

Lecture Models for heavy-ion collisions: (Part 2): transport models – BUU

SS2024: 'Dynamical models for relativistic heavy-ion collisions'

1

Vlasov equation-of-motion

Vlasov equation

- free propagation of particles in the self-generated HF mean-field potential:

$$
\frac{\partial}{\partial t} f(\vec{r}, \vec{p}, t) + \frac{\vec{p}}{m} \vec{\nabla}_{\vec{r}} f(\vec{r}, \vec{p}, t) - \vec{\nabla}_{\vec{r}} U(\vec{r}, t) \vec{\nabla}_{\vec{p}} f(\vec{r}, \vec{p}, t) = 0
$$

Here *U* **is a self-consistent potential associated with** *f* **phase-space distribution:**

$$
U(\vec{r},t) = \frac{1}{(2\pi\hbar)^3} \int d^3r' d^3p V(\vec{r} - \vec{r}',t) f(\vec{r}', \vec{p},t)
$$

➔ **Classical equations of motion :**

$$
\vec{r} = \frac{d\vec{r}}{dt} = \frac{\vec{p}}{m}
$$

$$
\dot{\vec{p}} = \frac{d\vec{p}}{dt} = -\vec{\nabla}_{\vec{r}} U(\vec{r}, t)
$$

From the Vlasov equation of motion to Boltzmann-Uehling-Uhlenbeck equation (BUU) – collision term

Dynamical transport models with collisions

➔ **In order to describe the collisions between the individual(!) particles, one has to go beyond the mean-field level ! (See Part 2: Correlation dynamics)**

In cms: $\vec{p}_1^* + \vec{p}_2^* = \vec{p}_3^* + \vec{p}_4^* = 0$ ** 3 * 2* $\vec{p}_{1}^{*}+\vec{p}_{2}^{*}=\vec{p}_{3}^{*}+\vec{p}_{4}^{*}=$

$$
(\vec{r}_1, \vec{p}_1) (\vec{r}_2, \vec{p}_2) \rightarrow (\vec{r}_3, \vec{p}_3) (\vec{r}_4, \vec{p}_4)
$$

❑ **If the phase-space around is essentially empty then the scattering is allowed,** ❑ **if the states are filled** → **Pauli suppression** $(\vec{r}_{1}, \vec{p}_{1})$ $(\vec{r}_{2}, \vec{p}_{2}) \rightarrow (\vec{r}_{3}, \vec{p}_{3})$ $(\vec{r}_{4}, \vec{p}_{4})$
he phase-space around $(\vec{r}_{3}, \vec{p}_{3})$ and (if essentially empty then the scattering is
he states are filled \rightarrow Pauli suppression
= <mark>Pauli principle</mark> (\vec{r}_3, \vec{p}_3) and (\vec{r}_4, \vec{p}_4)

BUU (VUU) equation

Boltzmann (Vlasov)-Uehling-Uhlenbeck equation (NON-relativistic formulation!) - **free propagation of particles in the self-generated HF mean-field potential with an on-shell collision term:**

$$
\frac{d}{dt}f(\vec{r},\vec{p},t) \equiv \frac{\partial}{\partial t}f(\vec{r},\vec{p},t) + \frac{\vec{p}}{m}\vec{\nabla}_{\vec{r}}f(\vec{r},\vec{p},t) - \vec{\nabla}_{\vec{r}}U(\vec{r},t)\vec{\nabla}_{\vec{p}}f(\vec{r},\vec{p},t) = \left(\frac{\partial f}{\partial t}\right)_{coll}
$$

Collision integral for 1+2→**3+4 (let's consider fermions) :**

Probability including Pauli blocking of fermions

$$
I_{coll} \equiv \left(\frac{\partial f}{\partial t}\right)_{coll} \Rightarrow \frac{1}{((2\pi)^3)^3} \int d^3 p_2 \, d^3 p_3 \, d^3 p_4 \cdot w (1+2 \to 3+4) \cdot P
$$

$$
\times (2\pi)^3 \delta^3 (\vec{p}_1 + \vec{p}_2 - \vec{p}_3 - \vec{p}_4) \, (2\pi) \delta (\frac{\vec{p}_1^2}{2m_1} + \frac{\vec{p}_2^2}{2m_2} - \frac{\vec{p}_3^2}{2m_3} - \frac{\vec{p}_4^2}{2m_4})
$$

Transition probability for *1+2*→*3+4: d q d* $w(1+2 \rightarrow 3+4) \Rightarrow v_{12} \cdot \frac{a}{13}$ *3 12* σ $+ 2 \rightarrow 3 + 4$ \Rightarrow υ_{12} .

whe

re
$$
v_{12} = \frac{\hbar}{m} / \vec{p}_1 - \vec{p}_2 /
$$
 - relative velocity of the colliding nucleons

d q d 3 $^3{\bm \sigma}$ - differential cross section, \boldsymbol{q} – momentum transfer $\vec{q} = \vec{p}_{\scriptscriptstyle{I}} - \vec{p}_{\scriptscriptstyle{3}}$ \rightarrow \rightarrow \rightarrow $= \vec{p}_1 -$

BUU: Collision integral

$$
I_{coll} = \frac{4}{(2\pi)^3} \int d^3 p_2 \, d^3 p_3 \, \int d\Omega \, |v_{12}| \delta^3 (\vec{p}_1 + \vec{p}_2 - \vec{p}_3 - \vec{p}_4) \cdot \frac{d\sigma}{d\Omega} (1 + 2 \rightarrow 3 + 4) \cdot P
$$

Probability including Pauli blocking of fermions:

$$
P = f(\vec{r}, \vec{p}_3, t) f(\vec{r}, \vec{p}_4, t) \left[I - f(\vec{r}, \vec{p}_1, t) \right] \left[I - f(\vec{r}, \vec{p}_2, t) \right]
$$

\n
$$
- f(\vec{r}, \vec{p}_1, t) f(\vec{r}, \vec{p}_2, t) \left[I - f(\vec{r}, \vec{p}_3, t) \right] \left[I - f(\vec{r}, \vec{p}_4, t) \right]
$$

\n
$$
\equiv f_3 f_4 (I - f_1) (I - f_2) - f_1 f_2 (I - f_3) (I - f_4)
$$

\n**Pauli blocking factors for fermions *
\n1+2 \rightarrow 3+4
\n**1+2 \rightarrow 3+4****

For particle 1 and 2: Collision term = Gain term – Loss term $I_{coll} = G - L$

Note: for bosons – enhancement factor** *1+f* **(where** *f<<1); often one neglects bose enhancement for HIC, i.e. 1+f →1**

Collision integral for system in equilibrium

Consider fermion gas in equilibrium - described by Fermi-Dirac distribution:

$$
n_F(\epsilon) = (1 + \exp((\epsilon - \mu)/T))^{-1}
$$

T – temperature, μ – baryon chemical potential

Collision interal of fermion system:

$$
I(\mathbf{p}_1, \mathbf{p}_1; t) = \frac{g}{(2\pi)^3} \int d^3p_2 \int d\Omega \ v_{12} \frac{d\sigma}{d\Omega} (\mathbf{p}_1 + \mathbf{p}_2, \mathbf{p}_2 - \mathbf{p}_4)
$$

$$
\times \{ n(\mathbf{p}_3; t) n(\mathbf{p}_4; t) \bar{n}(\mathbf{p}_1; t) \bar{n}(\mathbf{p}_2; t) - n(\mathbf{p}_1; t) n(\mathbf{p}_2; t) \bar{n}(\mathbf{p}_3; t) \bar{n}(\mathbf{p}_4; t) \}
$$

$$
\epsilon = \mathbf{p}^2/(2m), \,\bar{n}(\mathbf{p}; t) = 1 - n(\mathbf{p}; t) \qquad n = n_F(\epsilon) = n_F(\mathbf{p}^2/(2m))
$$

In equilibrium collision term = 0

→ **Gain term = Loss term**

$$
I(\mathbf{p}_1, \mathbf{p}_1; t) = 0.
$$

i.e. number of forward and backward reactions in the system is the same

 \rightarrow n_F(ε) is stationary solution of *I(* $p_1, p_2; t$ *)=0*

Collision integral for system in equilibrium

To show that $I(\mathbf{p}_1, \mathbf{p}_1; t) = 0$ we have to demonstrate that

$$
n(\mathbf{p}_3)n(\mathbf{p}_4)\bar{n}(\mathbf{p}_1)\bar{n}(\mathbf{p}_2)=n(\mathbf{p}_1)n(\mathbf{p}_2)\bar{n}(\mathbf{p}_3)\bar{n}(\mathbf{p}_4)
$$

Consider

$$
\text{onsider} \qquad \qquad 1-n_F(\epsilon)=1-\frac{1}{1+\exp((\epsilon-\mu)/T)}=\frac{1-(1+\exp((\epsilon-\mu)/T)}{1+\exp((\epsilon-\mu)/T)}=-\frac{\exp((\epsilon-\mu)/T)}{1+\exp((\epsilon-\mu)/T)}
$$

$$
\frac{\exp((\epsilon_1-\mu)/T))}{(1+\exp((\epsilon_1-\mu)/T))}\frac{\exp((\epsilon_2-\mu)/T)}{(1+\exp((\epsilon_2-\mu)/T))}\frac{1}{(1+\exp((\epsilon_3-\mu)/T))}\frac{1}{(1+\exp((\epsilon_4-\mu)/T))}\frac{\exp((\epsilon_4-\mu)/T))}{(1+\exp((\epsilon_3-\mu)/T))}\frac{\exp((\epsilon_4-\mu)/T)}{(1+\exp((\epsilon_3-\mu)/T))}\frac{1}{(1+\exp((\epsilon_4-\mu)/T))}\frac{1}{(1+\exp((\epsilon_2-\mu)/T))}
$$

Since the denominators on both sides are the same one has to proof only $\begin{aligned} \mathop{\mathrm{exp}}\nolimits((\epsilon_1-\mu)/T)\exp((\epsilon_2-\mu)/T) = \exp((\epsilon_3-\mu)/T)\;\exp((\epsilon_4-\mu)/T) \\ \mathop{\mathrm{exp}}\nolimits((\epsilon_1+\epsilon_2)/T) = \exp((\epsilon_3+\epsilon_4)/T) \end{aligned}$

Since due to energy conservation $\delta(\epsilon_1+\epsilon_2-\epsilon_3-\epsilon_4)$ we have $\epsilon_1+\epsilon_2=\epsilon_3+\epsilon_4$, what proofs **that** *n^F* **(***ϵ***) is a stationary solution of collision integral for system in equilibrium**

➔ **Transport equations have a correct thermodynamic limit!**

Dynamical transport model: collision terms

❑ **BUU eq. for different particles of type** *i=1,…n*

$$
Df_i \equiv \frac{d}{dt} f_i = I_{coll} \left[f_1, f_2, ..., f_n \right]
$$
 (20)

Drift term=Vlasov eq. collision term

 $Mesons: \pi, \eta, K, K, \rho, \omega, K^*, \eta', \phi, a_1, \ldots, D, D, J / \Psi, \Psi', \ldots$ *i* : *Baryons* : *p,n, A*(1232 *),* $N(1440$ *),* $N(1535$ *),...,* $A, \Sigma, \Sigma^*, E, \Omega;$ A_c $\pi,\eta,K,\overline{K},\rho,\omega,K^*,\eta',\phi,a_1,...,D,\overline{D},J$ / $\bm{\varPsi},\bm{\varPsi}'$ $A(1232)$, $N(1440)$, $N(1535)$, $A, \Sigma, \Sigma^*, E, \Omega; A$

➔ **coupled set of BUU equations for different particles of type** *i=1,…n*

$$
\begin{cases}\nDf_N = I_{coll} \left[f_N, f_\Delta, f_{N(1440)}, \dots, f_\pi, f_\rho, \dots \right] \\
Df_\Delta = I_{coll} \left[f_N, f_\Delta, f_{N(1440)}, \dots, f_\pi, f_\rho, \dots \right] \\
\cdots \\
Df_\pi = I_{coll} \left[f_N, f_\Delta, f_{N(1440)}, \dots, f_\pi, f_\rho, \dots \right]\n\cdots\n\end{cases}
$$

E.g., Nucleon transport in N, π, Δ **system : Df_N=I_{coll}**

(only 1→**2, 2**→**2 reactions indicated here)**

$$
\frac{\partial f_N}{\partial t} + \frac{\partial f_N}{\partial r} - \nabla_r U_N + \frac{\partial f_N}{\partial p} = I_{NN \to NN} + I_{N \to + N \pm} + I_{N \to + N \pm} + I_{NN \to N
$$

→ set of transport equations coupled via I_{coll} and mean field

Dynamical transport model: collision terms

Collision terms for (N,\varDelta,π) system: $\varDelta \leftrightarrow \pi N$

***** *Relativistic formulation*

$$
Df_{\Delta} = \sum_{\pi,N} \frac{g}{(2\pi)^3} \int \frac{d^3 p_{\pi}}{E_{\pi}} \frac{d^3 p_{N}}{E_{N}} \left| M_{\Delta \leftrightarrow \pi N} \right|^2 \cdot \delta^4 (p_{\pi} + p_{N} - p_{\Delta}) \times f_{\pi} (p_{\pi}) f_{N} (p_{N}) (1 - f_{\Delta} (p_{\Delta}))
$$
\n
$$
- \sum_{\pi,N} \frac{g}{(2\pi)^3} \int \frac{d^3 p_{\pi}}{E_{\pi}} \frac{d^3 p_{N}}{E_{N}} \left| M_{\Delta \leftrightarrow \pi N} \right|^2 \cdot \delta^4 (p_{\pi} + p_{N} - p_{\Delta}) \times f_{\Delta} (p_{\Delta}) (1 - f_{N} (p_{N})) (1 + f_{\pi} (p_{\pi}))
$$
\n
$$
= \text{Gain} (\pi N \to \Delta) - \text{Loss} (\Delta \to \pi N)
$$
\n
$$
\Delta \text{ production}
$$
\n
$$
\Delta \text{ decay}
$$

$$
Df_{\pi} = \sum_{N,A} \frac{g}{(2\pi)^{3}} \int \frac{d^{3}p_{A}}{E_{A}} \frac{d^{3}p_{N}}{E_{N}} \left|M_{A\leftrightarrow\pi N}\right|^{2} \cdot \delta^{4}(p_{\pi} + p_{N} - p_{A}) \times f_{A}(p_{A}) \left(1 + f_{\pi}(p_{\pi})\right) \left(1 - f_{N}(p_{N})\right)
$$

$$
-\sum_{\pi,N} \frac{g}{(2\pi)^{3}} \int \frac{d^{3}p_{A}}{E_{A}} \frac{d^{3}p_{N}}{E_{N}} \left|M_{A\leftrightarrow\pi N}\right|^{2} \cdot \delta^{4}(p_{\pi} + p_{N} - p_{A}) \times f_{\pi}(p_{\pi}) f_{N}(p_{N}) \left(1 - f_{A}(p_{A})\right)
$$

$$
= Gain \left(\Delta \rightarrow \pi N\right) - Loss \left(\pi N \rightarrow \Delta\right)
$$

$$
\pi \text{ production} \qquad \pi \text{ absorption}
$$

$$
by \text{ Alecay} \qquad by \text{ nucleon}
$$

Eq. for

R

Dynamical transport model: possible interactions

Consider possible interactions for the sytem of *(N,R,m),*

where *N***-nucleons,** *R-* **resonances,** *m***-mesons**

❑ **elastic collisions:**

 $RR' \rightarrow RR'$ $NR \rightarrow NR$ $NN \to NN$ ❑ **inelastic collisions:** $mR \to mR$ $mN \rightarrow mN$ $m \, m' \rightarrow m \, m'$ $BB \to X$ *...* $NN \leftrightarrow RR'$ $NR \leftrightarrow NR'$ $NN \leftrightarrow NR$ $mB\to X$ *...* $mB \leftrightarrow m'B'$ $mR \leftrightarrow R'$ $mN \leftrightarrow R$ **Baryon-baryon (BB): meson-Baryon (mB) meson-meson (mm) Baryon-baryon (BB): meson-Baryon (mB) meson-meson (mm)** $m \nmid m' \rightarrow X$ *...* m $m' \leftrightarrow m''m'''$ m $m' \leftrightarrow \widetilde{m}$ *X* **- multi-particle state** $a + b \leftrightarrow c + d$ $a + b \leftrightarrow c$ **Detailed balance:**

Elementary hadronic interactions

Consider all possible interactions – elastic and inelastic collisions - for the sytem of *(N,R,m),* **where** *N***-nucleons,** *R-* **resonances,** *m***-mesons, and resonance decays**

Low energy collisions:

- binary $2 \leftarrow \rightarrow 2$ and **2**→**3(4) reactions**
- $1 \leftarrow \rightarrow 2$: formation and **decay of baryonic and mesonic resonances**

 $BB \leftrightarrow B'B'$ *BB ←→ B´B´m* $mB \leftrightarrow m'B'$ $mB \leftarrow \rightarrow B'$ $mm \leftrightarrow m'm'$ $mm \leftrightarrow m'$

Baryons: $B = p, n, \Delta(1232)$ *N(1440), N(1535), ...* **Mesons:** $M = \pi$, η , ρ , ω , ϕ , ...

High energy collisions: (above s1/2~2.5 GeV) Inclusive particle production: BB→**X , mB**→**X, mm**→**X X =many particles described by string formation and decay (string = excited color singlet states** *q-qq***,** *q-qbar***) using LUND string model**

Elementary reactions with resonances

Matrix element:
$$
M_{ab\rightarrow cd} = M_{ab\rightarrow R} \cdot P_R \cdot M_{R\rightarrow cd}
$$

Propagator:
$$
P_R = \frac{1}{s - M_R^2 + \Pi}
$$

where self-energy \boxed{II} = $\Pi = i \sqrt{s} \; I_{tot}(s)$, $\qquad I_{tot}(s) = \sum I_{j}$ *j* **total width**

Elementary reactions with resonances

The spin averaged/sumed matrix element squared is

$$
\overline{\left/M_{ab\rightarrow cd}\right)^{2}} = \overline{\left/M_{ab\rightarrow R}\right)^{2}} \cdot P_{R}^{2} \cdot \overline{\left/M_{R\rightarrow cd}\right)^{2}}
$$

Partial decay width:

$$
\Gamma_{R\to ab}(\,s\,) = \frac{p_a}{8\pi\,s} \cdot \overline{M_{R\to ab} \,f^2}
$$

 p_a – momentum of *a* in the rest frame of *R* or cms *a*+*b*

$$
\sigma_{ab \to R \to cd} (s) = \frac{2J_R + I}{(2J_a + I)(2J_b + I)} \frac{4\pi}{p_a} \frac{s\Gamma_{R \to ab} (s) \cdot \Gamma_{R \to cd} (s)}{(s - M_R^2)^2 + s\Gamma_{tot}^2(s)}
$$

Spectral function

The total width = the sum over all partial channels:

$$
\Gamma_{tot}(\mu) = \sum_{j} \Gamma_{j}(\mu)
$$

$$
\Box
$$
 Life time of resonance with mass μ :

$$
\tau(\mu) = \frac{\hbar c}{\Gamma_{tot}(\mu)}
$$

Note: **Experimental life time** \rightarrow **with pole mass** $\mu = M_R$

$$
\tau_R = \frac{\hbar c}{\varGamma_{tot}(\mu = M_R)}
$$

16

Decay rate

Branching ratio= probability to decay to channel *j:*

$$
Br \equiv P_j = \frac{\Gamma_j(\mu)}{\Gamma_{tot}(\mu)}
$$

Detailed balance

Detailed balance: $a + b \leftrightarrow c + d$

Note: DB is important to get the correct equilibrium properties

$$
\sigma_{c+d\to a+b}(s) = \frac{(2J_a+1)(2J_b+1)}{(2J_c+1)(2J_d+1)} \frac{p_{ab}^2(s)}{p_{cd}^2(s)} \sigma_{a+b\to c+d}(s)
$$

J- **spin**

Momentum of particle *a* **(or** *b***) in cms:**

$$
p_{ab}(s) = \frac{\left[(s - (m_a + m_b)^2) (s - (m_a - m_b)^2) \right]^{1/2}}{2\sqrt{s}}
$$

Momentum of particle *c* **(or** *d***) in cms:**

$$
p_{cd}(s) = \frac{\left[(s - (m_c + m_d)^2) (s - (m_c - m_d)^2) \right]^{1/2}}{2\sqrt{s}}
$$

Detailed balance on the level of 2 ← → n: treatment of multi-particle collisions in transport approaches

W. Cassing, NPA 700 (2002) 618

Generalized collision integral for $n \leftrightarrow m$ **reactions:**

$$
I_{coll} = \sum_{n} \sum_{m} I_{coll}[n \leftrightarrow m]
$$

$$
I_{coll}^{i}[n \leftrightarrow m] =
$$
\n
$$
\frac{1}{2}N_{n}^{m} \sum_{\nu} \sum_{\lambda} \left(\frac{1}{(2\pi)^{4}}\right)^{n+m-1} \int \left(\prod_{j=2}^{n} d^{4}p_{j} A_{j}(x, p_{j})\right) \left(\prod_{k=1}^{m} d^{4}p_{k} A_{k}(x, p_{k})\right)
$$
\n
$$
\times A_{i}(x, p) W_{n,m}(p, p_{j}; i, \nu | p_{k}; \lambda) (2\pi)^{4} \delta^{4}(p^{\mu} + \sum_{j=2}^{n} p_{j}^{\mu} - \sum_{k=1}^{m} p_{k}^{\mu})
$$
\n
$$
\times [\tilde{f}_{i}(x, p) \prod_{k=1}^{m} f_{k}(x, p_{k}) \prod_{j=2}^{n} \tilde{f}_{j}(x, p_{j}) - f_{i}(x, p) \prod_{j=2}^{n} f_{j}(x, p_{j}) \prod_{k=1}^{m} \tilde{f}_{k}(x, p_{k})].
$$

$\tilde{f} = 1 + \eta f$ is Pauli-blocking or Bose-enhancement factors; **=1 for bosons and =-1 for fermions**

 $W_{n,m}(p,p_i;i,\nu \mid p_k;\lambda)$ is a transition probability $A(x,p)$ - spectral function

Antibaryon production in heavy-ion reactions

Multi-meson fusion reactions E. Seifert, W. Cassing, 1710.00665, 1801.07557 m1+m2+...+mⁿ → **B+Bbar m=**π,ρ,ω,.. B=p,Λ,Σ,Ω, (>2000 channels)

❑ **important for anti-proton, anti-lambda, anti-Xi, anti-Omega dynamics !**

10² Pb+Pb, 160 A GeV \blacksquare NA49 **central** dNdt [arb. units] 0.003 **dN/dt [arb. units]** \triangle AGS **__ BB->X** \bullet o p+p 3 mesons \rightarrow \overline{BB} Hadron Gas **10¹** 0.002 HSD UrOMD 0.001 10^0 **0 2 4 6 8 10 t [fm/c]** 20 10 30 $\sqrt{\mathtt{s}_{_{\sf NN}}}$ (GeV)

→ **approximate equilibrium of annihilation and recreation**

W. Cassing, NPA 700 (2002) 618

 $t = 0.15$ fm/c

 $b = 2.2$ fm - Section view

- Baryons (394) Antibaryons (0) Mesons (93) Quarks (54)
	- Gluons (0)

 $t = 2.55$ fm/c

 $b = 2.2$ fm - Section view

- Baryons (394) Antibaryons (0)
- Mesons (477)
- Quarks (282)
- Gluons (33)

 $t = 5.25$ fm/c

 $t = 6.55001$ fm/c

 $t = 23.0999$ fm/c

- Antibaryons (5)
- Mesons (947)
- Quarks (0)
- Gluons (0)

Useful literature

L. P. Kadanoff, G. Baym, '*Quantum Statistical Mechanics'***, Benjamin, 1962**

M. Bonitz, '*Quantum kinetic theory'***, B.G. Teubner Stuttgart, 1998**

W. Cassing and E.L. Bratkovskaya, 'Hadronic and electromagnetic probes of hot and dense nuclear matter', Phys. Reports 308 (1999) 65-233. <http://inspirehep.net/record/495619>

W. Cassing, `Transport Theories for Strongly-Interacting Systems', Springer Nature: Lecture Notes in Physics 989, 2021; DOI: 10.1007/978-3-030-80295-0