

Relativistic density functional approach to unified description of quark-hadron matter

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Kyrill Bugaev

1963 - 2021

- **Relativistic density functional approach**
- **Mean field**
- **Beyond mean field**

Relativistic density functional

$$\mathcal{L} = \bar{q}(i\rlap{/}\partial - \hat{m})q + \mathcal{L}_V + \mathcal{L}_D - \mathcal{U}$$

- **Vector and diquark interaction (important for astrophysical applications)**

$$\mathcal{L}_V = -G_V(\bar{q}\gamma_\mu q)^2, \quad \mathcal{L}_D = G_D \sum_{A=2,5,7} (\bar{q}i\gamma_5\tau_2\lambda_A q^c)(\bar{q}^c i\gamma_5\tau_2\lambda_A q)$$

- **χ -symmetric density functional**

$$(\bar{q}q)^2 + (\bar{q}i\vec{\tau}\gamma_5 q)^2 \text{ is } \chi - \text{invariant} \quad \Rightarrow \quad \mathcal{U} = \mathcal{U} [(\bar{q}q)^2 + (\bar{q}i\vec{\tau}\gamma_5 q)^2]$$

$$\mathcal{U} = G_0 [(1 + \alpha)\langle\bar{q}q\rangle^2 - (\bar{q}q)^2 - (\bar{q}i\vec{\tau}\gamma_5 q)^2]^{\frac{1}{3}}$$

G_0 – coupling constant

$\alpha \geq 0$ – controls quark effective mass in the vacuum

Expansion of RDF around $\langle \bar{q}q \rangle$ and $\langle \bar{q}i\vec{\tau}\gamma_5 q \rangle = 0$

$$\mathcal{U} = U + (\bar{q}q - \langle \bar{q}q \rangle) \Sigma_{MF} - G_S (\bar{q}q - \langle \bar{q}q \rangle)^2 - G_{PS} (\bar{q}i\vec{\tau}\gamma_5 q)^2 + \dots$$

- 0th order

$$U = \mathcal{U}_{\langle \bar{q}q \rangle, \langle \bar{q}i\vec{\tau}\gamma_5 q \rangle}$$

- 1st order – mean field self-energy of quarks

$$\Sigma_{MF} = \frac{\partial U}{\partial \langle \bar{q}q \rangle}$$

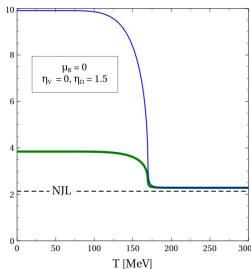
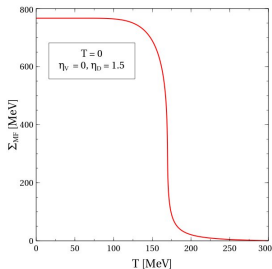
- 2nd order – effective couplings in (pseudo)scalar channels

$$G_S = -\frac{1}{2} \frac{\partial^2 U}{\partial \langle \bar{q}q \rangle^2}, \quad G_{PS} = -\frac{1}{6} \frac{\partial^2 U}{\partial \langle \bar{q}i\vec{\tau}\gamma_5 q \rangle^2}$$

Effective couplings attain medium dependence

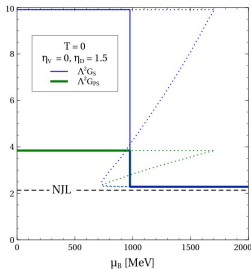
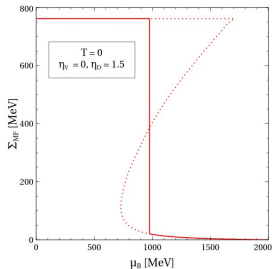
Medium dependent couplings and quark self energy

- Zero chemical potential



$$\eta_i \equiv \left. \frac{G_i}{G_S} \right|_{T, \mu_B \rightarrow \infty}$$

- Zero temperature



Comparison to NJL model

$$\mathcal{L} = \bar{q}(i\not{\partial} - \underbrace{(m + \Sigma_{MF})}_{\text{effective mass } m^*})q + G_S(\bar{q}q)^2 + G_{PS}(\bar{q}i\vec{\tau}\gamma_5 q)^2 + \dots$$

- **Similarities:**

- ① current-current interaction
- ② scalar, pseudoscalar, vector, diquark, ... channels

- **Differences:**

- ① high m^* at low T and/or μ - **phenomenological confinement**

$$\langle \bar{q}q \rangle = \langle \bar{q}q \rangle_0 \Rightarrow m^* = m - \frac{2G_0}{3} \langle \bar{q}q \rangle_0^{1/3} \alpha^{-2/3} - \text{diverges at } \alpha \rightarrow 0$$

- ② medium dependent couplings

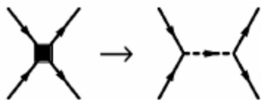
low T and/or $\mu \Rightarrow G_S \neq G_{PS} \Rightarrow$ broken χ -symmetry

high T and/or $\mu \Rightarrow G_S = G_{PS} \Rightarrow$ restored χ -symmetry

Bosonization of (pseudo)scalar interaction

- Hubbard-Stratonovich transformation

$$\exp \left[\int dx G_\phi (\bar{q} \hat{\Gamma} q)^2 \right] = \int [D\phi] \exp \left[- \int dx \left(\frac{\phi^2}{4G_\phi} + \bar{q} \phi \hat{\Gamma} q \right) \right]$$



- Bosonized Lagrangian ($m^* = m + \Sigma_{MF}$ - effective quark mass)

$$\begin{aligned} \mathcal{L} = & \bar{q}(i\not{\partial} - m^*)q + \mathcal{L}_V + \mathcal{L}_D - U + \langle \bar{q}q \rangle \Sigma_{MF} \\ & - \underbrace{\frac{\sigma^2}{4G_S} - \frac{\vec{\pi}^2}{4G_{PS}} - \bar{q}(\sigma + i\vec{\pi}\vec{\tau}\gamma_5)q + \sigma \langle \bar{q}q \rangle}_{\text{fully beyond mean field terms}} \end{aligned}$$

- Field equations for σ and $\vec{\pi}$

$$\begin{cases} \sigma = 2G_S(\langle \bar{q}q \rangle - \bar{q}q) \\ \vec{\pi} = -2G_{PS}\bar{q}i\vec{\tau}\gamma_5 q \end{cases} \Rightarrow \langle \sigma \rangle = \langle \vec{\pi} \rangle = 0 \Rightarrow \sigma, \vec{\pi} - \text{beyond MF}$$

comment: $\langle \sigma \rangle = 0$ does not assume χ -symmetry since $\langle \bar{q}q \rangle \neq 0$

Mean field ($\omega_\mu = g_{\mu 0} \omega$, $\Delta = \text{const}$, $\sigma = \vec{\pi} = 0$)

- Nambu-Gorkov Lagrangian with bosonized vector and diquark channels

$$\mathcal{L} + q^+ \hat{\mu} q = \bar{Q} \hat{S}_{NG}^{-1} Q + \frac{\omega_\mu \omega^\mu}{4G_V} - \frac{\Delta_A \Delta_A^*}{4G_D} - U + \langle \bar{q} q \rangle \Sigma_{MF}$$

$$Q = \frac{1}{\sqrt{2}} \begin{pmatrix} q \\ q^c \end{pmatrix} \quad \hat{S}_{NG}^{-1} = \begin{pmatrix} i\not{\partial} + \not{\psi} - m^* + \gamma_0 \hat{\mu} & i\Delta_A \gamma_5 T_2 \lambda_A \\ i\Delta_A \gamma_5 T_2 \lambda_A & i\not{\partial} + \not{\psi} - m^* + \gamma_0 \hat{\mu} \end{pmatrix}$$

- Statistical partition and thermodynamic potential

$$\mathcal{Z} = \int [D\bar{q}] [Dq] \exp \left[\int dx (\mathcal{L} + q^+ \hat{\mu} q) \right]$$

$$\Omega = -\frac{1}{\beta V} \ln \mathcal{Z} = -\frac{1}{2\beta V} \text{Tr} \ln(\beta S_{NG}^{-1}) - \frac{\omega^2}{4G_V} + \frac{\Delta^2}{4G_D} + U - \langle \bar{q} q \rangle \Sigma_{MF}$$

- Vector field, diquark gap, χ -condensate

$$\frac{\partial \Omega}{\partial \omega} = 0, \quad \frac{\partial \Omega}{\partial \Delta} = 0, \quad \langle \bar{q} q \rangle = \sum_f \frac{\partial \Omega}{\partial m_f}$$

Superconductivity onset

- Single quark energy and distribution

$$E_f^\pm = \sqrt{(E_f \mp \mu_f)^2 + \Delta^2}$$

$$f_f^\pm = [\exp(E_f^\pm / T) + 1]^{-1}$$

- Gap equation

$$\frac{\partial \Omega}{\partial \Delta} = \frac{\Delta}{2G_D} - 2\Delta \sum_{f,a=\pm} \int \frac{dk}{(2\pi)^3} \frac{1 - 2f_f^a}{E_f^a} = 0$$

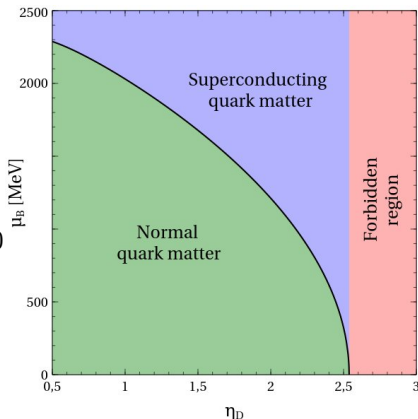
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two solutions : $\Delta = 0$ or $\Delta \neq 0$

- Two solutions coincide \Rightarrow SC onset

$$\left. \frac{\partial^2 \Omega}{\partial \Delta^2} \right|_{\Delta=0} = 0 \quad \Rightarrow \quad \mu_B = \mu_B(G_D)$$

T = 0



No vacuum superconductivity

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$$\eta_D \lesssim 2.5$$

$T = 0$

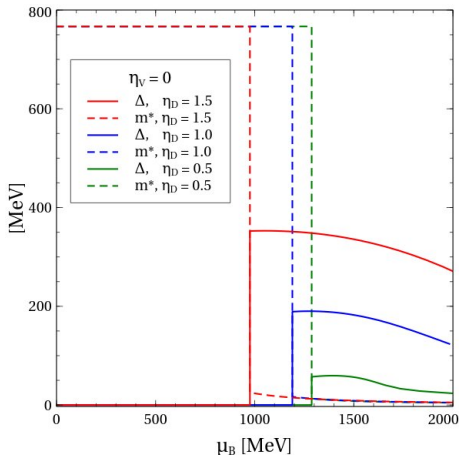
- **Low chemical potential**

$$\begin{array}{l} m^* \gg m \\ \Delta = 0 \end{array} \Rightarrow \begin{array}{l} \chi - \text{broken} \\ \text{normal phase} \end{array}$$

- **High chemical potential**

$$\begin{array}{l} m^* \rightarrow 0 \\ \Delta \neq 0 \end{array} \Rightarrow \begin{array}{l} \chi - \text{restored} \\ \text{SC phase} \end{array}$$

Holds for $T \neq 0$



Hybrid EoS for compact star matter

- **Cold matter:** $T = 0$
- **Charge neutrality:** electrons
- **β -equilibrium:**

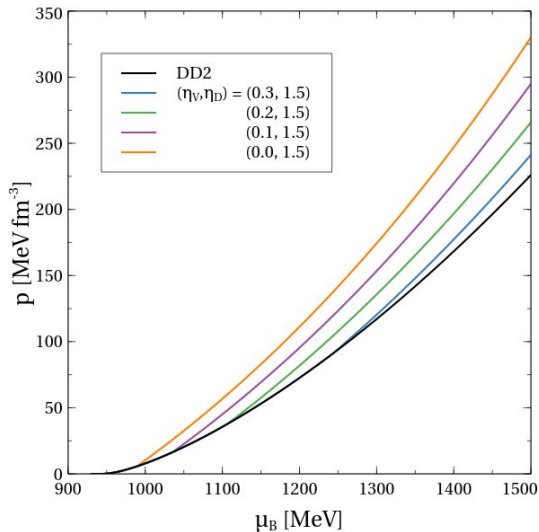
$$\mu_d = \mu_u + \mu_e$$

- **Hadron EoS:** DD2

S. Typel et al., PRC 81, 015803 (2010)

- **Maxwell construction:**

$$p_q(\mu_B^c) = p_h(\mu_B^c)$$



Compact stars with quark core

• Hydrostatic equilibrium

$$\frac{dp}{dr} = -\frac{Gm\rho}{r^2} \frac{\left(1 + \frac{p}{\rho}\right) \left(1 + \frac{4\pi r^3 \rho}{m}\right)}{\left(1 - \frac{2Gm}{r}\right)}$$

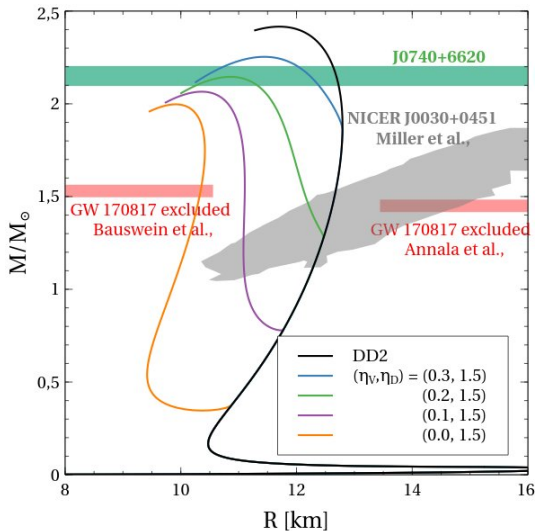
$$\frac{dm}{dr} = 4\pi r^2 \rho$$

• Cold matter EoS

$$\begin{cases} p = p(\mu_B) \\ \rho = \mu_B \frac{\partial p}{\partial \mu_B} - p \end{cases} \Rightarrow p = p(\rho)$$

• Total radius R and mass M

$$r = R \Rightarrow \begin{cases} p = 0 \\ m = M \end{cases}$$



Mean field phase diagram

- **BCS relation**

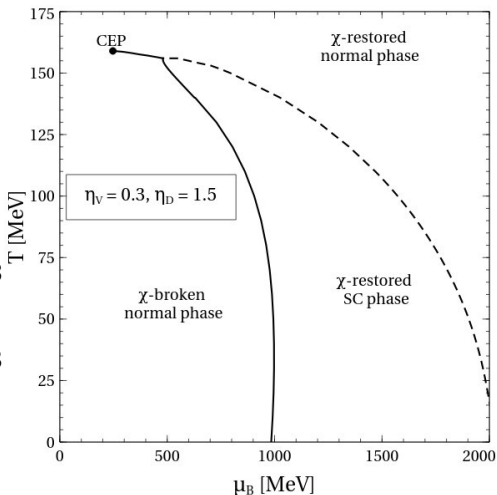
$$\Delta_{T=0} = 1.764 T_c$$

- $\eta_V = 0.3, \eta_D = 1.5$

$$\mu_B = 1000 \text{ MeV} \Rightarrow \frac{\Delta_{T=0}}{T_c} = 2.518$$

$$\mu_B = 1000 \text{ MeV} \Rightarrow \frac{\Delta_{T=0}}{T_c} = 3.243$$

BCS relation is violated



Beyond mean field ($\hat{\mu} = 0 \Rightarrow \omega = \Delta = 0$)

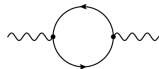
$$\mathcal{L} = \bar{q} \hat{S}^{-1} q - \frac{\sigma^2}{4G_S} - \frac{\vec{\pi}^2}{2G_{PS}} + \sigma \langle \bar{q} q \rangle - U + \Sigma_{MF} \langle \bar{q} q \rangle$$

$$\hat{S}^{-1} = \hat{S}_{MF}^{-1} + \Sigma_m, \quad \begin{cases} \hat{S}_{MF}^{-1} = i\not{\partial} - m^* & \text{MF propagator} \\ \Sigma_m = -\sigma - i\vec{\tau}\vec{\pi}\gamma_5 & \text{beyond MF self-energy} \end{cases}$$

- Integrating \bar{q} , q and expanding up to $\mathcal{O}(\Sigma_m^3)$

$$\begin{aligned} \mathcal{L}^{eff} &= \frac{\text{tr} \ln(\beta \hat{S}^{-1})}{\beta V} - \frac{\sigma^2}{4G_S} - \frac{\vec{\pi}^2}{4G_{PS}} + \sigma \langle \bar{q} q \rangle - U + \Sigma_{MF} \langle \bar{q} q \rangle \\ &\simeq -\Omega_{MF} - \frac{\sigma^2}{4G_S} - \frac{\vec{\pi}^2}{4G_{PS}} + \underbrace{\sigma \langle \bar{q} q \rangle - \text{tr}(\hat{S}_{MF} \Sigma_m)}_{\text{vanishes under } \int dx} + \frac{1}{2} \text{tr}(\hat{S}_{MF} \Sigma_m)^2 \end{aligned}$$

- Polarization operators of mesons



$$\begin{cases} \Pi_\sigma = -\text{tr}(\hat{S}_{MF})^2 \\ \Pi_\pi = -\text{tr}(\hat{S}_{MF} i\gamma_5)^2 \end{cases} \Rightarrow -\text{tr}(\hat{S}_{MF} \Sigma_m)^2 = \sigma \Pi_\sigma \sigma + \vec{\pi} \Pi_\pi \vec{\pi}$$

Beyond mean field thermodynamic potential

$$\Omega = \Omega_{MF} + \underbrace{\frac{1}{2\beta V} \text{tr} \ln \left[\beta^{-2} \left(\frac{1}{2G_S} - \Pi_\sigma \right) \right]}_{\Omega_\sigma} + \underbrace{\frac{3}{2\beta V} \text{tr} \ln \left[\beta^{-2} \left(\frac{1}{2G_{PS}} - \Pi_\pi \right) \right]}_{\Omega_\pi}$$

- **Meson propagators**

$$D_\sigma^{-1} = \frac{1}{2G_S} - \Pi_\sigma, \quad D_\pi^{-1} = \frac{1}{2G_{PS}} - \Pi_\pi$$

- **Meson masses**

$$D_j^{-1} = 0 \quad \Rightarrow \quad E_j(k), \quad M_j = E_j(0)$$

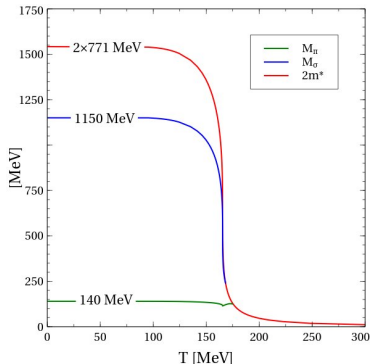
- **Meson phase shifts**

$$D_j = |D_j| e^{i\delta_j} \quad \Rightarrow \quad \delta_j = \text{Im} \ln(\beta^{-2} D_j)$$

- **Beth-Uhlenbeck EoS**

$$\Omega = \Omega_{MF} + \sum_{j=\sigma,\pi} \Omega_j, \quad \Omega_j = d_j T \int \frac{d^4 k}{(2\pi)^4} \ln(1 - e^{-\beta k_0}) \frac{\partial \delta_j}{\partial k_0}$$

$f_\pi = 90 \text{ MeV}$



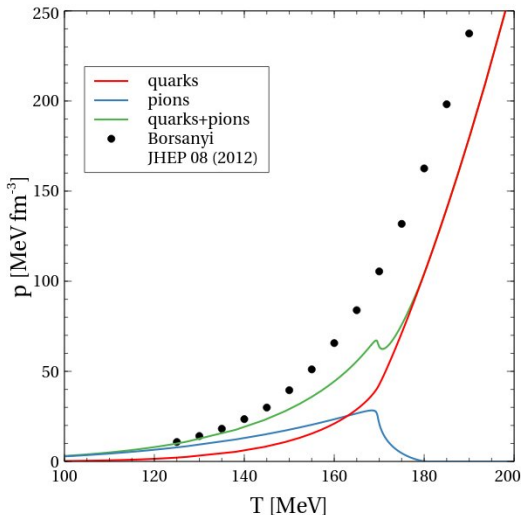
Beyond mean field pressure

• Total pressure

$$p = p_{quarks} + p_{hadrons} + \underbrace{\mathcal{U}(\Phi) + p_{pert}}_{\text{missing}}$$

$\mathcal{U}(\Phi), p_{pert}$ - provide $\frac{\partial p}{\partial T} > 0$

D. Blaschke et al., *Symmetry* 13 (3), 514 (2021)



Conclusions

- χ -symmetric density functional \Rightarrow effective "confining" NJL model
- Medium dependent quark-meson couplings, derived consistently with model and symmetries
- Straightforward addition of vector and diquark channels
- Good agreement with the observational data on compact stars even at weak repulsion
- Lightest mesons
- Next steps:
 - 1 strangeness
 - 2 other mesonic states and baryons beyond mean-field
 - 3 unified quark-hadron EoS (hadron dissociation via Mott effect, hadronic correlations via Beth-Uhlenbeck approach)
 - 4 ...