

Lecture Models for heavy-ion collisions: (Part 4): transport models

SS2024: ,Dynamical models for relativistic heavy-ion collisions'

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2. Quantum field theory → Kadanoff-Baym dynamics

From weakly to strongly interacting systems

In-medium effects (on hadronic or partonic levels!) = changes of particle properties in the hot and dense medium Example: hadronic medium - vector mesons, strange mesons QGP – ,dressing' of partons

Many-body theory: Strong interaction → large width = short life-time → broad spectral function → quantum object

 How to describe the dynamics of broad strongly interacting quantum states in transport theory?

semi-classical BUU

first order gradient expansion of quantum Kadanoff-Baym equations

generalized transport equations based on Kadanoff-Baym dynamics



Dynamical description of strongly interacting systems

Semi-classical on-shell BUU: applies for small collisional width, i.e. for a weakly interacting systems of particles

How to describe strongly interacting systems?!

Quantum field theory \rightarrow Kadanoff-Baym dynamics for resummed single-particle Green functions S[<] (= G[<])

$$\hat{S}_{0x}^{-1} S_{xy}^{<} = \Sigma_{xz}^{ret} \odot S_{zy}^{<} + \Sigma_{xz}^{<} \odot S_{zy}^{adv}$$

(1962)

Green functions S[<] / self-energies Σ :

$iS_{xy}^{<} = \eta \langle \{ \Phi^{+}(y) \Phi(x) \} \rangle$ $iS_{xy}^{>} = \langle \{ \Phi(y) \Phi^{+}(x) \} \rangle$ $iS_{xy}^{c} = \langle T^{c} \{ \Phi(x) \Phi^{+}(y) \} \rangle - causal$ $iS_{xy}^{a} = \langle T^{a} \{ \Phi(x) \Phi^{+}(y) \} \rangle - anticausal$



Leo Kadanoff





Integration over the intermediate spacetime

 $T^{a}(T^{c}) - (anti-)time - ordering operator$

Heisenberg picture

□ Relativistic formulations of the many-body problem are described within covariant field theory.

The fields themselves are distributions in space-time $x = (t, \mathbf{x}) \rightarrow \mathbf{x}$ from Schrödinger picture \rightarrow Heisenberg picture:

□ In the Heisenberg picture the time evolutions of the system is described by time-dependent operators that are evolved with the help of the unitary time-evolution operator U(t, t') which follows

$$\hat{d}\frac{\partial \hat{U}(t,t_0)}{\partial t} = \hat{H}(t)\hat{U}(t,t_0)$$
(1)
Schrödinger operator of the system

Eq. (1) has the formal solution:

$$\hat{U}(t,t_0) = T\left(\exp\left[-i\int_{t_0}^t \mathrm{d}z \ \hat{H}(z)\right]\right) = \sum_{n=0}^\infty \frac{T[-i\int_{t_0}^t \mathrm{d}z \ \hat{H}(z)]^n}{n!} \longleftarrow \text{ Dyson series}$$

If *H* doesn't depend on time:

$$\hat{U}(t,t_0) = e^{-i\hat{H}(t-t_0)}$$

$$\Psi(x,t) = \hat{U}(t,t_0 = 0)\Psi(x,t_0 = 0)$$
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Time evolution operator in Heisenberg picture

The time evolution of any operator O in the Heisenberg picture from time t_0 to t is given by

 $\hat{O}_{H}(t) = \hat{U}^{+}(t,t_{o}) \hat{O} \hat{U}(t,t_{o})$

If *H* doesn't depend on time: $\hat{U}(t,t_0) = e^{-i\hat{H}(t-t_0)}$

$$\hat{O}_{H}(t) = e^{iH(t-t_{\theta})}\hat{O} e^{-iH(t-t_{\theta})}$$

Schrödinger picture	\rightarrow	Heisenberg picture:
$\Psi(x,t)$		$\Psi(x,t_0=0)$
\widehat{O}		$\hat{O}_{H}(t) = \hat{U}^{+}(t,t_{o}) \hat{O} \hat{U}(t,t_{o})$

(3)

Expectation value in Heisenberg picture

 \Box If the initial state is given by some density matrix ρ , which may be a pure or mixed state

□ then the time evolution of expectation value O(t) of the operator O in the Heisenberg picture from time t_0 to t is given by

$$O(t) = \langle \hat{O}_H(t) \rangle = \operatorname{Tr}\left(\hat{\rho} \,\hat{O}_H(t)\right) = \operatorname{Tr}\left(\hat{\rho} \,\hat{U}(t_0, t)\hat{O} \,\hat{U}(t, t_0)\right) = \operatorname{Tr}\left(\hat{\rho} \,\hat{U}^{\dagger}(t, t_0)\hat{O} \,\hat{U}(t, t_0)\right)$$
(4)

This implies that first the system is evolved from t_0 to t and then backward from t to t_0 . This may be expressed as a time integral along the Keldysh-Contour



Two-point functions on the Keldysh contour



Consider: Interacting field theory for spinless massive scalar bosons \rightarrow scalar field $\phi(x)$

 $\label{eq:general} \Box \mbox{ Green functions: elementary degrees of freedom } x = (t_x, \vec{x}), y = (t_y, \vec{y}) \\ \mbox{ Causal: } iG^c(x,y) = iG^{++}(x,y) = \langle \hat{T}^c(\phi(x)\phi(y)) \rangle \mbox{ t}_x \mbox{ and } t_y \mbox{ on upper part; } t_x > t_y \\ \mbox{ Small: } iG^<(x,y) = iG^{+-}(x,y) = \langle \phi(y)\phi(x) \rangle \mbox{ t}_x \mbox{ on upper; } t_y \mbox{ on lower part; } t_y > t_x \\ \mbox{ Large: } iG^>(x,y) = iG^{-+}(x,y) = \langle \phi(x)\phi(y) \rangle \mbox{ t}_x \mbox{ on lower; } t_y \mbox{ on upper part } t_x \mbox{ Anticausal: } iG^a(x,y) = iG^{--}(x,y) = \langle \hat{T}^a(\phi(x)\phi(y)) \rangle \mbox{ t}_x \mbox{ and } t_y \mbox{ on lower part; } t_y > t_x \mbox{ and } t_y \mbox{ on lower part; } t_y > t_x \mbox{ on lower part; } t_y > t_y \mbox{ on lower part; } t_y > t_x \mbox{ on lower part; } t_y > t_y \mbox{ on lower part; } t_y \mbox{ o$

T^c / T^a denote time ordering on the upper/lower branch of the real-time contour

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In matrix notation:
$$G(x,y) = \begin{pmatrix} G^c(x,y) & G^<(x,y) \\ - \begin{pmatrix} G^c(x,y) & G^<(x,y) \\ G^>(x,y) & G^a(x,y) \end{pmatrix}$$
(5)

Green functions on contour

Q Relation to the one-body density matrix ρ :

(6)
$$\rho(\mathbf{x}, \mathbf{x}'; t) = -iG^{<}(\mathbf{x}, \mathbf{x}'; t, t) \qquad \leftarrow \quad G^{<}(\mathbf{x}, \mathbf{x}'; t) = \int_{-\infty}^{\infty} d(\tau - \tau') \ G^{<}(\mathbf{x}, \mathbf{x}'; \tau, \tau') t = (\tau + \tau')/2$$

□ Two-point functions *F* on the closed-time-path (CTP) generally can be expressed by retarded (R) and advanced (A) components as

7)
$$F^{\mathbf{R}}(x,y) = F^{c}(x,y) - F^{<}(x,y) = F^{>}(x,y) - F^{a}(x,y)$$
$$F^{\mathbf{A}}(x,y) = F^{c}(x,y) - F^{>}(x,y) = F^{<}(x,y) - F^{a}(x,y)$$

Note:

only two Green functions are independent!

giving in particular the relation

(8)
$$F^{\mathbf{R}}(x,y) - F^{\mathbf{A}}(x,y) = F^{>}(x,y) - F^{<}(x,y)$$

Note that the advanced and retarded components of the Green functions contain only spectral and no statistical information (see below)

Dyson-Schwinger equation on the contour

Dyson-Schwinger equation (follows from Schrödinger eq.):

$$G(x,y) = G_0(x,y) + G_0(x,y)\Sigma(x,y)G(x,y)$$

Dyson-Schwinger equation on the closed-time-path reads in matrix form:

$$\begin{pmatrix} G^{c}(x,y) & G^{<}(x,y) \\ G^{>}(x,y) & G^{a}(x,y) \end{pmatrix} = \begin{pmatrix} G^{c}_{0}(x,y) & G^{<}_{0}(x,y) \\ G^{>}_{0}(x,y) & G^{a}_{0}(x,y) \end{pmatrix} + \\ \begin{pmatrix} G^{c}_{0}(x,x') & G^{<}_{0}(x,x') \\ G^{>}_{0}(x,x') & G^{a}_{0}(x,x') \end{pmatrix} \odot \begin{pmatrix} \Sigma^{c}(x',y') & -\Sigma^{<}(x',y') \\ -\Sigma^{>}(x',y') & \Sigma^{a}(x',y') \end{pmatrix} \\ \odot \begin{pmatrix} G^{c}(y',y) & G^{<}(y',y) \\ G^{>}(y',y) & G^{a}(y',y) \end{pmatrix}$$

Free propagator for Bose case:

$$\hat{G}_{0x}^{-1} = -(\partial_{\mu}^{x}\partial_{x}^{\mu} + m^{2})$$
$$\hat{G}_{0x}^{-1}G_{0x}^{R/A}(x,y) = \delta(x-y)$$

• means convolution integral over the closed time-path

(10)

(9)

Towards the Kadanoff-Baym equations

For Bose case the free propagator is defined via the negative inverse Klein-Gordon operator in space-time representation

$$\hat{G}_{0x}^{-1} = -(\partial_{\mu}^{x}\partial_{x}^{\mu} + m^{2})$$
⁽¹¹⁾

which is a solution of the Klein-Gordon equation in the following sense:

$$\hat{G}_{0x}^{-1} G_0^{R/A}(x, y) = \delta(x - y)$$

$$\hat{G}_{0x}^{-1} \begin{pmatrix} G_0^c(x,y) & G_0^<(x,y) \\ G_0^>(x,y) & G_0^a(x,y) \end{pmatrix} = \delta(\mathbf{x} - \mathbf{y}) \begin{pmatrix} \delta(x_0 - y_0) & 0 \\ 0 & -\delta(x_0 - y_0) \end{pmatrix}$$
(12)
Free Green function $\mathbf{G}_0(\mathbf{x},\mathbf{y}) = \delta(\mathbf{x} - \mathbf{y})\delta_p(x_0 - y_0)$

with δ_p denoting the δ -function on the closed time path (CTP). In (11) *m* denotes the bare mass of the scalar field. $\begin{aligned} x &= (x^0, \mathbf{x}) \\ y &= (y^0, \mathbf{y}) \end{aligned}$

The Kadanoff-Baym equations

To derive the Kadanoff-Baym equations one multiplies Dyson-Schwinger eq. (10) with 1) G_{0x}^{-1} and 2) with G_{0y}^{-1} This gives four equations for $G^{<}$, $G^{>}$ (for propagation in x or in y) which can be written in the form:

1) (10)* $G_{0x}^{-1} \rightarrow$ propagation of Green functions in variable x

$$-(\partial^x_\mu \partial^\mu_x + m^2)G^{R/A}(x,y) = \delta(x-y) + \Sigma^{R/A}(x,x') \odot G^{R/A}(x',y)$$

$$-(\partial_{\mu}^{x}\partial_{x}^{\mu}+m^{2})G^{<}(x,y)=\Sigma^{R}(x,x')\odot G^{<}(x',y)+\Sigma^{<}(x,x')\odot G^{A}(x',y)$$

$$-(\partial_{\mu}^{x}\partial_{x}^{\mu} + m^{2})G^{>}(x,y) = \Sigma^{R}(x,x') \odot G^{>}(x',y) + \Sigma^{>}(x,x') \odot G^{A}(x',y)$$

2) $(10)^*G_{0y^{-1}} \rightarrow$ propagation of Green functions in variable y (similar to (11) \rightarrow adjoint eqs.)

Kadanoff-Baym equations: provide nonequilibrium time evolution of quantum system in terms of 2-point Green functions

L. P. Kadanoff, G. Baym, , Quantum Statistical Mechanics', Benjamin, 1962

(11)

Derivation of the selfenergy

Effective action Γ :

Yu. Ivanov, J. Knoll, D. Voskresensky, NPA657 (1999) 413

$$\Gamma[G] = \Gamma^0 + \frac{i}{2} \left[\ln(1 - \odot_p G_0 \odot_p \Sigma) + \odot_p G \odot_p \Sigma \right] + \Phi[G]$$

(15)

Resummed propagators with self-generated mean-field

 Γ_{0}^{0} – ,free' part of action (kinetic + mass terms), G_{0} - free propagator,

 $oldsymbol{\Theta}_{\mathbf{p}}$ means convolution integral over the closed time-path

 $\Phi(G)$ is the ,interaction part' = sum of all connected nPI diagrams built up by the full G(x,y)

Used approximation: Two-particle irreducible (2PI) diagrams

Define selfenergy Σ by the variation of Γ [G]

$$\delta\Gamma = \underline{0} = \frac{i}{2} \Sigma \,\delta G - \frac{i}{2} \frac{G_0}{1 - G_0 \Sigma} \delta\Sigma + \frac{i}{2} G \,\delta\Sigma + \delta\Phi \qquad (16)$$

$$= \frac{i}{2} \Sigma \,\delta G - \frac{i}{2} \underbrace{\frac{1}{G_0^{-1} - \Sigma}}_{=G} \delta\Sigma + \frac{i}{2} G \,\delta\Sigma + \delta\Phi = \frac{i}{2} \underline{\Sigma} \,\delta G + \delta\Phi \qquad \Sigma = 2i \frac{\delta\Phi}{\delta G} = 2 \frac{\delta\Phi}{\delta(-iG)}$$

→ The selfenergy Σ are obtained by opening of a propagator line in the irreducible diagrams Φ

Example: scalar theory with self-interactions

 Φ^4 – theory: the interacting field theory for spinless massive scalar bosons provides a ,theoretical laboratory' for testing approximation schemes

Lagrangian density:

$$\mathcal{L}(x) = \frac{1}{2} \partial^x_\mu \phi(x) \partial^\mu_x \phi(x) - \frac{1}{2} m^2 \phi(x)^2 - \frac{\lambda}{4!} \phi^4(x) \qquad \begin{array}{l} \phi(\mathbf{x}) - \text{real scalar field} \\ \mathbf{\lambda} - \text{is a coupling constant} \end{array}$$

Φ(G): the sum of all closed 2PI diagrams built up by the full G(x,y):



Cut a line and stretch:

(17)

2PI self-energies in Φ⁴ - theory



$$\Sigma(x,y) = \Sigma^{\delta}(x) \ \delta_p^{(d+1)}(x-y) + \Theta_p(x_0-y_0) \ \Sigma^{>}(x,y) + \Theta_p(y_0-x_0) \ \Sigma^{<}(x,y)$$

Local in space and time part: tadpole

Nonlocal part: sunset

(19)

$$\Sigma^{\delta}(x) = \frac{\lambda}{2} i G^{\leq}(x,x) \qquad \Sigma^{\gtrless}(x,y) = -\frac{\lambda^2}{6} G^{\gtrless}(x,y) G^{\lessgtr}(y,x) = -\frac{\lambda^2}{6} \left[G^{\gtrless}(x,y) \right]^3$$

local ,potential' term (~λ) leads to the generation of an effective mass for the field quanta interaction term (~ λ^2)

Kadanoff-Baym equations of motion for G[<]

1)
$$-\left[\partial_{\mu}^{x}\partial_{\underline{x}}^{\mu}+m^{2}\right]G^{\gtrless}(x,y) = \underline{\Sigma^{\delta}(x)}G^{\gtrless}(x,y)$$
 potential term
tadpole diagram
interaction term
$$\begin{cases}
+\int_{t_{0}}^{x_{0}}dz_{0}\int d^{d}z \quad [\Sigma^{>}(x,z)-\Sigma^{<}(x,z)] \quad G^{\gtrless}(z,y) \\
-\int_{t_{0}}^{y_{0}}dz_{0}\int d^{d}z \quad \Sigma^{\gtrless}(x,z) \quad [G^{>}(z,y)-G^{<}(z,y)], \quad \bigcup_{\text{sunset diagram}} dz = dz \end{cases}$$

2)
$$-\left[\partial_{\mu}^{y}\partial_{y}^{\mu}+m^{2}\right]G^{\gtrless}(x,y) = \Sigma^{\delta}(y)G^{\gtrless}(x,y) \qquad d: \text{ dimension of space}$$
$$\left\{\begin{array}{l} +\int_{t_{0}}^{x_{0}}dz_{0}\int d^{d}z \quad \left[G^{>}(x,z)-G^{<}(x,z)\right]\Sigma^{\gtrless}(z,y) \\ -\int_{t_{0}}^{y_{0}}dz_{0}\int d^{d}z \quad G^{\gtrless}(x,z) \quad \left[\Sigma^{>}(z,y)-\Sigma^{<}(z,y)\right],\end{array}\right.$$
(20)

Kadanoff-Baym equations include:

- the influence of the mean-field on the particle propagation generated by the tadpole diagram
- as well as scattering processes as inherent in the sunset diagram.

KB equations for Φ⁴–theory for homogeneous system

b do Wigner transformation of the Kadanoff-Baym equations:

$$F_{XP} = \int d^4(x-y) e^{iP_{\mu}(x^{\mu}-y^{\mu})} F_{xy}$$

For any function F_{XY} with X=(x+y)/2 – space-time coordinate, P – 4-momentum

Example: Solution of KB for the case of Φ⁴ – theory for homogeneous system (no X dependence):
 Wigner transformed KB:

$$\partial_{t_1}^2 G^{<}(\mathbf{p}, t_1, t_2) = -[\mathbf{p}^2 + m^2 + \tilde{\Sigma}^{\delta}(t_1)] G^{<}(\mathbf{p}, t_1, t_2)$$

$$\begin{pmatrix} - \int_{t_0}^{t_1} dt' \ [\Sigma^{>}(\mathbf{p}, t_1, t') - \Sigma^{<}(\mathbf{p}, t_1, t') \] \ G^{<}(\mathbf{p}, t', t_2) \\ + \int_{t_0}^{t_2} dt' \ \Sigma^{<}(\mathbf{p}, t_1, t') \ [G^{>}(\mathbf{p}, t', t_2) - G^{<}(\mathbf{p}, t', t_2) \] \\ = -[\mathbf{p}^2 + m^2 + \tilde{\Sigma}^{\delta}(t_1)] \ G^{<}(\mathbf{p}, t_1, t_2) \ + \ I_1^{<}(\mathbf{p}, t_1, t_2),$$

Self-energies in two-time, momentum space (p; t; t₀) representation:

$$\begin{split} \tilde{\Sigma}^{\delta}(t) &= \frac{\lambda}{2} \int \frac{d^d p}{(2\pi)^d} \ i \, G^{\leq}(\mathbf{p}, t, t) \ , \\ \Sigma^{\leq}(\mathbf{p}, t, t') &= -\frac{\lambda^2}{6} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \ G^{\leq}(\mathbf{q}, t, t') \ G^{\leq}(\mathbf{r}, t, t') \ G^{\gtrless}(\mathbf{q} + \mathbf{r} - \mathbf{p}, t', t) \ . \\ &= -\frac{\lambda^2}{6} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \ G^{\leq}(\mathbf{q}, t, t') \ G^{\leq}(\mathbf{r}, t, t') \ G^{\leq}(\mathbf{p} - \mathbf{q} - \mathbf{r}, t, t') \ . \end{split}$$

KB equations for Φ⁴–theory for homogeneous system

Collision term:

$$I_1^<(\mathbf{p}, t_1, t_2) =$$

$$\begin{split} &+ \int_{t_0}^{t_1} dt' \, \frac{\lambda^2}{6} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \ \ G^{>}(\mathbf{q}, t_1, t') \ \ G^{>}(\mathbf{r}, t_1, t') \ \ G^{<}(\mathbf{q} + \mathbf{r} - \mathbf{p}, t', t_1) \ \ G^{<}(\mathbf{p}, t', t_2) \\ &- \int_{t_0}^{t_2} dt' \, \frac{\lambda^2}{6} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \ \ G^{<}(\mathbf{q}, t_1, t') \ \ G^{<}(\mathbf{r}, t_1, t') \ \ G^{>}(\mathbf{q} + \mathbf{r} - \mathbf{p}, t', t_1) \ \ G^{>}(\mathbf{p}, t', t_2) \\ &+ \int_{t_0}^{t_2} dt' \, \frac{\lambda^2}{6} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \ \ G^{<}(\mathbf{q}, t_1, t') \ \ G^{<}(\mathbf{r}, t_1, t') \ \ G^{>}(\mathbf{q} + \mathbf{r} - \mathbf{p}, t', t_1) \ \ G^{<}(\mathbf{p}, t', t_2) \\ &- \int_{t_0}^{t_1} dt' \, \frac{\lambda^2}{6} \int \frac{d^d q}{(2\pi)^d} \int \frac{d^d r}{(2\pi)^d} \ \ G^{<}(\mathbf{q}, t_1, t') \ \ G^{<}(\mathbf{r}, t_1, t') \ \ G^{>}(\mathbf{q} + \mathbf{r} - \mathbf{p}, t', t_1) \ \ G^{<}(\mathbf{p}, t', t_2), \end{split}$$

! KB collision term apart from $2 \leftrightarrow 2$ processes also involves $1 \leftrightarrow 3$ processes which are not allowed by energy conservation in an on-shell collision term for massive particles!



Solutions of KB equations for Φ⁴ – theory for homogeneous system

Set initial conditions:

Example: set 4 different initial distributions DT, D1, D2, D3 that are all characterized by the same energy density

→ for large times $(t \rightarrow \infty)$ all initial distributions should lead to the same equilibrium final state



Solutions of KB equations for Φ^4 – theory

Time evolution of the Green's function iG[<](p_x; p_y; t; t) in momentum space for the initial distribution D2 for λ/m=18



$\Box t \rightarrow \infty equilibrium final state$

Solutions of KB equations for Φ^4 – theory

Time evolution of the occupation density n(p_x; p_y; t) in momentum space for the initial distribution D2 for λ/m=18



\Box t $\rightarrow \infty$ equilibrium final state

Boltzmann vs. Kadanoff-Baym dynamics



1) Consider quadrupole moment

$$Q(\tilde{t}) = \frac{\int \frac{d^d p}{(2\pi)^d} (p_x^2 - p_y^2) N(\mathbf{p}, \tilde{t})}{\int \frac{d^d p}{(2\pi)^d} N(\mathbf{p}, \tilde{t})}$$

2) The relaxation rate of the quadrupole moment vs. coupling constants λ/m

$$Q(\tilde{t}) \sim \exp\left(-\Gamma_Q \tilde{t}\right)$$

KB: faster equilibration for larger coupling constant
 Boltzmann: works well for small coupling (on-shell states)



Advantages of Kadanoff-Baym dynamics vs Boltzmann

Kadanoff-Baym equations:

- □ propagate two-point Green functions $G^{<}(x,p) \rightarrow A(x,p)^{*}N(x,p)$ in 8 dimensions $x=(t,\vec{r})$ $p=(p_{0},\vec{p})$
- □ G[<] carries information not only on the occupation number N_{XP}, but also on the particle properties, interactions and correlations via spectral function A_{XP}

Boltzmann equations

- □ propagate phase space distribution function $f(\vec{r}, \vec{p}, t)$ in 6+1 dimensions
- works well for small coupling
 = weakly interacting system,
 → on-shell approach
- Applicable for strong coupling = strongly interaction system
- Includes memory effects (time integration) and off-shell transitions in collision term
- **Dynamically generates a broad spectral function for strong coupling**
- **Given Series and Ser**
- ❑ KB can be solved in 1st order gradient expansion in terms of generalized transport equations (in test particle ansatz) for realistic systems of HICs

Useful literature

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