



Non-Equilibrium Phase Transitions and Critical Dynamics in QCD

Krabi, Thailand, 26 November 2024

Lorenz von Smekal
with Mattis Harhoff, Johannes Roth, Leon Sieke,
Yunxin Ye and Sören Schlichting

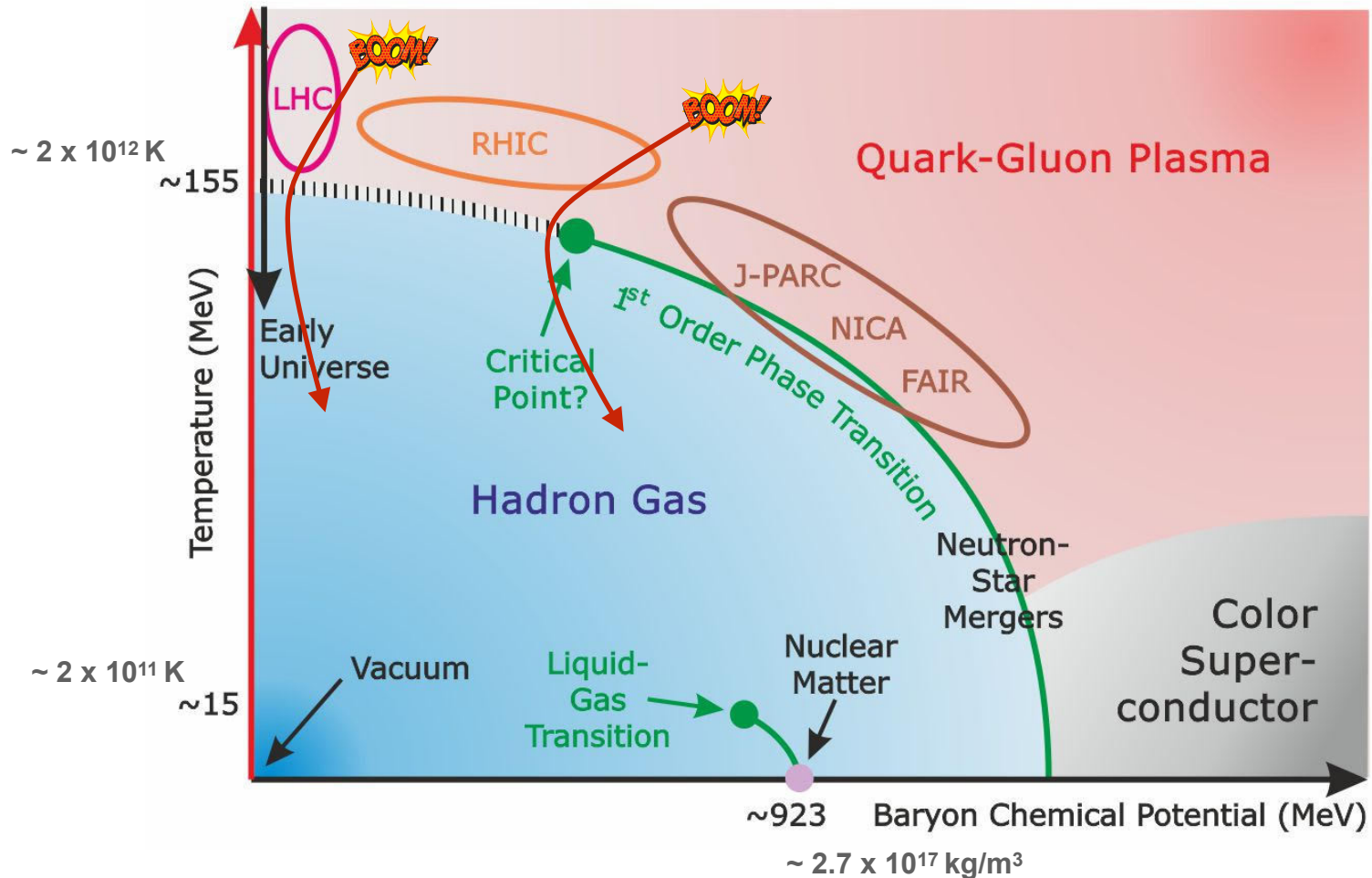
JHEP 10 (2023) 065; arXiv:2403.4573; arXiv:2409.14470;
arXiv:2411.10266

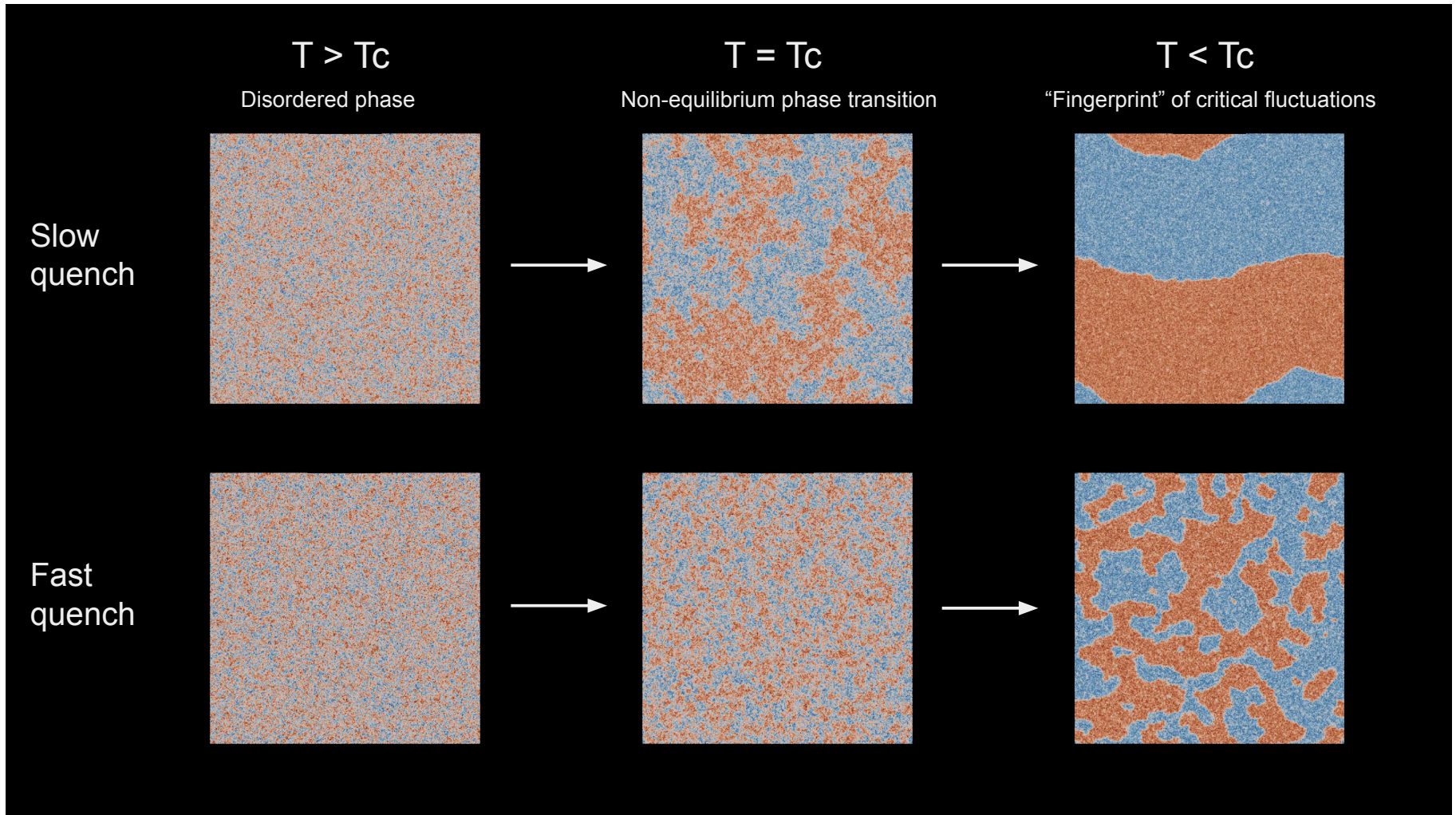
10th International Symposium on
Non-equilibrium Dynamics

NeD-2024

CRC-TR 211
Strong-interaction matter
under extreme conditions

Strong-Interaction (QCD) Matter



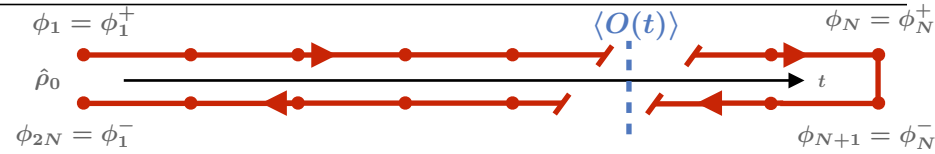


- Non-Equilibrium, Closed-Time Path, Keldysh
- Open Quantum Systems and Classical Limit
- Non-Equilibrium Phase Transitions
- Dynamic Universality Classes
- Real-Time FRG for Critical Dynamics

U. C. Täuber, *Critical Dynamics: A Field Theory Approach to Equilibrium and Non-Equilibrium Scaling behavior*, Cambridge, 2014

- path integral on CTP:

$$Z = \text{tr } U_C \hat{\rho}_0$$



$$\langle O \rangle = \int_{\rho_0} \mathcal{D}[\phi^+, \phi^-] e^{iS[\phi^+, \phi^-]} O(\phi^+, \phi^-)$$

initial state non-equilibrium dynamics insert observable

- Keldysh rotation:

time ordered	lesser		Keldysh	retarded
$G^T(t, t')$	$G^<(t, t')$	\longrightarrow	$G^K(t, t')$	$G^R(t, t')$
$G^>(t, t')$	$G^{\tilde{T}}(t, t')$		$G^A(t, t')$	0
greater	anti time ordered		advanced	

- parametrize:

$$G^K = G^R \circ F - F \circ G^A$$

distribution function (hermitian): $F(t, t') \xrightarrow{\text{equilibrium}} F(t - t')$

- open quantum system:

plus interactions

$$S_0[\Phi] = \int \frac{d^4p}{(2\pi)^4} \Phi^T(-\omega, \vec{p}) \begin{pmatrix} 0 & \omega^2 - \omega_p^2 - \Sigma_E^A(\omega, \vec{p}) \\ \omega^2 - \omega_p^2 - \Sigma_E^R(\omega, \vec{p}) & i \coth\left(\frac{\omega}{2T}\right) J_E(\omega, \vec{p}) \end{pmatrix} \Phi(\omega, \vec{p})$$

- (an-)harmonic oscillator in Ohmic bath:

$$\Phi = \begin{pmatrix} \varphi^c \\ \varphi^q \end{pmatrix}$$

$$J_E(\omega) = 2\gamma\omega \theta(\Lambda - |\omega|)$$

for $|\omega| \ll \Lambda$

- Caldeira-Leggett model:

$$S_0[\Phi] = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \Phi^T(-\omega) \begin{pmatrix} 0 & \omega^2 - i\gamma\omega - \omega_0^2 \\ \omega^2 + i\gamma\omega - \omega_0^2 & 2i\gamma\omega \coth\left(\frac{\omega}{2T}\right) \end{pmatrix} \Phi(\omega)$$

- on Keldysh contour:

$$\varphi^\pm = \varphi^c \pm \hbar \varphi^q$$

- equilibrium distribution function:

$$F(\omega) = \coth\left(\frac{\hbar \omega}{2T}\right) \longrightarrow \frac{2T}{\hbar \omega} \quad \text{Rayleigh-Jeans limit}$$

- Keldysh action:

$S_0[\Phi] \rightarrow$ with interactions: $\omega_0^2 \varphi^c \rightarrow V'(\varphi^c)$, classical force

$$\frac{1}{\hbar} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} (\varphi^c, \hbar \varphi^q) \begin{pmatrix} 0 & \omega^2 - i\gamma\omega - \omega_0^2 \\ \omega^2 + i\gamma\omega - \omega_0^2 & 4i\gamma \frac{T}{\hbar} \end{pmatrix} \begin{pmatrix} \varphi^c \\ \hbar \varphi^q \end{pmatrix}$$

$$= \int dt \left\{ 2\varphi^q (-\ddot{\varphi}^c - \gamma\dot{\varphi}^c - V'(\varphi^c)) + 4i\gamma T (\varphi^q)^2 \right\}$$

classical Martin-Siggia-Rose (MSR) action

- dissipative equation of motion:

$$\ddot{\varphi}^c = -\gamma\dot{\varphi}^c - V'(\varphi^c) + \xi(t)$$

~~friction force~~ friction force, kinetic coefficient γ (drag)

- stochastic force:

$$\langle \xi(t) \rangle = 0$$

$$\langle \xi(t)\xi(t') \rangle = 2\gamma T \delta(t - t')$$

Einstein relation
(classic example of FDR)

~~strength of random force~~ strength of random force
(Brownian motion)

- restricted partition function:

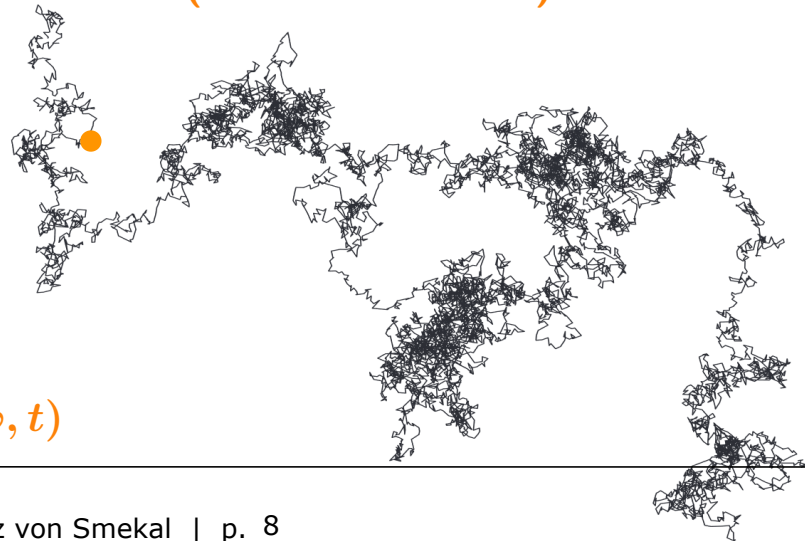
$$\langle \delta(\varphi^c(t) - \varphi) \rangle =$$

~~observable~~ observable $O(\varphi^c)$

$$Z \Big|_{\varphi^c(t) = \varphi} = \mathcal{P}(\varphi, t)$$

probability distribution of φ at time t

↪ derive Fokker-Planck equation for $\mathcal{P}(\varphi, t)$



- replace potential by Landau-Ginzburg-Wilson functional:

$$F[\varphi] = \int d^d x \left\{ \frac{1}{2} (\vec{\nabla} \varphi)^2 + V(\varphi) \right\}$$

- dissipative equation of motion:

$$\partial_t^2 \varphi + \gamma \partial_t \varphi = - \frac{\delta F}{\delta \varphi} + \xi(x)$$

or 1st order form

$$\partial_t \varphi = \pi$$

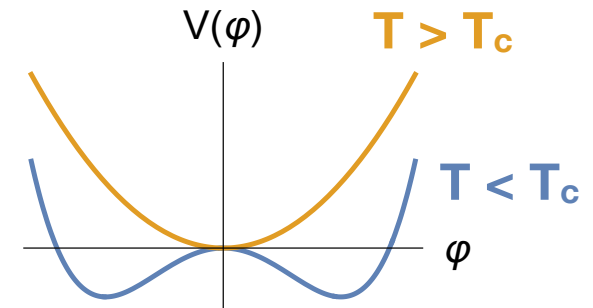
$$\partial_t \pi = -\gamma \pi - \frac{\delta F}{\delta \varphi} + \xi(x)$$

- stochastic force:

$$\langle \xi(x) \xi(x') \rangle = 2\gamma T \delta(x - x')$$

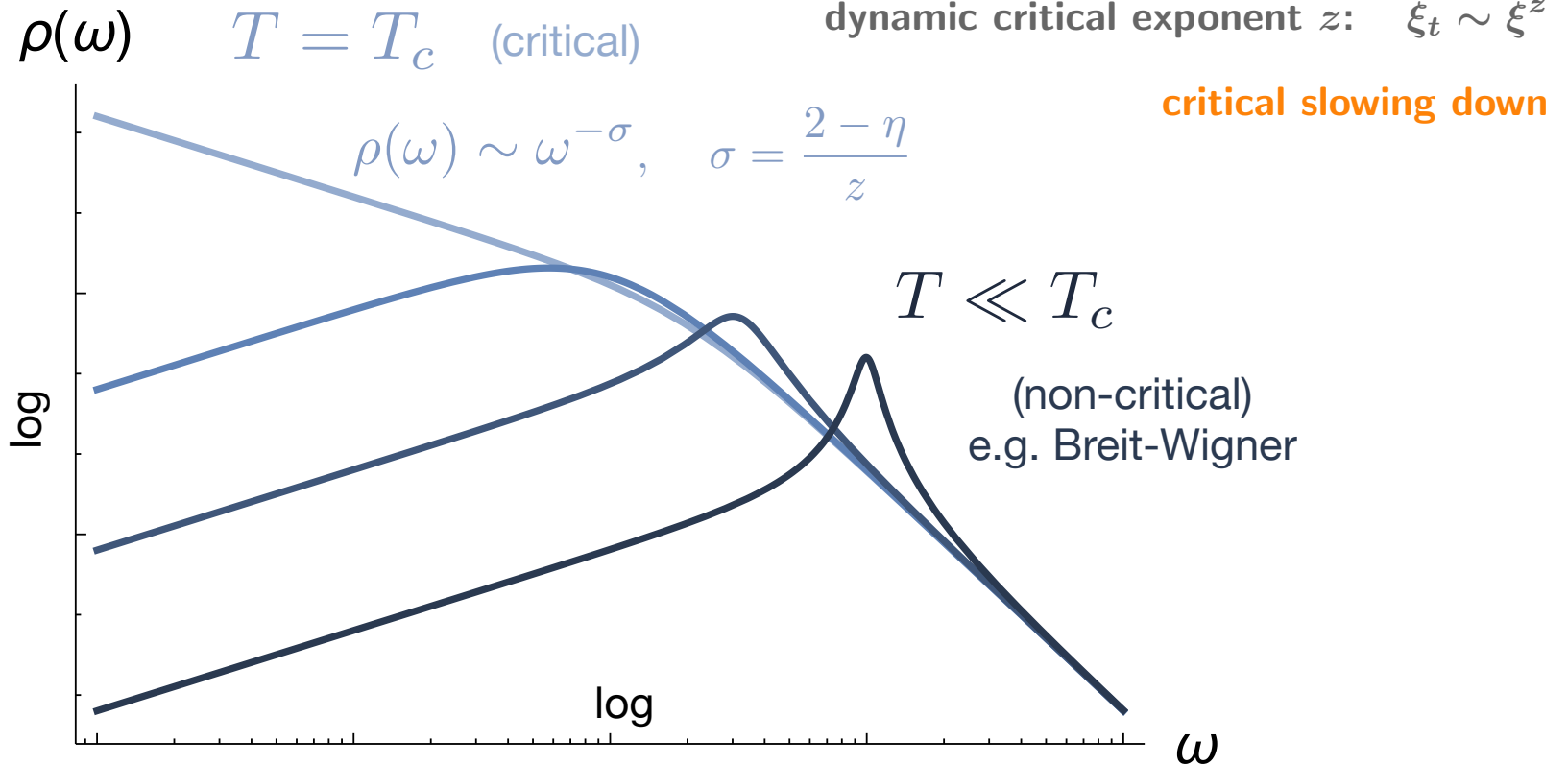
- spectral functions from classical FDR:

$$\rho(t, \vec{x}) = -\frac{1}{T} \partial_t \langle \varphi(t, \vec{x}) \varphi(0, 0) \rangle = -\frac{1}{T} \langle \pi(t, \vec{x}) \varphi(0, 0) \rangle$$



for statics, with Z_2 SSB

- obtain universal dynamic scaling functions



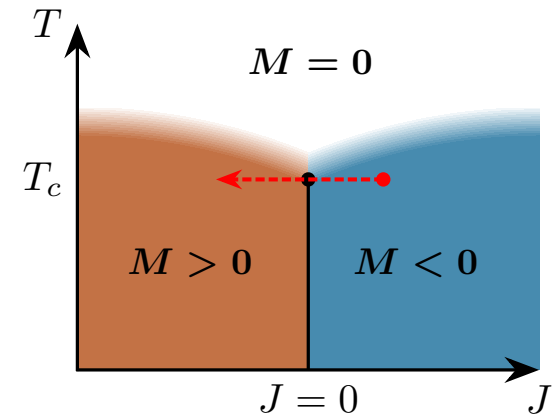
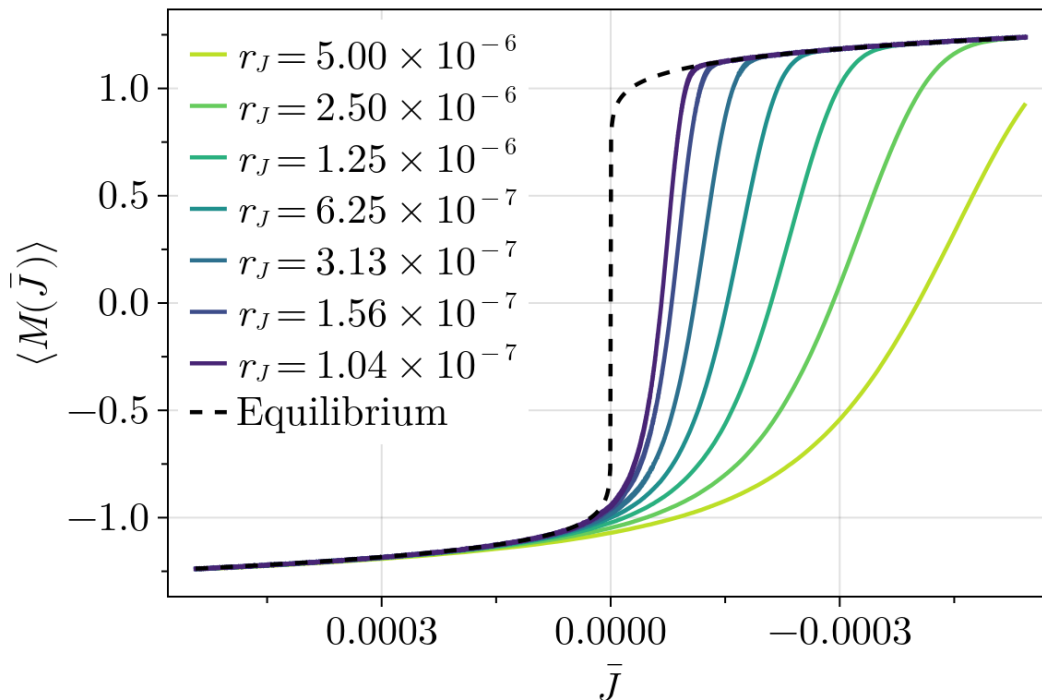
Schlichting, Smith, LvS, NPB 950 (2020) 114868

Schweitzer, Schlichting, LvS, NPB 960 (2020) 115165; NPB 984 (2022) 115944

- trans-critical linear magnetic quench:
- measure magnetization:

$$J(t) = -r_J t$$

$d = 2, L = 766$



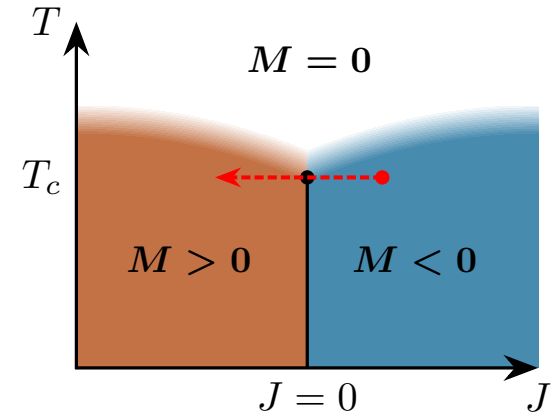
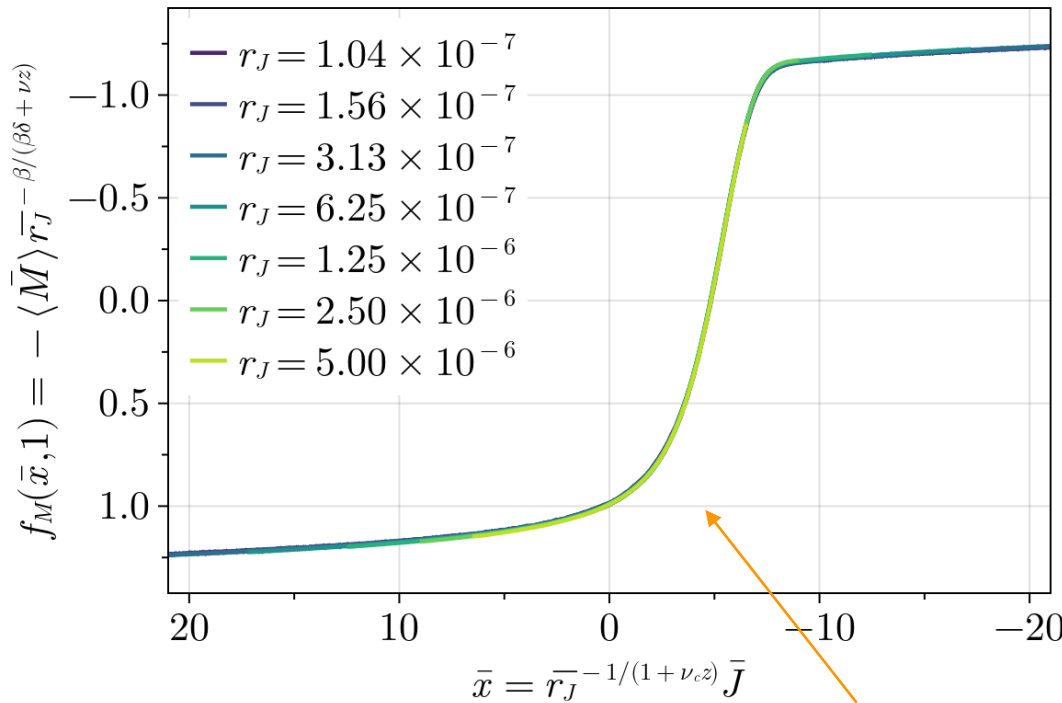
system falls out of equilibrium
when $\dot{\xi}_t \approx 1$

adiabatically: $\xi_t \sim J^{-\frac{\nu z}{\beta \delta}}$

- trans-critical linear magnetic quench:
- rescale:

$$J(t) = -r_J t$$

$d = 2, L = 766$



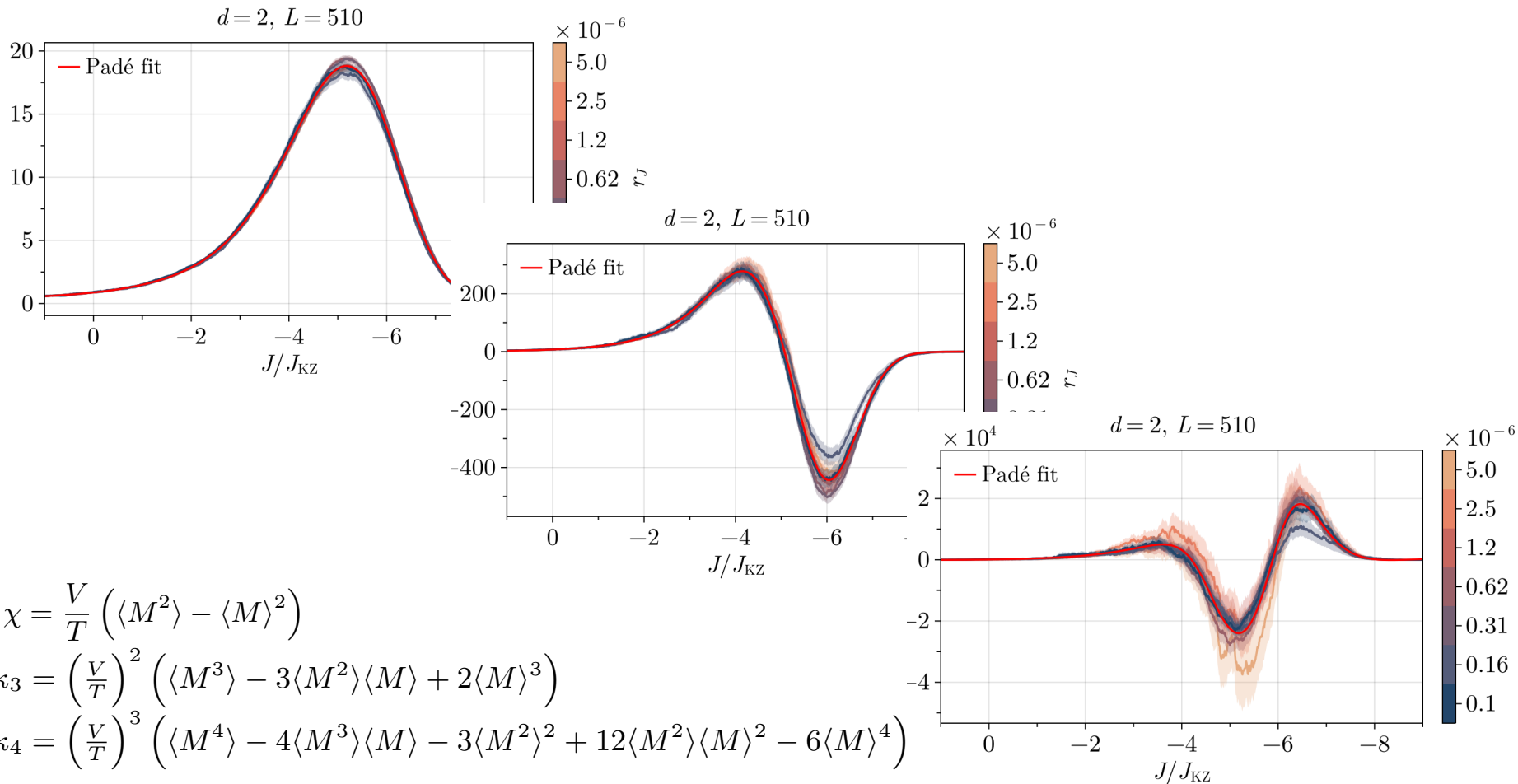
at Kibble-Zurek time:

$$J \sim r_J^{1/\left(1 + \frac{\nu z}{\beta\delta}\right)}$$

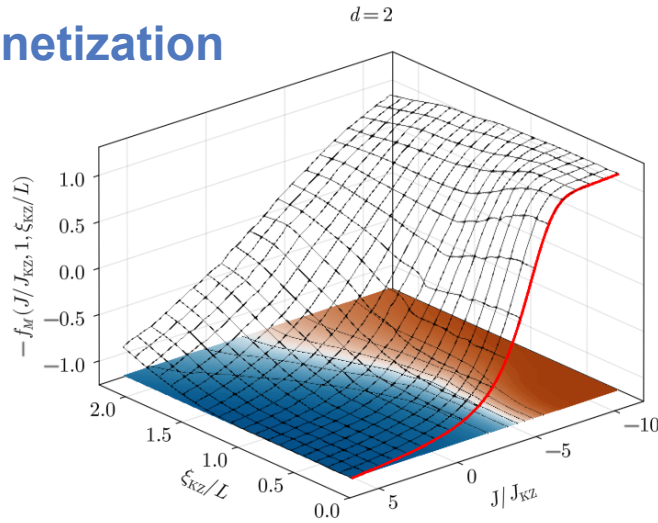
Kibble-Zurek scaling

universal non-equilibrium scaling function

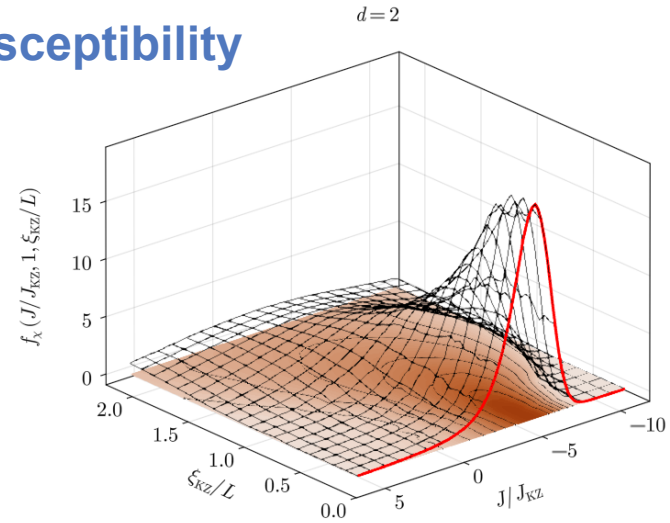
- susceptibility, skewness, kurtosis:



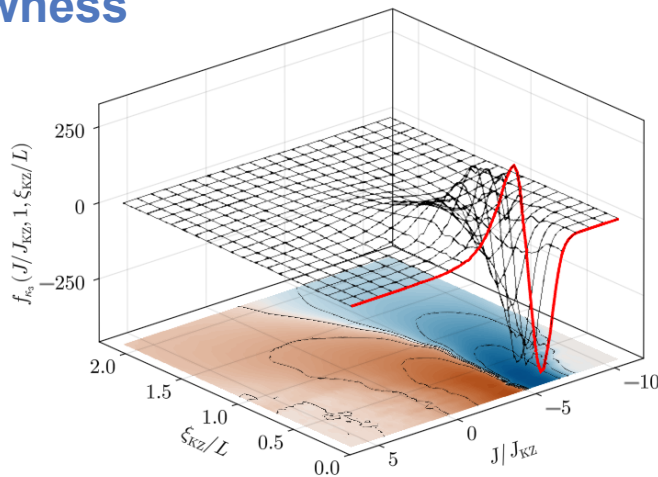
magnetization



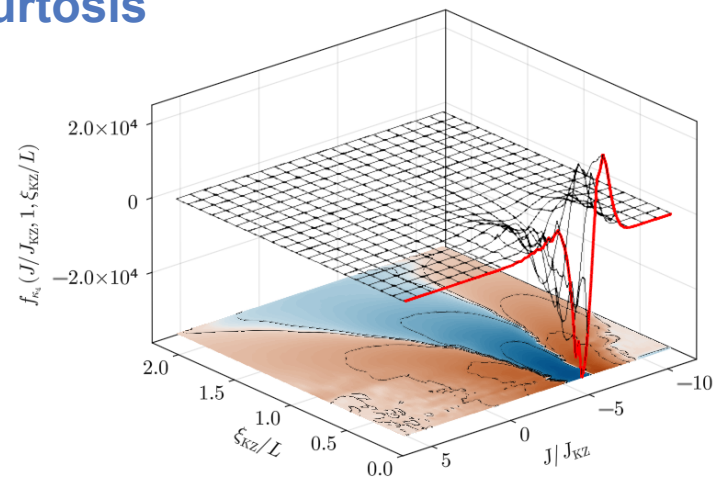
susceptibility



skewness



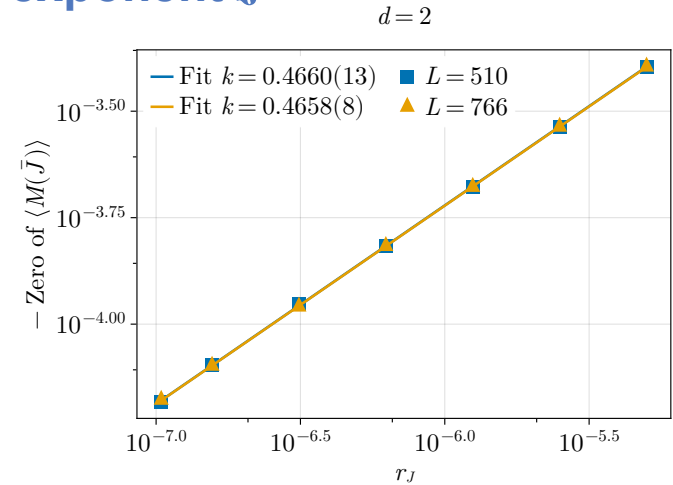
kurtosis



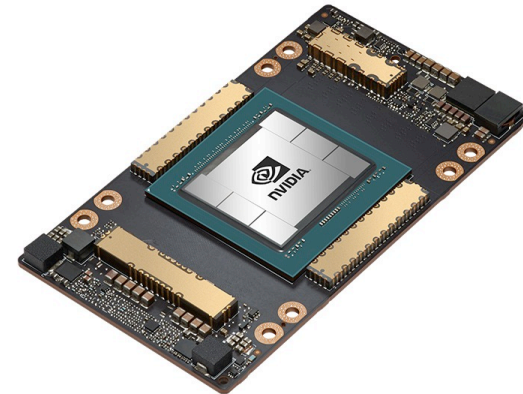
- allows accurately determining dynamic critical exponent z

Sieke, Harhoff, Schlichting, LvS, arXiv:2411.10266

z	$d = 2$	$d = 3$
KZ scaling	2.142(49)	1.949(54)
Crit. SFs	2.10(4) ¹	1.92(11) ¹
Monte Carlo	2.1667(5) ²	2.0245(15) ³
ϵ expansion	2.14(2) ⁴	2.0236(8) ⁴
FRG	2.15 ⁵	2.024 ⁵
Experiment	2.09(6) (95% confidence) ⁶	1.96(11) ⁷



obtain from $J(M = 0) \sim r_J^{1/(1 + \frac{\nu z}{\beta \delta})}$
 not necessary to know Kibble-Zurek time



¹Schweitzer, Schlichting, LvS (2020); ²Nightingale, Blöte (2000); ³Hasenbusch (2020);

⁴Adzhemyan et al. (2022); ⁵Duclut, Delamotte (2017); ⁶Dunlavy, Venus (2005); ⁷Livet et al. (2018)

- **classified as Model A, B, C,... — Model J**

Hohenberg, Halperin (1977)

- **describe full set of critical/hydrodynamic modes**

order parameter, Goldstone modes, conserved charges, reversible mode couplings

- **critical dynamics in QCD:**

- **chiral phase transition: Model G — Rajagopal, Wilczek (1993)**

classical-statistical: Florio, Grossi, Soloviev, Teaney, PRD **105** (2022) 054512

Florio, Grossi, Teaney, PRD **109** (2024) 054037

FRG: Roth, Ye, Schlichting, LvS, arXiv:2403.04573

- **QCD critical point: Model H — Son, Stephanov (2004)**

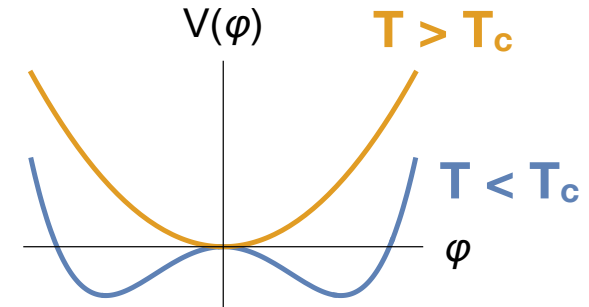
classical-statistical: Chattopadhyay, Ott, Schaefer, Skokov, PRL **133** (2024) 032301

FRG: Chen, Tan, Fu, arXiv:2406.00679

Roth, Ye, Schlichting, LvS, arXiv:2409.14470

- Landau-Ginzburg-Wilson functional:

$$F[\varphi] = \int d^d x \left\{ \frac{1}{2} (\vec{\nabla} \varphi)^2 + V(\varphi) \right\}$$



for statics, with Z_2 SSB

- Langevin dynamics:

$$\partial_t^2 \varphi + \gamma \partial_t \varphi = - \frac{\delta F}{\delta \varphi} + \xi$$

Gaussian white noise

- no conservation laws

FRG: Canet, Chate, J. Phys. A **40** (2007) 1937,
 Canet, Chate, Delamotte, J. Phys. A **44** (2011) 495001
 Duclut, Delamotte, PRE **95** (2017) 012107
 Roth, LvS, JHEP **10** (2023) 065
 Batini, Grossi, Wink, PRD **108** (2023) 125021

Model A
 $z = 2 + c\eta$

- **LGW functional:**

$$F[\varphi, n] = \int d^d x \left\{ \frac{1}{2} (\vec{\nabla} \varphi)^2 + V(\varphi) + B \varphi n + \frac{n^2}{2\chi_n} \right\}$$

- **equations of motion:**
(chiral) order parameter

$$\partial_t^2 \varphi + \gamma \partial_t \varphi = - \frac{\delta F}{\delta \varphi} + \xi(x)$$

$$\tau_R \partial_t^2 n + \partial_t n = \bar{\lambda} \vec{\nabla}^2 \frac{\delta F}{\delta n} + \vec{\nabla} \cdot \vec{\zeta}(x)$$

conserved (baryon) density

- **slow critical mode diffusive**

FRG: Roth, LvS, JHEP 10 (2023) 065

with linear coupling B to conserved (baryon) density $n(x)$ (non-critical)

$$\langle \xi(x) \xi(x') \rangle_\beta = 2\gamma T \delta(x - x')$$

$$\langle \zeta^i(x) \zeta^j(x') \rangle_\beta = 2\bar{\lambda} T \delta^{ij} \delta(x - x')$$

Model B

$$z = 4 - \eta$$

Berdnikov, Rajagopal, PRD 62 (2000) 105017

- **LGW functional:**

$$F[\varphi, n] = \int d^d x \left\{ \frac{1}{2} (\vec{\nabla} \varphi)^2 + V(\varphi) + \frac{g}{2} \varphi^2 n + \frac{n^2}{2\chi_n} \right\}$$

- **equations of motion:**
(chiral) order parameter

with quadratic coupling g to conserved (energy) density $n(x)$

$$\partial_t^2 \varphi + \gamma \partial_t \varphi = - \frac{\delta F}{\delta \varphi} + \xi(x)$$

$$\langle \xi(x) \xi(x') \rangle_\beta = 2\gamma T \delta(x - x')$$

$$\tau_R \partial_t^2 n + \partial_t n = \bar{\lambda} \vec{\nabla}^2 \frac{\delta F}{\delta n} + \vec{\nabla} \cdot \vec{\zeta}(x)$$

$$\langle \zeta^i(x) \zeta^j(x') \rangle_\beta = 2\bar{\lambda} T \delta^{ij} \delta(x - x')$$

conserved (energy) density

FRG: Mesterházy, Stockemer, Palhares, Berges, PRB 88 (2013) 174301
Roth, LvS, JHEP 10 (2023) 065

Model C

$$z = 2 + a/\nu$$

- LGW functional:

now static O(4) universality

$$F[\phi, n] = \int d^d x \left\{ \frac{1}{2} (\partial^i \phi_a)(\partial^i \phi_a) + \frac{m^2}{2} \phi_a \phi_a + \frac{\lambda}{4!N} (\phi_a \phi_a)^2 + \frac{1}{4\chi_n} n_{ab} n_{ab} \right\}$$

- equations of motion:
(chiral) order parameter

with conserved iso-vector and iso-axialvector charge densities

$$\partial_t \phi_a = -\Gamma_0 \frac{\delta F}{\delta \phi_a} + \xi_a + \frac{g}{2} \{ \phi_a, n_{bc} \} \frac{\delta F}{\delta n_{bc}}$$

$$\partial_t n_{ab} = \gamma \vec{\nabla}^2 \frac{\delta F}{\delta n_{ab}} + \vec{\nabla} \cdot \vec{\zeta}_{ab} + g \{ n_{ab}, \phi_c \} \frac{\delta F}{\delta \phi_c} + \frac{g}{2} \{ n_{ab}, n_{cd} \} \frac{\delta F}{\delta n_{cd}}$$

conserved O(4) densities

aka: SSS Model

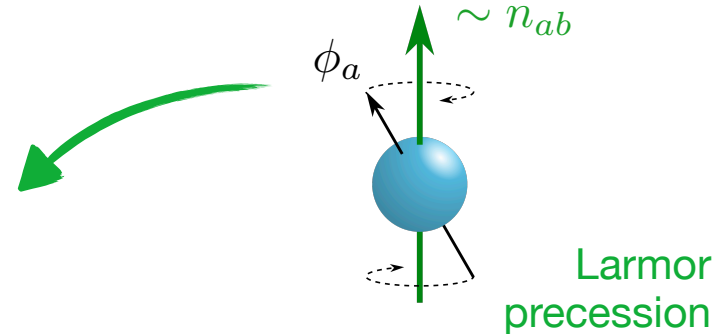
Sasvári, Schwabl, Szépfalusy, Physica A 81 (1975) 108

Model G
 $z = d/2$

- equations of motion:
with reversible mode couplings

$$\partial_t \phi_a = -\Gamma_0 \frac{\delta F}{\delta \phi_a} + \xi_a + \frac{g}{2} \{ \phi_a, n_{bc} \} \frac{\delta F}{\delta n_{bc}}$$

$$\partial_t n_{ab} = \gamma \vec{\nabla}^2 \frac{\delta F}{\delta n_{ab}} + \vec{\nabla} \cdot \vec{\zeta}_{ab} + g \{ n_{ab}, \phi_c \} \frac{\delta F}{\delta \phi_c} + \frac{g}{2} \{ n_{ab}, n_{cd} \} \frac{\delta F}{\delta n_{cd}}$$



- Poisson brackets (commutators):

$$\{ \phi_a, n_{bc} \} = \phi_b \delta_{ac} - \phi_c \delta_{ab}$$

$$\{ n_{ab}, n_{cd} \} = \delta_{ac} n_{bd} + \delta_{bd} n_{ac} - \delta_{ad} n_{bc} - \delta_{bc} n_{ad}$$

reversible (ideal)
time evolution

Model G
 $z = d/2$

- equations of motion:
with reversible mode couplings

$$\partial_t \phi = \sigma \vec{\nabla}^2 \frac{\delta F}{\delta \phi} + \xi + \frac{g}{2} \{ \phi, j_l \} \frac{\delta F}{\delta j_l}$$

advection

convection

$$\partial_t j_l = \mathcal{T}_{lm} \left[\eta \vec{\nabla}^2 \frac{\delta F}{\delta j_m} + \zeta_m + g \{ j_m, \phi \} \frac{\delta F}{\delta \phi} + \frac{g}{2} \{ j_m, j_n \} \frac{\delta F}{\delta j_n} \right]$$

reversibility

$$\langle \xi(x) \xi(x') \rangle_\beta = -2\sigma T \vec{\nabla}^2 \delta(x - x')$$

$$\langle \zeta_l(x) \zeta_m(x') \rangle_\beta = -2\eta T \delta_{lm} \vec{\nabla}^2 \delta(x - x')$$

- Poisson brackets:

$$\{ \phi(\vec{x}), j_l(\vec{x}') \} = \phi(\vec{x}') \frac{\partial}{\partial x'_l} \delta(\vec{x} - \vec{x}')$$

$$\{ j_l(\vec{x}), j_m(\vec{x}') \} = \left[j_l(\vec{x}') \frac{\partial}{\partial x'_m} - j_m(\vec{x}) \frac{\partial}{\partial x_l} \right] \delta(\vec{x} - \vec{x}')$$

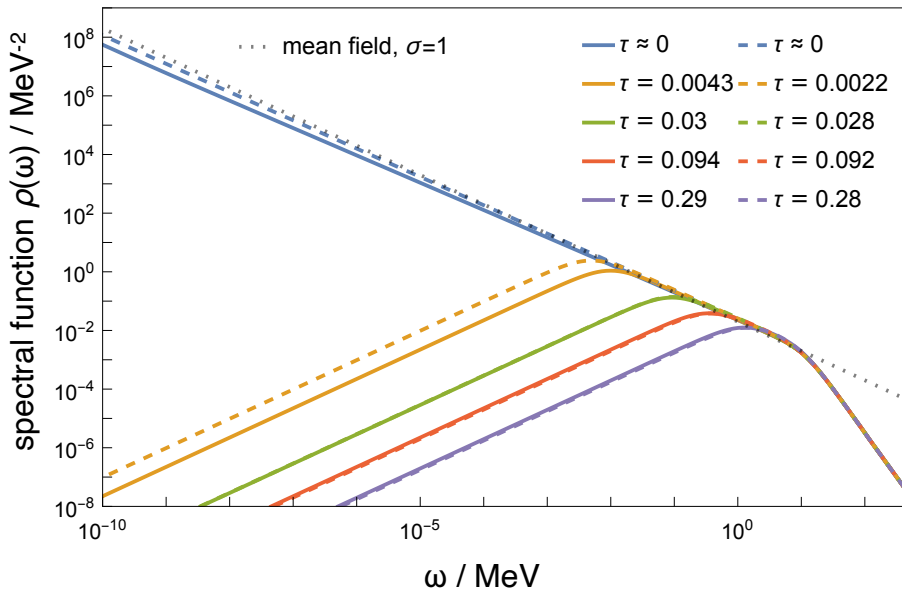
FRG: Chen, Tan, Fu, arXiv:2406.00679
Roth, Ye, Schlichting, LvS, arXiv:2409.14470

Model H
 $z = 4 - \eta - x_\sigma$

Model A
 $z = 2 + c\eta$

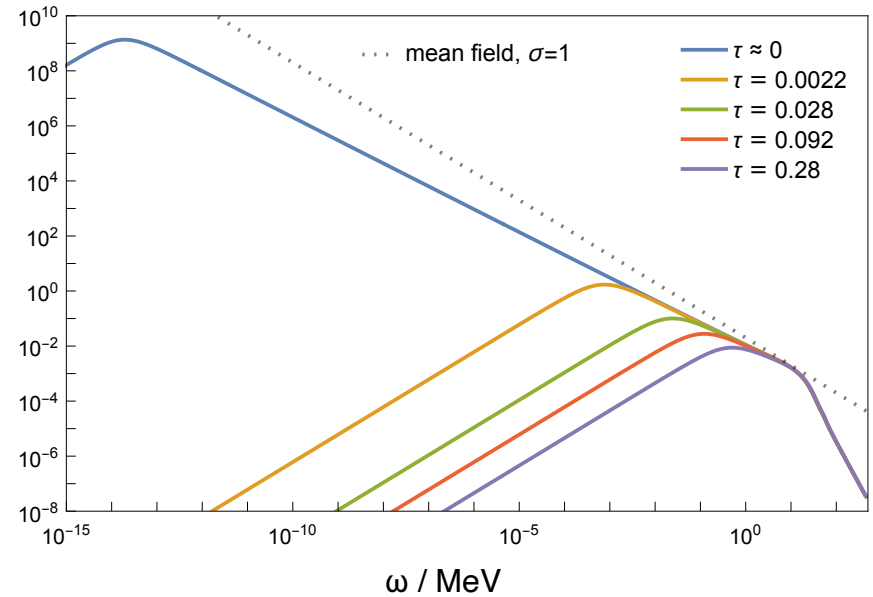
$$\rho(\omega) \sim \omega^{-\sigma} \quad \text{with} \quad \sigma = \frac{2 - \eta}{z}$$

Model C
 $z = 2 + a/v$



$z \approx 2.042$ (dashed)

$z \approx 2.035$ (solid)

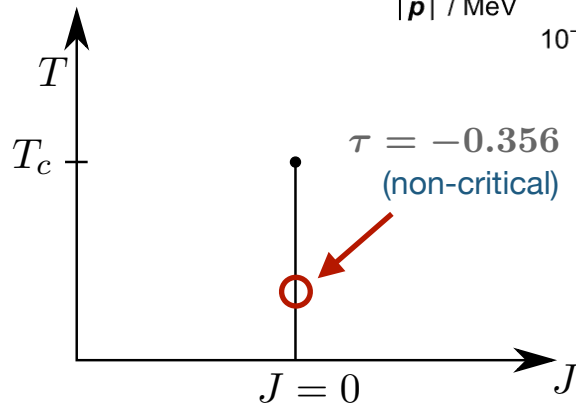
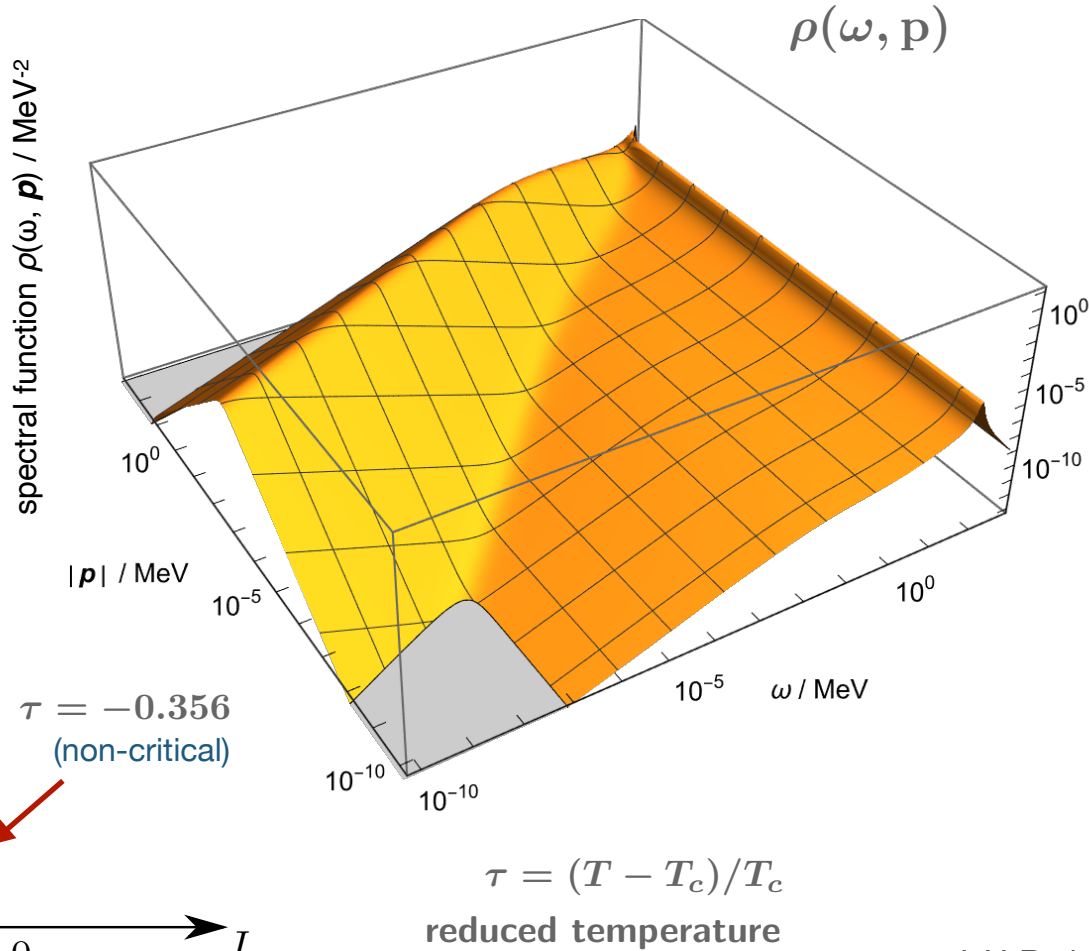


$z \approx 2.31$

J. V. Roth, L.v.S., JHEP **10**, 065 (2023)

- spectral function:

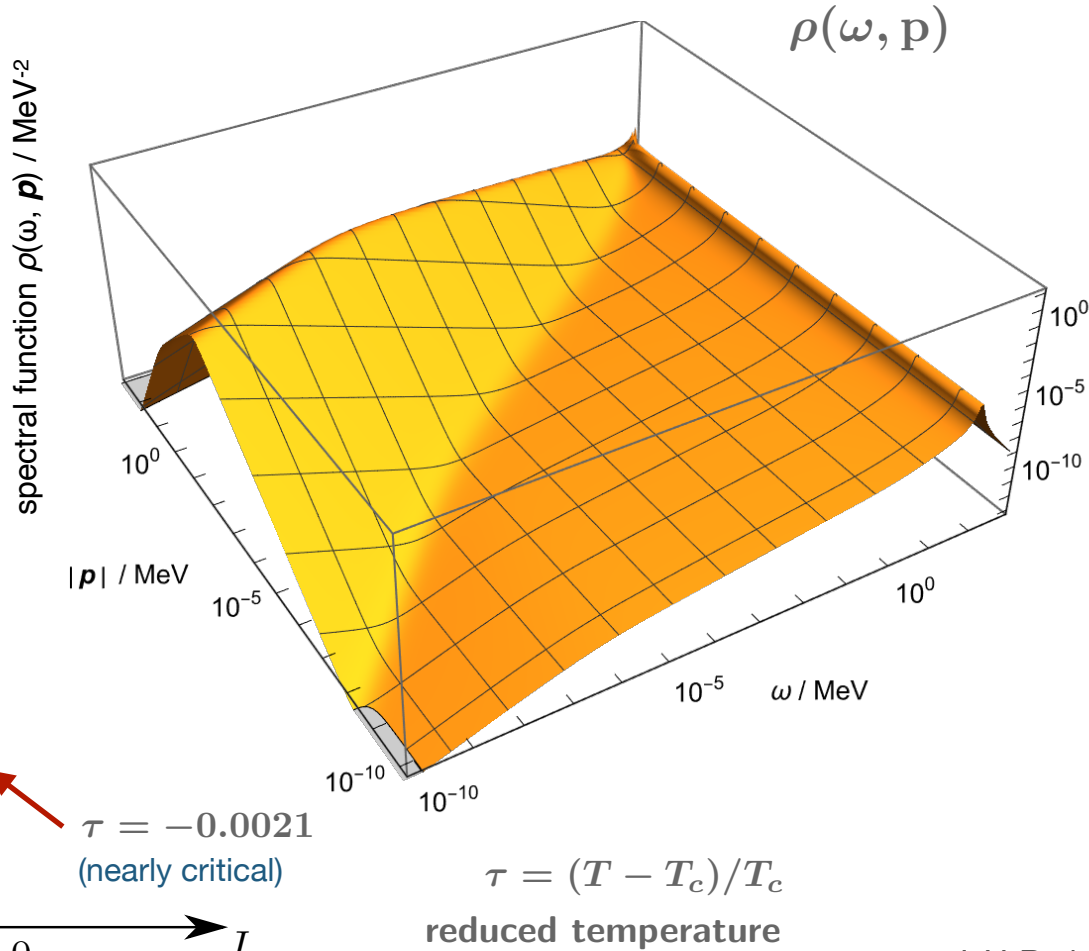
Model B
 $z = 4 - \eta$



J. V. Roth, L.v.S., JHEP **10**, 065 (2023)

- spectral function:

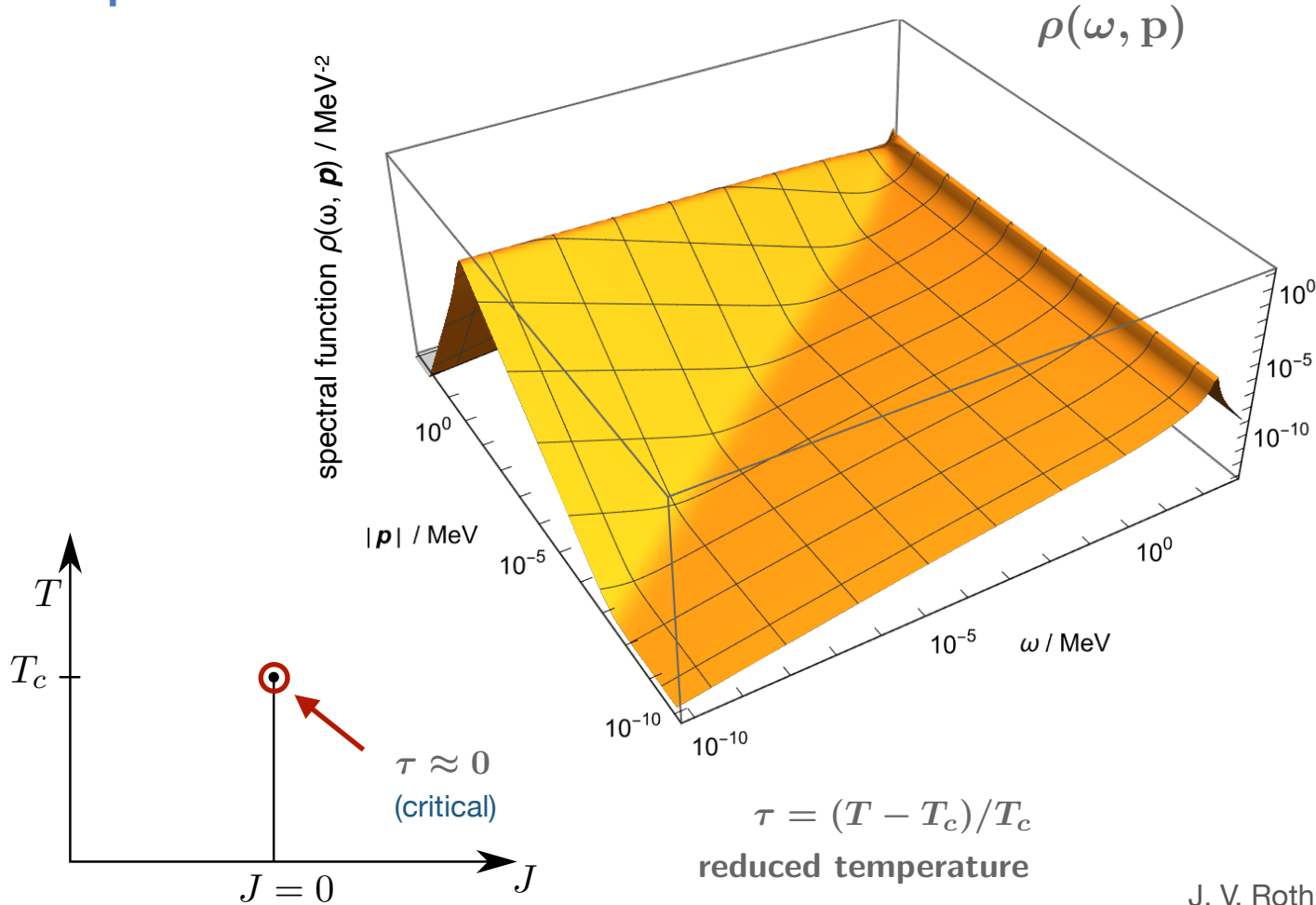
Model B
 $z = 4 - \eta$



J. V. Roth, L.v.S., JHEP **10**, 065 (2023)

- spectral function:

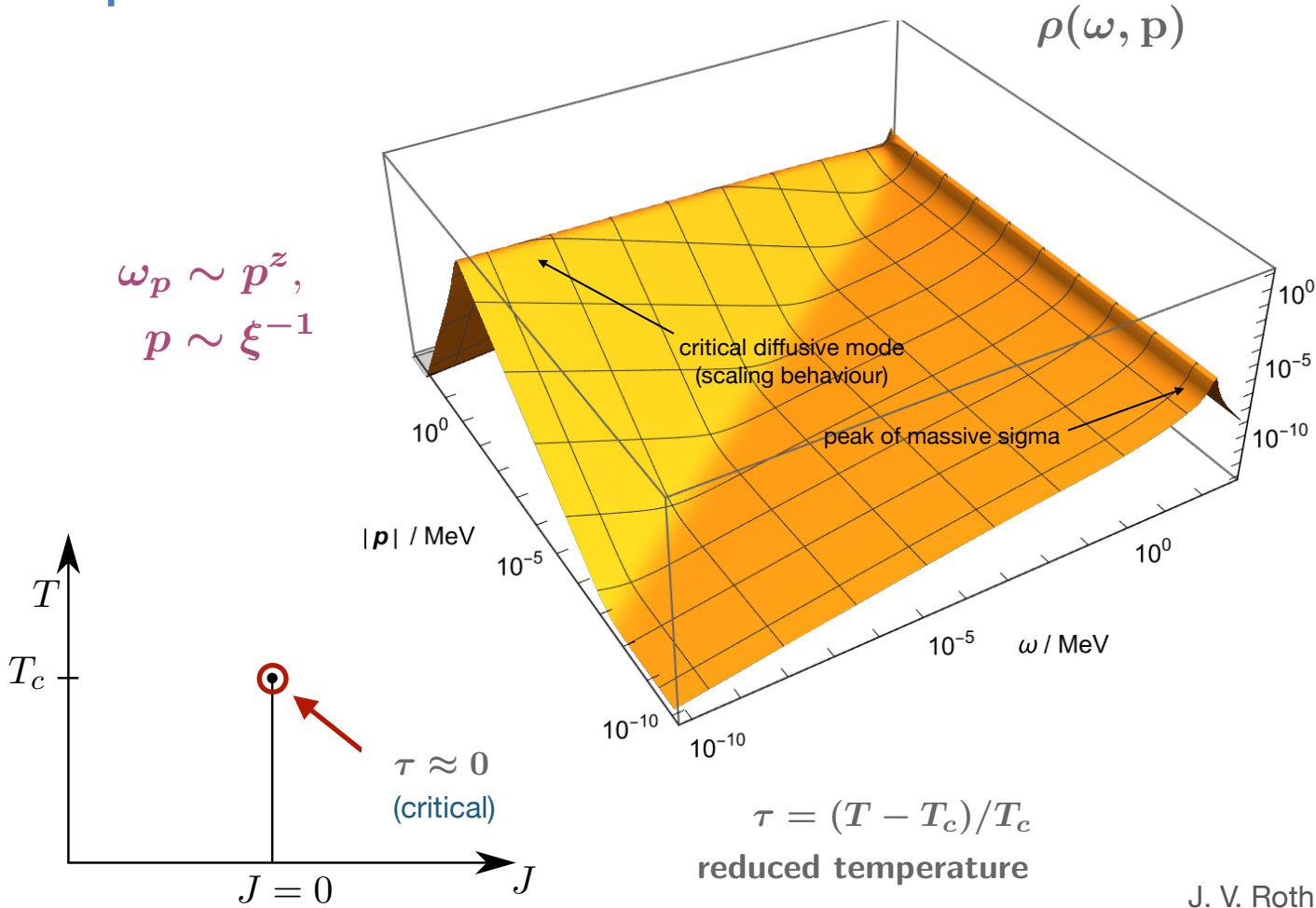
Model B
 $z = 4 - \eta$



J. V. Roth, L.v.S., JHEP **10**, 065 (2023)

- spectral function:

Model B
 $z = 4 - \eta$



J. V. Roth, L.v.S., JHEP **10**, 065 (2023)

- strong-scaling hypothesis:**

in d spatial dimensions

(SSS Model)

$$z_\phi = z_n = \frac{d}{2}$$

Model G
 $z = d/2$

Sásvari, Schwabl, Szépfalusy, Physica A **81** (1975) 108

Rajagopal, Wilczek, Nucl. Phys. B **399** (1993) 395

- MSR action:**

$$S = \int_x \left[-\tilde{\phi}_a \left(\frac{\partial \phi_a}{\partial t} + \Gamma_0 \frac{\delta F}{\delta \phi_a} - \frac{g}{2} \{ \phi_a, n_{bc} \} \frac{\delta F}{\delta n_{bc}} \right) - \frac{1}{2} \tilde{n}_{ab} \left(\frac{\partial n_{ab}}{\partial t} - \gamma \nabla^2 \frac{\delta F}{\delta n_{ab}} - g \{ n_{ab}, \phi_c \} \frac{\delta F}{\delta \phi_c} - \frac{g}{2} \{ n_{ab}, n_{cd} \} \frac{\delta F}{\delta n_{cd}} \right) + iT \tilde{\phi}_a \Gamma_0 \tilde{\phi}_a - \frac{1}{2} iT \tilde{n}_{ab} \gamma \nabla^2 \tilde{n}_{ab} \right]$$

- symmetries:**

- charge conservation

- thermal equilibrium symmetry

- temporal (non-Abelian) gauge symmetry

Canet, Delamotte, Wschebor, PRE **93** (2016) 6, 063101

- BRST symmetry

Crossley, Glorioso, Liu, JHEP 09 (2017) 095

- add regulators to LGW functional:

$$F \rightarrow F + \frac{1}{2} \int_{\mathbf{x}\mathbf{y}} \left(\phi_a(\mathbf{x}) R_k^\phi(\mathbf{x}, \mathbf{y}) \phi_a(\mathbf{y}) + \frac{1}{2} n_{ab}(\mathbf{x}) R_k^n(\mathbf{x}, \mathbf{y}) n_{ab}(\mathbf{y}) \right)$$

Model G

$z = d/2$

↪ regulators necessarily cubic in fields

- Ansatz for effective average action:

$$\Gamma_k = \int_x \left[-\tilde{\phi}_{a,k} \left(Z_{\phi,k}^\omega \frac{\partial \phi_a}{\partial t} + \gamma_{\phi,k}(\nabla) \frac{\delta F_k}{\delta \phi_a} - \frac{g_k^{\phi n}}{2} \{ \phi_a, n_{bc} \} \frac{\delta F_k}{\delta n_{bc}} \right) \right. \\ \left. - \frac{1}{2} \tilde{n}_{ab,k} \left(Z_{n,k}^\omega \frac{\partial n_{ab}}{\partial t} + \gamma_{n,k}(\nabla) \frac{\delta F_k}{\delta n_{ab}} - g_k^{n\phi} \{ n_{ab}, \phi_c \} \frac{\delta F_k}{\delta \phi_c} - \frac{g_k^{nn}}{2} \{ n_{ab}, n_{cd} \} \frac{\delta F_k}{\delta n_{cd}} \right) \right. \\ \left. + Z_{\phi,k}^\omega i T \tilde{\phi}_{a,k} \gamma_{\phi,k}(\nabla) \tilde{\phi}_{a,k} + \frac{1}{2} Z_{n,k}^\omega i T \tilde{n}_{ab,k} \gamma_{n,k}(\nabla) \tilde{n}_{ab,k} \right]$$

Ward identity:

$$g_k^{\phi n} = g_k^{n\phi} = g_k^{nn} = g$$

kinetic coefficients:

$$\gamma_{\phi,k}(\mathbf{p}, \tau) = \Gamma_k^\phi(\tau) + \mathcal{O}(\mathbf{p}^2)$$

$$\gamma_{n,k}(\mathbf{p}, \tau) = \mathbf{p}^2 D_k^n(\mathbf{p}, \tau)$$

charge diffusion coefficient

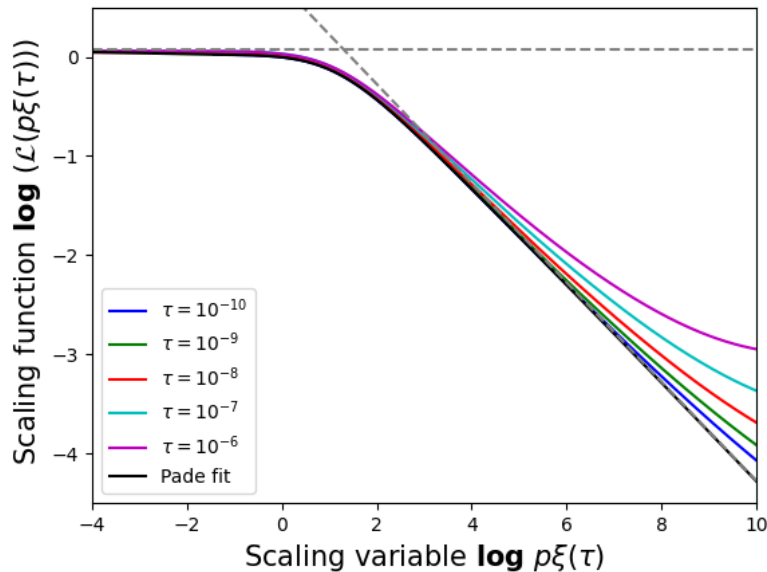
- scaling of charge diffusion coefficient:

$$D_n(p, \tau) = s^{2-z} D_n(sp, s^{1/\nu} \tau)$$

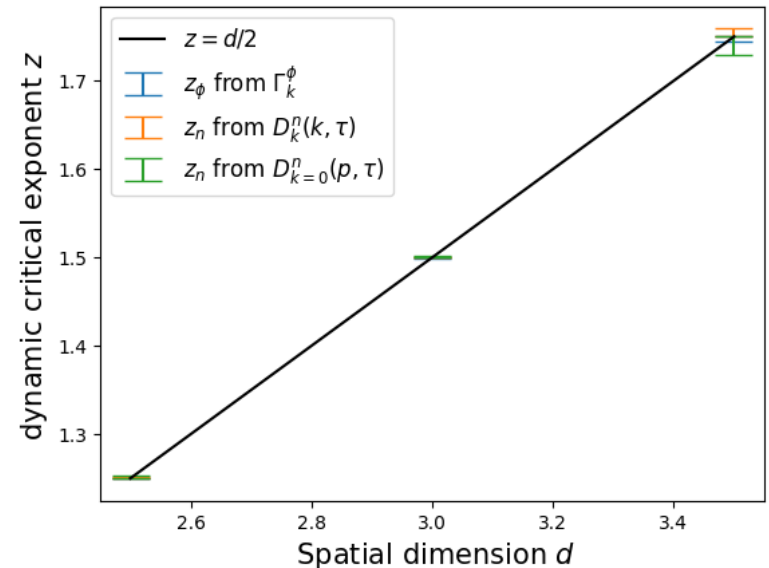
$$\rightsquigarrow D_n(p, \tau) \sim \tau^{-\nu(2-z)} \mathcal{L}(\tau^{-\nu} \bar{p}) \quad , \quad \bar{p} = f^+ p$$

Model G

z = d/2



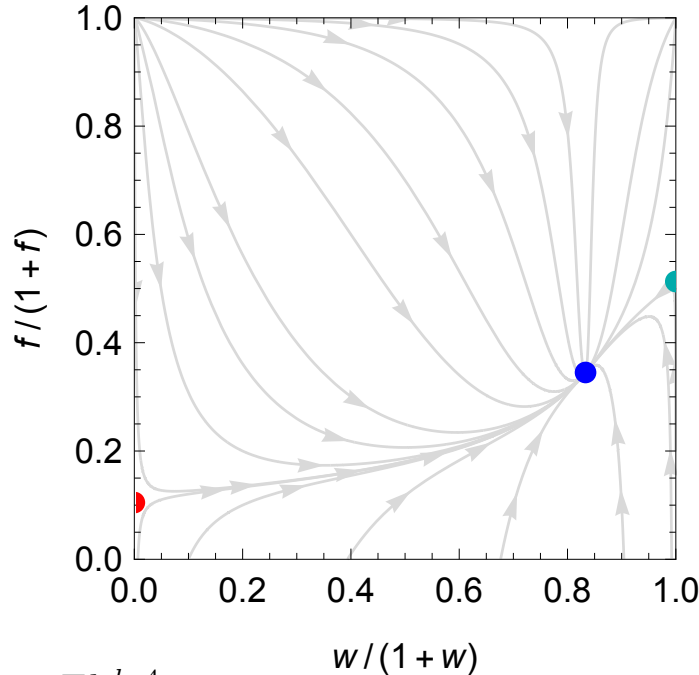
universal dynamic scaling function



strong scaling

Roth, Ye, Schlichting, LvS, arXiv:2403.04573

Model G



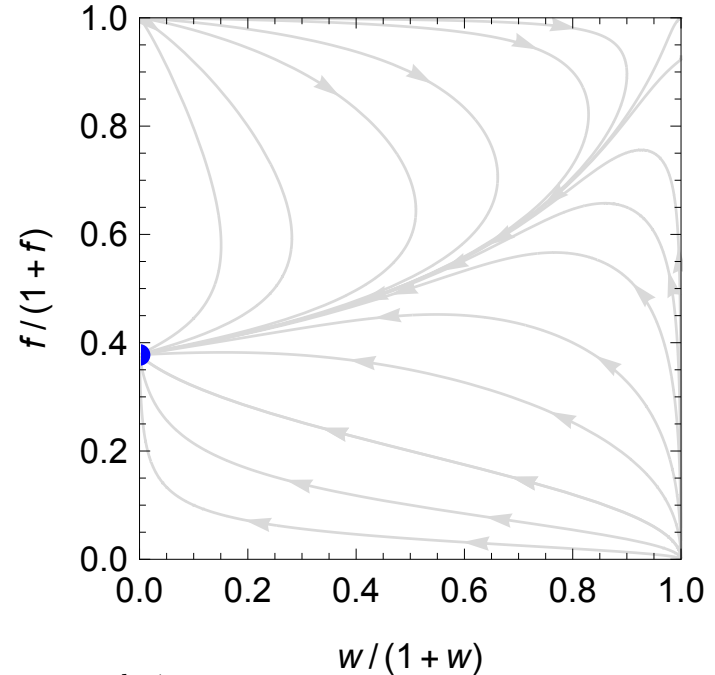
$$f \propto \frac{T k^{d-4}}{\Gamma_k^\phi \gamma_k}$$

$$w = \chi \frac{\Gamma_k^\phi}{\gamma_k}$$

$$\partial_t f = (d - 4 - \eta_\perp + x_{\Gamma^\phi} + x_\gamma) f$$

$$\partial_t w = (x_\gamma - x_{\Gamma^\phi} - \eta_\perp) w$$

Model H



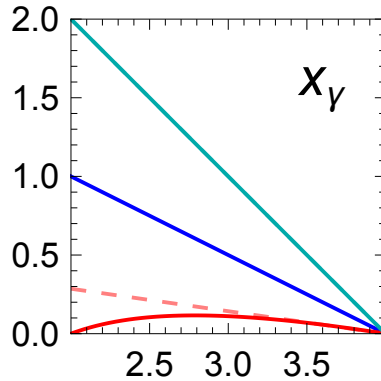
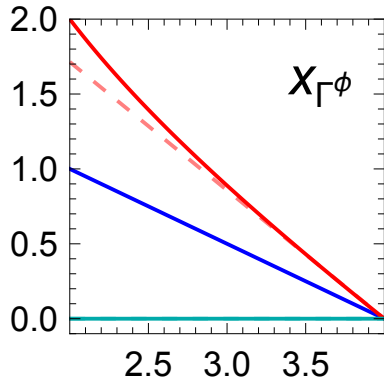
$$f \propto \frac{T k^{d-4}}{\sigma_k \eta_k}$$

$$w = \rho \frac{\sigma_k k^2}{\eta_k}$$

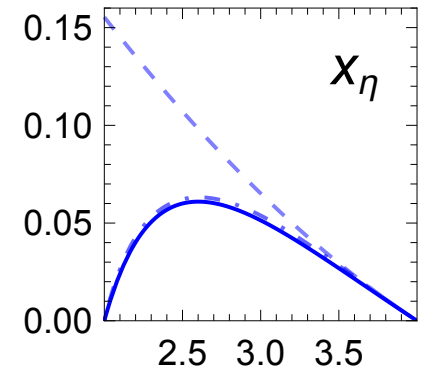
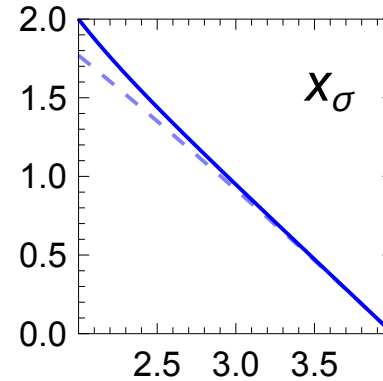
$$\partial_t f = (d - 4 - \eta_\perp + x_\sigma + x_\eta) f$$

Roth, Ye, Schlichting, LvS, arXiv:2409.14470

Model G



Model H



$1/\Gamma\phi$: \rightsquigarrow order-parameter damping
 γ : charge mobility

σ : order-parameter diffusion
 η : shear viscosity

Model H (d = 3): $x_{\sigma} \approx 0.949$
 $x_{\eta} \approx 0.051$
 $z_{\phi} \approx 3.051$

• **weak-scaling relations:** $x_{\Gamma\phi} + x_{\gamma} = x_{\sigma} + x_{\eta} = 4 - d - \eta_{\perp}$

• **strong-scaling relation:** $x_{\Gamma\phi} = x_{\gamma} - \eta_{\perp}$

\Rightarrow **only Model G:** $z_{\phi} = z_{\eta} = d/2$

- **real-time methods for non-equilibrium phase transitions**
 - compute universal non-equilibrium scaling functions
 - determine non-equilibrium scaling regions
- **real-time FRG for critical dynamics**
 - quantify universal aspects of QCD chiral dynamics and critical point, Model G and Model H
 - determine universal dynamic scaling functions and dynamic scaling regions

Thank you for your attention!