cell, subject to constraints of that cell alone. Macroscopic quantities are assumed to be in local equilibrium inside each macroscopic cell
  - (iii) Only excitations around a hydrostatic medium are sound waves, vortices
- (i-iii) ,with symmetries and EFT define the theory

So how do we implement polarization?

In comoving frame, polarization described by a representation of a "little group" of the volume element.

Need local  $\sim SO(3)$  charges and unambiguous definition of  $u^\mu$  ( $s^\mu \propto J^\mu$ )

$$\Psi_{\mu\nu}|_{comoving} = -\Psi_{\nu\mu}|_{comoving} = \exp \left[ - \sum_{i=1,2,3} \alpha_i(\phi_I) \hat{T}_i^{\mu\nu} \right]$$

For particle spinor, vector, tensor... representations possible.

For "many incoherent particles" RPA means only vector representation remains

Chemical shift symmetry,  $SO(3)_{\alpha_{1,2,3}} \rightarrow SO(3)_{\alpha_{1,2,3}(\phi^I)}$

$$\alpha_i \rightarrow \alpha_i + \Delta\alpha_i(\phi_I) \Rightarrow L(b, y_{\alpha\beta} = u_\mu \partial^\mu \Psi_{\alpha\beta})$$

$y_{\mu\nu} \equiv \mu_i$  for polarization vector components in comoving frame

This way we ensured spin current flows with  $u^\mu$ .

Note that it is not a proper chemical potential (if it would be there would be 3 phases attached to each  $\phi_I$ ) as  $y_{\mu\nu}$  not invariant under symmetries of  $\phi_I$ .  $y_{\mu\nu}$  "auxiliary" polarization field

## How to combine polarization with local equilibrium?

Since polarization decreases the entropy by an amount proportional to the DoFs and independent of polarization direction

$$b \rightarrow b (1 - c y_{\mu\nu} y^{\mu\nu} + \mathcal{O}(y^4)) \quad , \quad F(b) \rightarrow F(b, y) = F(b (1 - c y^2))$$

.

## Other terms break requirement (i)

First law of thermodynamics,

$$dE = TdS - pdV - Jd\Omega \rightarrow dF(b) = db \frac{dF}{db} + dy \frac{dF}{d(yb)}$$

Energy-momentum tensor Not uniquely defined

**Canonical** Noether charge for translations, could be asymmetric  $\sim \frac{\partial L}{\partial(\partial\psi_i)}\partial\psi_j$

**Belinfante-Rosenfeld**  $\sim \frac{\delta S}{\delta g_{\mu\nu}}$  independent of spin, no non-relativistic limit

Which is the source for  $\partial_\mu T^{\mu\nu} = 0$  ? Not clear but irrelevant since hydro+polarization can't be written in terms of conservation laws

**8 degrees of freedom, 5 equations**  $(e, p, u_{x,y,z}, y^{\mu\nu})$ . One can include the antisymmetric part of  $T_{\mu\nu}$  and match equations but...

**No entropy maximization** If spin waves and sound waves separated, in comoving volume their ratio is arbitrary... but it should be decided by entropy maximization!

Consistent lagrangian exists if polarization always proportional to vorticity,

$$y^{\mu\nu} \sim \chi(T)(e + p) (\partial^\mu u^\nu - \partial^\nu u^\mu)$$

extension of Gibbs-Duhem to angular momentum uniquely fixes  $\chi$  via entropy maximization. For a free energy  $\mathcal{F}$  to be minimized

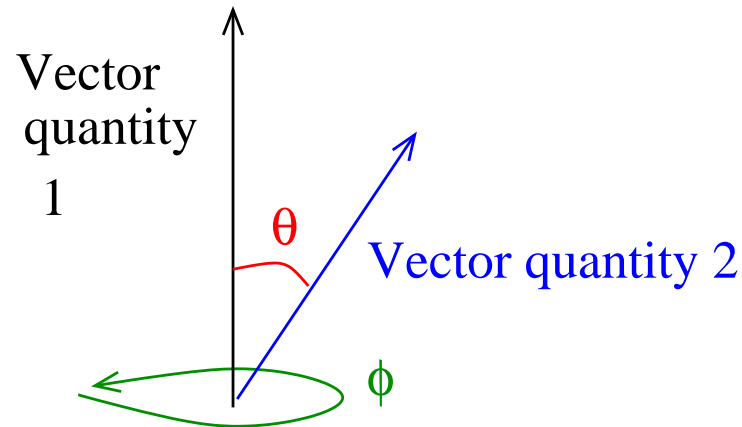
$$d\mathcal{F} = \frac{\partial \mathcal{F}}{\partial V} dV + \frac{\partial \mathcal{F}}{\partial e} de + \frac{\partial \mathcal{F}}{\partial [\Omega_{\mu\nu}]} d[\Omega_{\mu\nu}] = 0$$

where  $[\Omega_{\mu\nu}]$  is the vorticity in the comoving frame.

This fixes  $\chi$ . It also constrains the Lagrangian to be a Legendre transform of the free energy just as in the chemical potential case, in a straightforward generalization of Nicolis, Dubovsky et al. **Free energy always at (local) minimum! (requirement (ii))**

### A qualitative explanation

Instant thermalization means vorticity instantly adjusts to angular momentum, and is parallel to angular momentum. Corrections to this will be of the relaxation type a-la Israel-Stewart



Note that microscopic physics could allow an arbitrary angle between vorticity and polarization. **but such systems** would have no hydrodynamic limit due to **requirement (iii)** and the necessity for stability of relaxation dynamics

These techniques lead to a well-defined Euler-Lagrange equation of motion

$$\begin{aligned}
 & \left\{ g_b(1 - cy)\partial_\nu b + g_y 4y_\alpha^\beta \chi(T) \frac{\partial(\partial_\beta u^\alpha)}{\partial(\partial_\lambda \phi^I)} \partial^\nu (\partial_\lambda \phi^I) \right\} \times \\
 & \times \left[ (1 - cy) \frac{\partial b}{\partial(\partial_\nu \phi^I)} - (8cb) y_\alpha^\beta \chi(T) \frac{\partial(\partial_\beta u^\alpha)}{\partial(\partial_\nu \phi^I)} \right] + g(b, y) \times \\
 & \times (1 - cy) \partial_\nu \left( \frac{\partial b}{\partial(\partial_\nu \phi)} \right) - 8c \chi(T) g(b, y) \frac{\partial(\partial_\beta u^\alpha)}{\partial(\partial_\lambda \phi^I)} \left[ \frac{y_\alpha^\beta}{2} \partial_\nu \partial_\lambda \phi^I \times \right. \\
 & \times \frac{\partial b}{\partial(\partial_\nu \phi^I)} + (\partial^\nu b) 4y_\alpha^\beta \delta_\nu^\lambda + b \chi(T) \left( \frac{\partial(\partial^\beta u_\alpha)}{\partial(\partial_\nu \phi^I)} + \frac{\partial(\partial_\alpha u^\beta)}{\partial(\partial_\nu \phi^I)} \right) \times \\
 & \left. \times \partial_\nu (\partial_\lambda \phi^I) + b y_\alpha^\beta \partial_\nu \ln \frac{\partial(\partial_\beta u^\alpha)}{\partial(\partial_\nu \phi^I)} \right] = 0
 \end{aligned}$$

NB depends on acceleration, so  $\Delta S = 0 \Rightarrow \partial_\mu \partial_\nu \frac{\partial F}{\partial(\partial_\mu \partial_\nu \phi^I)} = \partial_\mu \frac{\partial F}{\partial(\partial_\mu \phi^I)}$



Which can be linearized,  $\phi_I = X_I + \pi_I$

The "free" (sound wave and vortex kinetic terms) part of the equation will be

$$\begin{aligned}\mathcal{L} = & (-F'(1)) \left\{ \frac{1}{2}(\dot{\pi})^2 - c_s^2 [\partial\pi]^2 \right\} + \\ & + f\zeta \left\{ \ddot{\pi}^i \partial_i \dot{\pi}_j + \ddot{\pi}_i \ddot{\pi}_j + \partial_j \dot{\pi}^i \partial_i \dot{\pi}_j + \partial_j \dot{\pi}_i \ddot{\pi}_j + \right. \\ & \left. + (2\ddot{\pi}^i \partial_j \dot{\pi}_i - 2\ddot{\pi}_j \partial^i \dot{\pi}_j) + (\ddot{\pi}_i^2 - \ddot{\pi}_j^2) + (\partial_j \dot{\pi}_i^2 - \partial_i \dot{\pi}_j^2) \right\}\end{aligned}$$

- Acceleration terms survive linearization
- Vortices and sound wave modes mix at "leading" order. Change in temperature due to sound wave changes polarizability, and that changes vorticity

We decompose perturbation into sound and vortex  $\phi_I = \nabla\phi + \nabla \times \vec{\Omega}$

$$\begin{pmatrix} \varphi \\ \vec{\Omega} \end{pmatrix} = \int dw d^3k \begin{pmatrix} \varphi_0 \\ \vec{\Omega}_0 \end{pmatrix} \exp \left[ i \left( \vec{k}_{\phi,\Omega} \cdot \vec{x} - w_{\phi,\Omega} t \right) \right]$$

Dispersion relation for parallel to  $k$  (“sound-wave”) and vector part (“vortex”)

$$w_\phi^2 - c_s^2 k_\phi^2 + 2\beta k_\phi w_\phi^3 = 0 \quad , \quad (3k_\Omega^2 - 2k_\Omega w_\Omega)_j (\vec{k}_\Omega \times \vec{\Omega}_0)_i w_\Omega^2 + w^4 \Omega = 0$$

Dispersion relations show violation of causality! ( $dw/dk \geq 1$ )

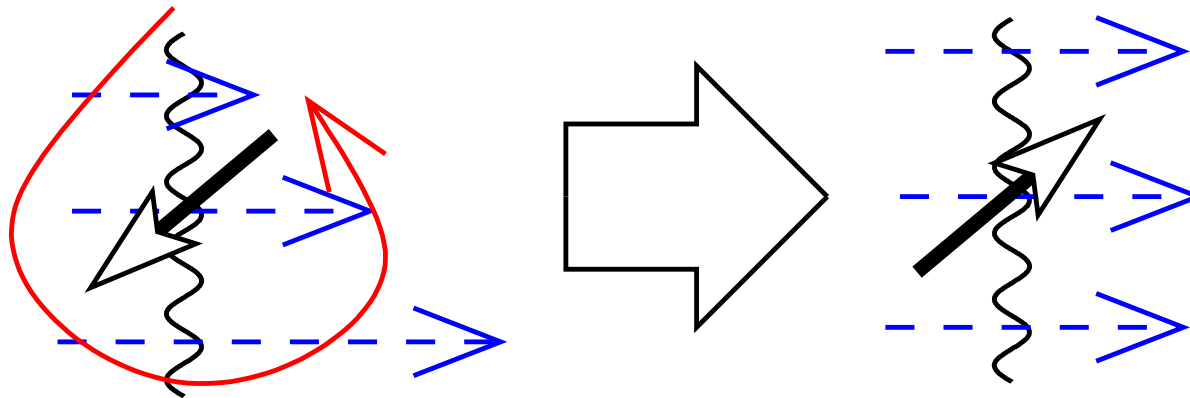
Linearization leads to violation of causality because of Ostrogradski's theorem  
(Lagrangian depends on acceleration)

To fix this, we need polarization to relax to vorticity, a la Israel-Stewart

$$y_{\mu\nu} = \chi(T, y)\Omega_{\mu\nu} \Rightarrow \tau_{\Omega} u_{\alpha} \partial^{\alpha} y_{\mu\nu} + y_{\mu\nu} = \chi(T, y)\Omega_{\mu\nu}$$

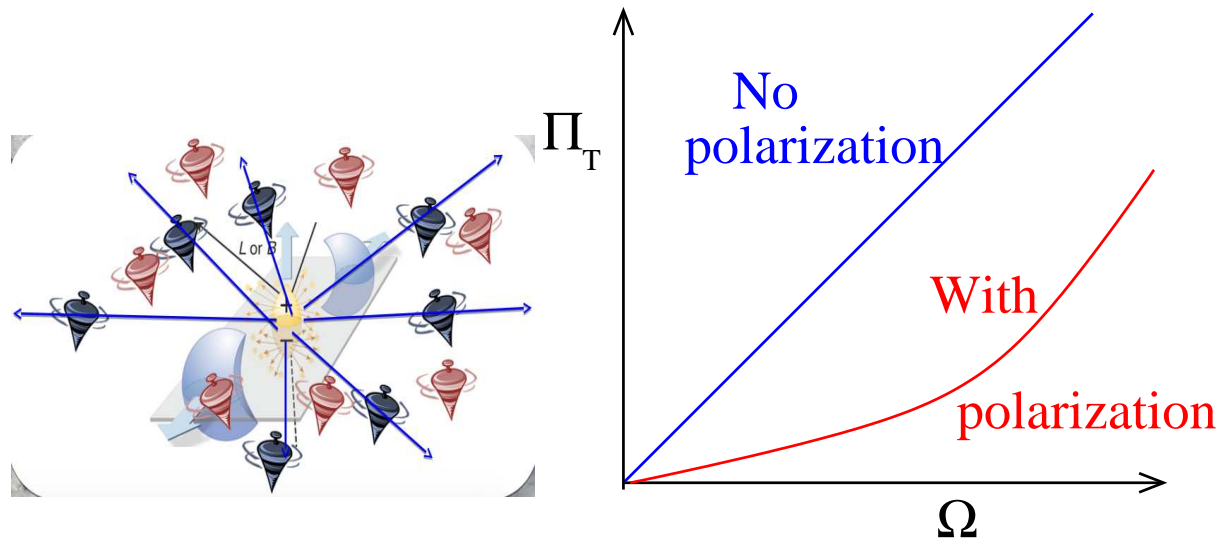
GT,D Montenegro, 1807.02796 **lower limit to  $\eta/s$**  in polarizeable fluids

$$\tau_Y^2 \geq \frac{8c\chi^2(b_o, 0)}{(1 - c_s^2)b_o F'(b_o)} \quad , \quad \frac{\eta}{s} \geq T\tau_Y$$



## Polarization and vortex stability

Fluctuation-dissipation:  $\tau_{\Omega} \sim \lim_{\omega \rightarrow 0} \omega^{-1} \int dt \langle y_{\mu\nu} \Omega_{\mu\nu} \rangle \exp(i\omega t)$



Polarization makes vorticity acquire a "soft gap" wrt angular momentum. At small amplitudes, creating polarization is more advantageous than creating vorticity. This means small amplitude vortices get quenched. Stabilizes theory against vortex instabilities

## Gauge theory and local thermalization

**The formalism we introduced earlier** is ok for quark polarization but problematic for gluon polarization: Gauge symmetry means one can exchange locally angular momentum states for transversely polarized spin states. So vorticity vs polarization is ambiguous

**Using the energy-momentum tensor for dynamics is even more problematic** for spin  $T_{\mu\nu}$  acquires a "pseudo-gauge" transformation

$$T_{\mu\nu} \rightarrow T_{\mu\nu} + \frac{1}{2} \partial_\lambda (\Phi^{\lambda,\mu\nu} + \Phi^{\mu,\nu\lambda} + \Phi^{\nu,\mu\lambda})$$

where  $\Phi$  is fully antisymmetric.  $\delta S / \delta g_{\mu\nu}$  and canonical tensors are limits of choice of  $\Phi$ . But vorticity global (and gauge invariant),  $y_{\mu\nu}$  local (and gauge dependent). Affects EFTs based on  $T_{\mu\nu}$  (Hong Liu, Florkowski and collaborators)

Generalization from  $U(1)$  to generic group easy

$$\alpha \rightarrow \{\alpha_i\} \quad , \quad \exp(i\alpha) \rightarrow \exp\left(i \sum_i \alpha_i \hat{T}_i\right)$$

One subtlety: Currents stay parallel to  $u_\mu$  but chemical potentials become adjoint, since rotations in current space still conserved

$$y = J^\mu \partial_\mu \alpha_i \rightarrow y_{ab} = J_a^\mu \partial_\mu \alpha_b$$

Lagrangian still a function of  $dF(b, \{\mu\})/dy_{ab}$  , “**flavor chemical potentials**”

From global to gauge invariance! Lagrangian invariant under

$$\{y_{ab}\} \rightarrow y'_{ab} = U_{ac}^{-1}(x)y_{cd}U_{db}(x) \quad , \quad U_{ab}(x) = \exp \left( i \sum_i \alpha_i(x) \hat{T}_i \right)$$

However, gradients of  $x$  obviously change  $y$  .

$$\begin{aligned} y_{ab} \rightarrow U_{ac}^{-1}(x)y_{cd}U_{bd}(x) &= U^{-1}(x)_{ac}J_f^\mu U_{cf}U_{fg}^{-1}\partial_\mu\alpha_gU_{bg} = \\ &= U^{-1}(x)_{ac}J_f^\mu U_{cf}\partial_\mu \left( U_{fg}^{-1}\alpha_dU_{bd}(x) \right) - J_a^\mu (U\partial_\mu U)_{fb} \alpha_f \end{aligned}$$

Only way to make lagrangian gauge invariant is

$$F \left( b, J_j^\mu \partial_\mu \alpha_i \right) \rightarrow F \left( b, J_j^\mu \left( \partial_\mu - U(x)\partial_\mu U(x) \right) \alpha_i \right)$$

Which is totally unexpected, profound and crazy

The swimming ghost!

$$F(b, J_j^\mu \partial_\mu \alpha_i) \rightarrow F(b, J_j^\mu (\partial_\mu - U(x) \partial_\mu U(x)) \alpha_i)$$

Means the ideal fluid lagrangian depends on velocity!. no real ideal fluid limit possible  
 the system “knows it is flowing” at local equilibrium! **NB:** For U(1)

$$\hat{T}_i \rightarrow 1 \quad , \quad y_{ab} \rightarrow \mu_Q \quad , \quad u_\mu \partial^\mu \alpha_i \rightarrow A_\tau$$

So second term can be gauged to a redefinition of the chemical potential  
 (the electrodynamic potentials effect on the chemical potential).

Cannot do it for Non-Abelian gauge theory, “twisting direction” in color space  
 It turns out this has an old analogue...



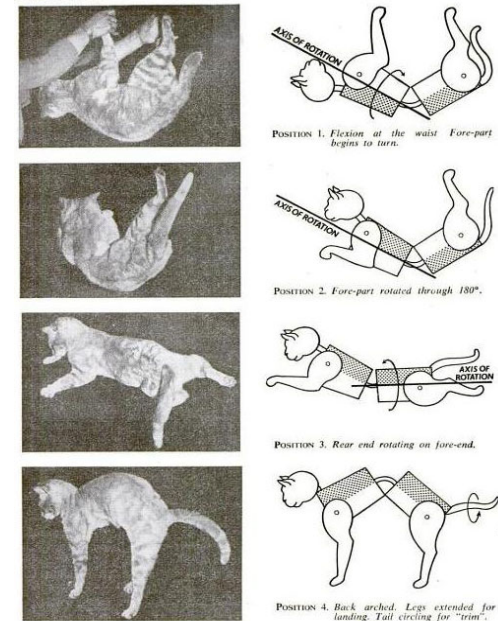
## The swirling ghost

Since  $u^\mu \partial_\mu$  is in the Lagrangian, let us compare vorticity and Wilson loops!

$$\text{Vorticity : } \oint J_\mu dx^\mu \neq 0 \quad , \quad \text{Wilson loop : } \oint dx_\mu \partial^\mu U_{ab} \equiv \int_\Sigma d\Sigma_{\mu\nu} F_{ab}^{\mu\nu}$$

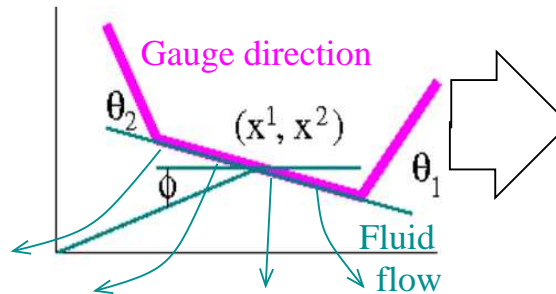
Lagrangian will in general have gauge-invariant terms proportional to  $\text{Tr}_a \omega_{\mu\nu a} F_a^{\mu\nu}$ . Unlike in Jackiw et al,  $F_{\mu\nu}$  is not field strength but just a polarization tensor, whose value is set by entropy maximization.

But circular modes correlating angle around vortex of  $u_\mu$  and direction  $a$  of  $F_{\mu\nu}^a$  non-dissipative (unlike in polarization hydro described earlier)



S. Montgomery (2003): How does a cat always fall on its feet without anything to push themselves against? The shape of spaces a cat can deform themselves into defines a “set of gauges” a cat can choose without change of angular momentum.

Purcell, Shapere+Wilczek, Avron+Raz : A similar process enables swimmers to move through viscous liquids with no applied force



Now imagine each fluid cell filled with a “swimmer”, with arms and legs outstretched in “gauge” directions...

Hydrostatic vacuum unstable against purcell swimmers in Gauge space!

## A statistical mechanics/Gauge explanation

Hydrodynamic limit:  $\partial^\mu s_\mu \equiv \partial^\mu (u_\mu \ln N_{microstates}) = 0$

In thermal Gauge theory microstates contain gauge redundancies,

$N_{microstates} \rightarrow N_{microstates} - N_{gauge}$  But  $s_\mu^{real}$  not parallel to  $s_\mu^{gauge}$   
so no local equilibrium!. **recall** hydrostatic limit perturbation

$$\phi_I = X_I + \vec{\pi}_I^{sound} + \vec{\pi}_I^{vortex} \quad , \quad \nabla \cdot \vec{\pi}_I^{vortex} = \nabla \times \vec{\pi}_I^{sound} = 0$$

Since the derivative of the free energy w.r.t.  $b$  is positive, sound waves and vortices do “work”. Let us now assume the system has a “color chemical potential”. Let us vary the color chemical potential in space according to

$$\Delta\mu(x) = \sum_i (\mu_i(x)^{swim} + \mu_i(x)^{swirl}) \hat{T}_i \quad , \quad \nabla_i \cdot \mu_i^{swim} = \nabla_i \times \mu_i^{swirl} = 0$$

“color susceptibility” typically negative. So the two can balance!!!!

But this breaks the "hierarchy" of statistical mechanics

It mixes micro and macro perturbations!

In statistical mechanics, what normally distinguishes "work" from "heat" is coarse-graining, the separation between micro and macro states. Quantitatively, probability of thermal fluctuations is normalized by  $1/(c_V T)$  and microscopic correlations due to viscosity are  $\sim \eta/(Ts)$ . Since for a usual fluid, there is a hierarchy between microscopic scale, Knudsen number and gradient

$$\frac{1}{c_V T} \ll \frac{\eta}{(Ts)} \ll \partial u_\mu$$

Gauge symmetry breaks it, since it equalizes perturbations at both ends of this!

Is there a Gauge-independent way of seeing this? Perhaps!

One can write the effective Lagrangian in a Gauge-invariant way using **Wilson-Loops** . But the effective Lagrangian written this way will have an infinite number of terms, in a series weighted by the characteristic Wilson loop size. For a locally equilibrated system, this series does not commute with the gradient. Just like with Polymers, the system should have **multiple anisotropic non-local minima** which mess up any Knudsen number expansion. **Some materials are inhomogeneous and anisotropic at equilibrium, YM could be like this!**

**Lattice would not see it** , as there are no gradients there. There is an entropy maximum, and it is the one the lattice sees. The problems arise if you "coarse-grain" this maximum into each microscopic cell and try to do a gradient expansion around this equilibrium, unless you have color neutrality.

Development of EoMs, linearization, etc. of this theory in progress!

**A crazy guess, speculation** Remember that all flow dependence through  $\mu_{ab}$  color chemical potentials. What if local equilibrium happens when they go to zero, i.e. color density is neutral.

Could colored-swimming ghosts quickly be produced, and then locally thermalize and color-neutralize the QGP?



Similar to **Positivity violation picture of confinement** (Alkofer)

What about gauge-gravity duality?

**Large  $N$**  non-hydrodynamic modes go away in the planar limit

There are  $N$  ghost modes and  $N^2$  degrees of freedom

**Conformal fixed point** most likely means ghosts non-dynamical

Not yet sure of this, but conformal invariance reduces pseudo-Gauge transformations to

$$\Phi_{\lambda,\mu\nu} \xrightarrow[\text{conformal}]{} g_{\sigma\mu}\partial_\nu\phi - g_{\sigma\nu}\partial_\mu\phi$$

where  $\phi$  is a scalar function. Irrelevant for dynamics.

As shown in Capri et al ( [1404.7163](#) ) Gribov copies for a Yang-Mills theory non-dynamical there. It would be a huge job to do this for hydrodynamics.



## Conclusions

**Hydrodynamics is not** a limit of transport, AdS/CFT or any other microscopic theory

**Hydrodynamics is** an EFT built around symmetries and entropy maximization and should be treated as such

**Once you realize this** , generalizing it to theories with extra DoFs, symmetries etc. becomes straight-forward.

**Lots of things to do** Gauge symmetry looks particularly interesting!

**Can we do better?** Put theory on the lattice, work with Thiago Nunes