

# **Perspectives of Deep learning techniques in Lattice 1+1d Scalar Field Theory**

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**arXiv:1810.12879**

In collaboration with :

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# Introduction

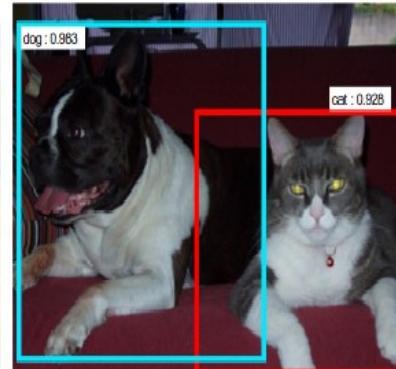
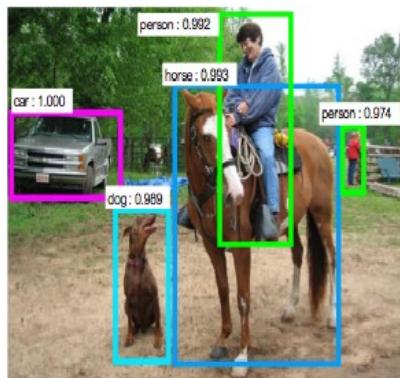
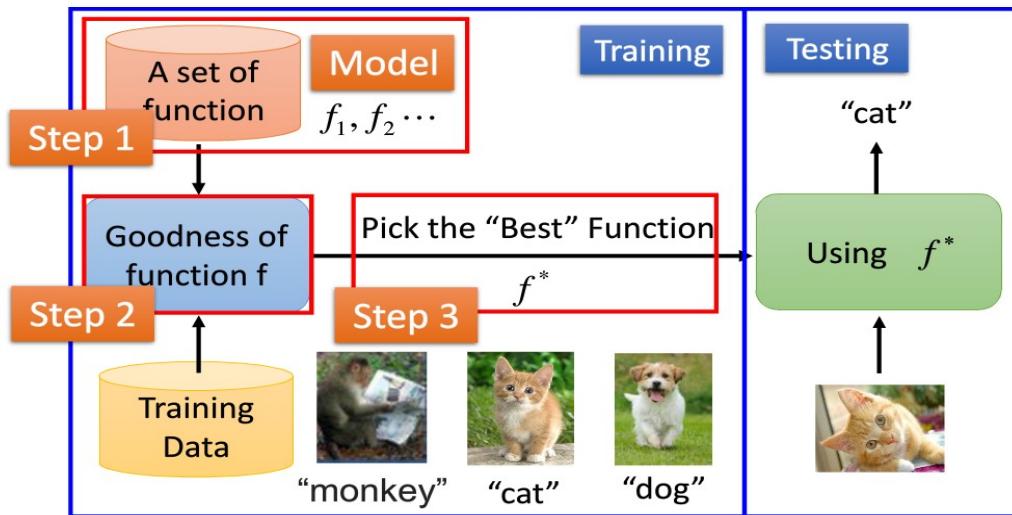


Image Recognition:

Framework

$$f(\text{cat}) = \text{"cat"}$$



**Find and Decode the mapping/representations into Deep Neural Network**

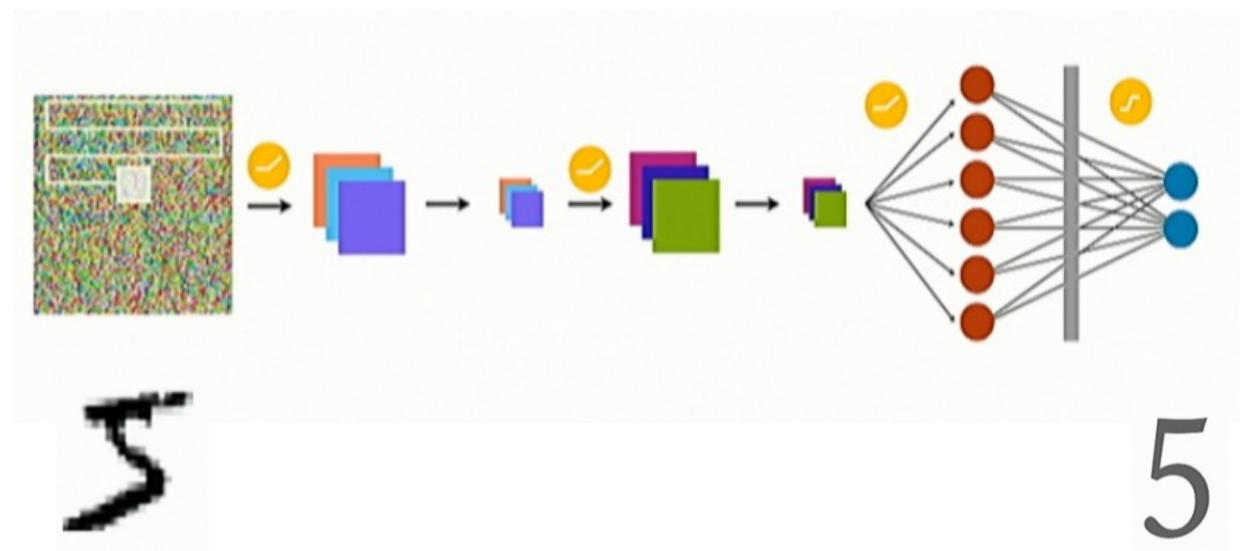
→ **Function approximator**

**Universal approximator  
(Hastad et al 86 & 91)**

# Introduction

- Convolutional Neural Network has proved to be extremely powerful in Pattern Recognition, Image Classification

0000000000000000  
1111111111111111  
2222222222222222  
3333333333333333  
4444444444444444  
5555555555555555  
6666666666666666  
7777777777777777  
8888888888888888  
9999999999999999  
 $\Sigma = 5 + \text{Fluctuations}$



- Discriminative learning (**prediction**) : Classification, Regression
- Generative modelling (**generation**) : RBM, VAE, GAN

# 1+1d $\lambda\phi^4$ (prepare training set)

**regularization of continuum Action:**

$$S^{\text{lat}} = \sum_x \left\{ (4 + m^2) \phi^*(x) \phi(x) + \lambda [\phi^*(x) \phi(x)]^2 - \sum_{\nu=1,2} [e^{\mu\delta_{\nu,2}} \phi^*(x) + \hat{\nu}) + e^{-\mu\delta_{\nu,2}} \phi^*(x) \phi(x - \hat{\nu})] \right\}$$

**Partition sum :**

$$\mathcal{Z} = \int D[\phi] \exp(-S^{\text{lat}}[\phi])$$

**Dualization approach :**

$$\mathcal{Z} = \sum_{\{k,\ell\}} \prod_n \left\{ e^{\mu k_t(n)} \cdot W[s(n)] \cdot \delta[\nabla \cdot k(n)] \cdot \prod_{\nu} A[k_{\nu}(x), \ell_{\nu}(x)] \right\}$$

$$W[s(n)] = \int_0^\infty dr r^{s(n)+1} e^{-(4+m^2)r^2 - \lambda r^4}$$

$$s(n) = \sum_{\nu} [|k_{\nu}(n)| + |k_{\nu}(n - \hat{\nu})| + 2(\ell_{\nu}(n) + \ell_{\nu}(n - \hat{\nu}))]$$

$$A[k_{\nu}(x), \ell_{\nu}(x)] = \frac{1}{(\ell_{\nu}(n) + |k_{\nu}(n)|)! \ell_{\nu}(n)!}$$

**C. Gattringer and T. Kloiber, Nucl. Phys. B869 (2013) 56-73**  
**O. Orasch and C. Gattringer, Int. J. Mod. Phys. A33(2018) no.01, 1850010,**

# Dualization approach for 1+1d $\lambda\phi^4$

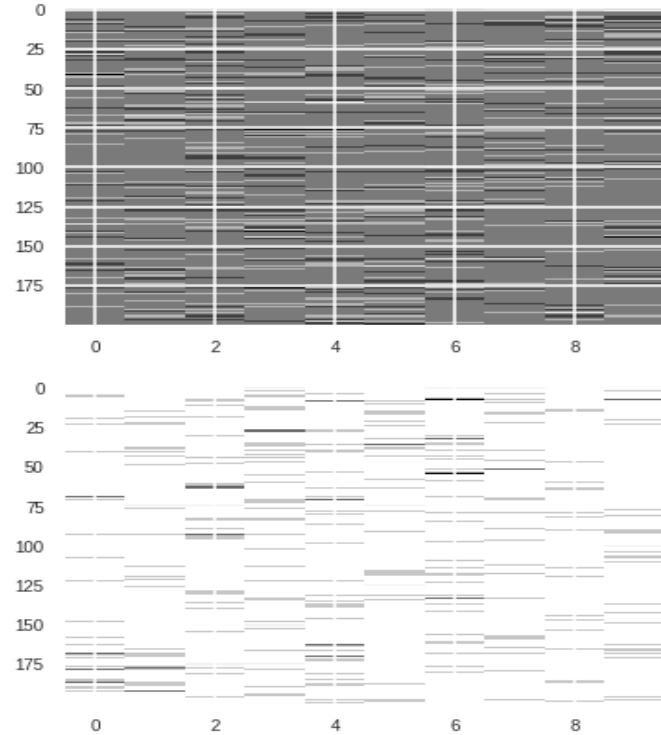
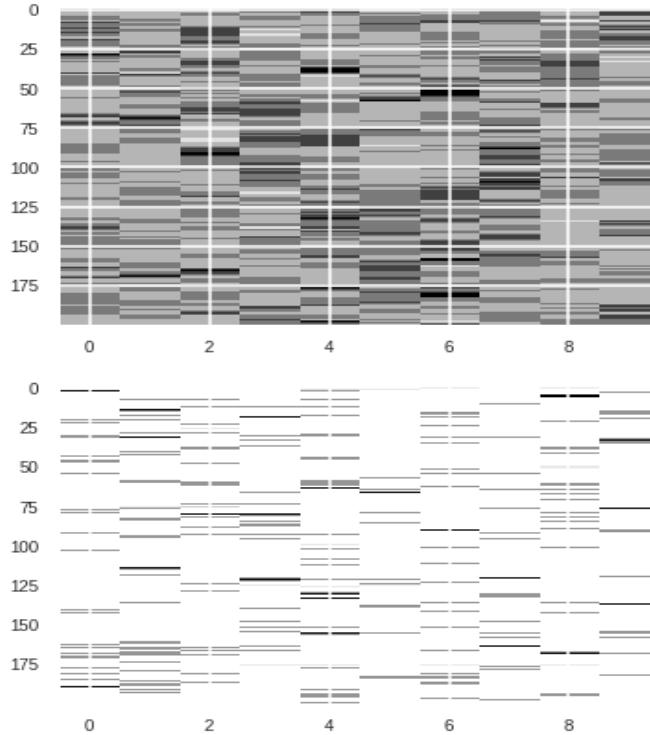
**configurations - 4 integer-valued variables**  $k_t, k_x, l_t, l_x$

$$m = 0.1$$

$$\lambda = 1.0$$

$$N_t = 200$$

$$N_x = 10$$



**Divergence constraint :**

$$\nabla \cdot k(n) = \sum_{\nu} [k_{\nu}(n) - k_{\nu}(n - \hat{\nu})] = 0$$

# Observables : $n$ and $|\phi|^2$

## Grand canonical ensemble

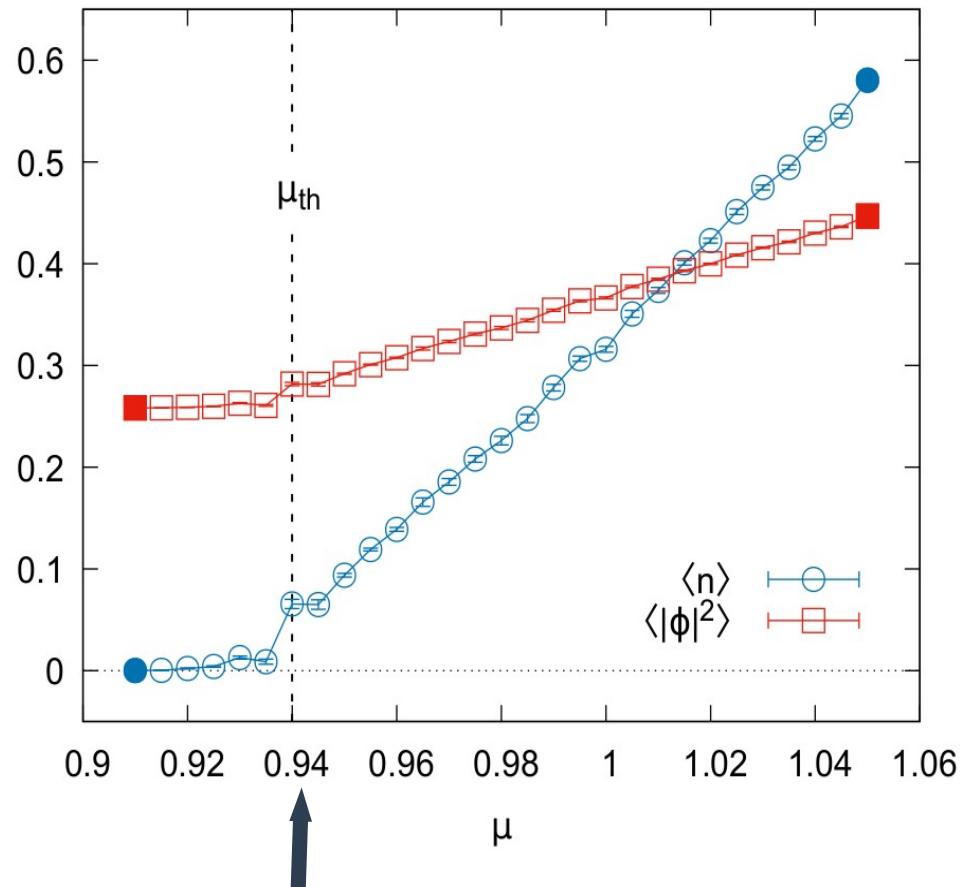
$$\langle n \rangle = \frac{T}{L} \frac{\partial \log \mathcal{Z}}{\partial \mu}$$

$$n = \frac{1}{N_x N_t a} \sum_n k_t(n)$$

$$\langle |\phi|^2 \rangle = \frac{T}{L} \frac{\partial \log \mathcal{Z}}{\partial(m^2)}$$

$$|\phi|^2 = \frac{1}{N_x N_t} \sum_n \frac{W[s(n) + 2]}{W[s(n)]}$$

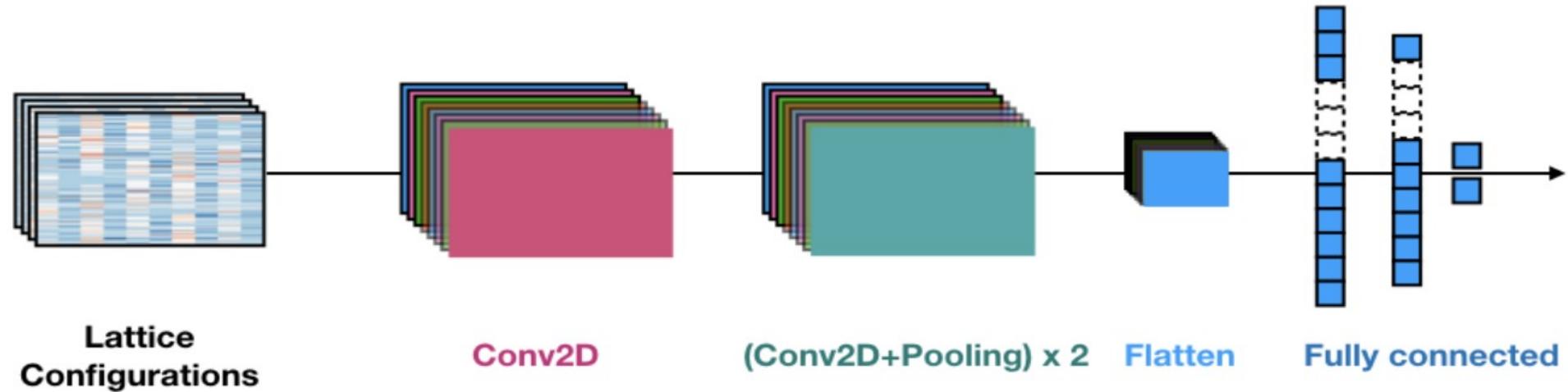
Condensation sets in at  $\mu_{th} \sim m_{phys} \sim 0.94$



# Exploring NN application here

- (1) Classification : detect 'phase transition' status based on configurations  
(identify order parameter)
- (2) Regression : physical observables regression  
(identify thermodynamics)
- (3) GAN(generate) : \* Learn to generate new configurations
  - \* Generate configs with proper distribution  
(identify partition function)

# DCNN Architecture - Classification

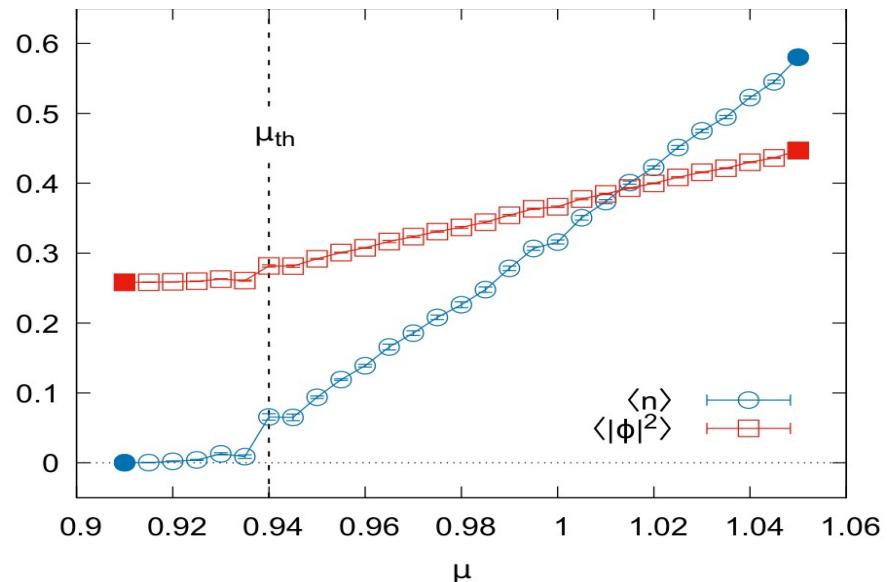


**Training set consist two ensembles of configs at**

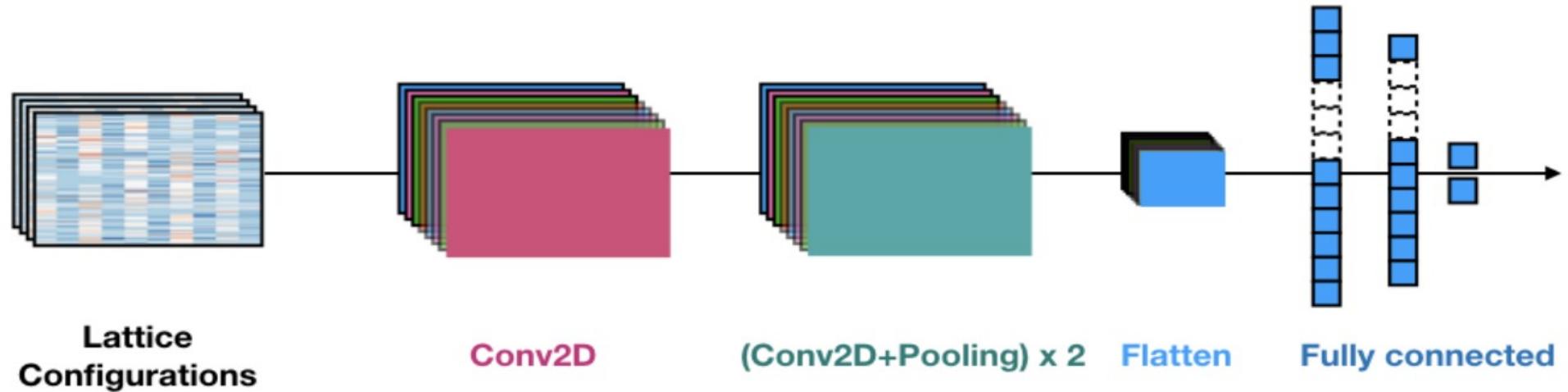
$\mu = 0.91$  with label  $y = (0, 1)$

**and**

$\mu = 1.05$  with label  $y = (1, 0)$



# DCNN Architecture - Classification



**Training set consist two ensembles of configs at**

$\mu = 0.91$  with label  $y = (0, 1)$

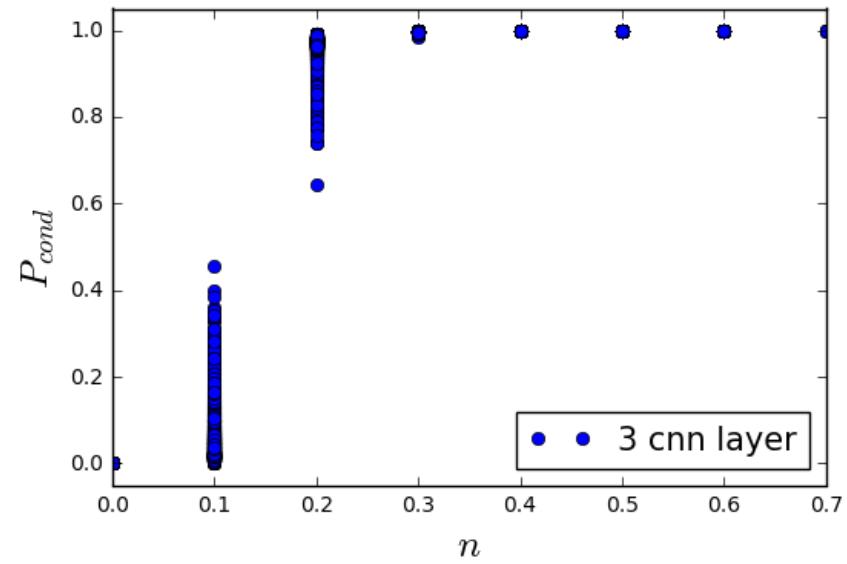
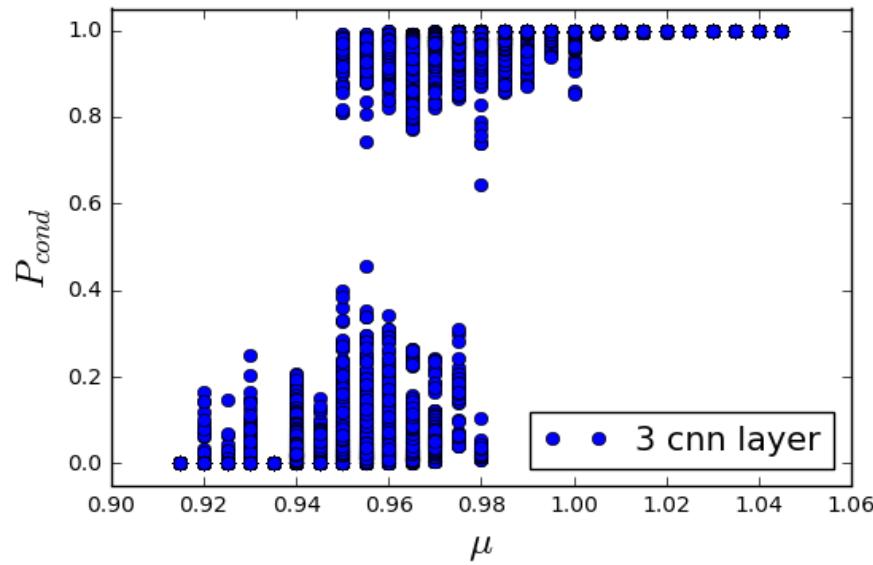
and

$\mu = 1.05$  with label  $y = (1, 0)$

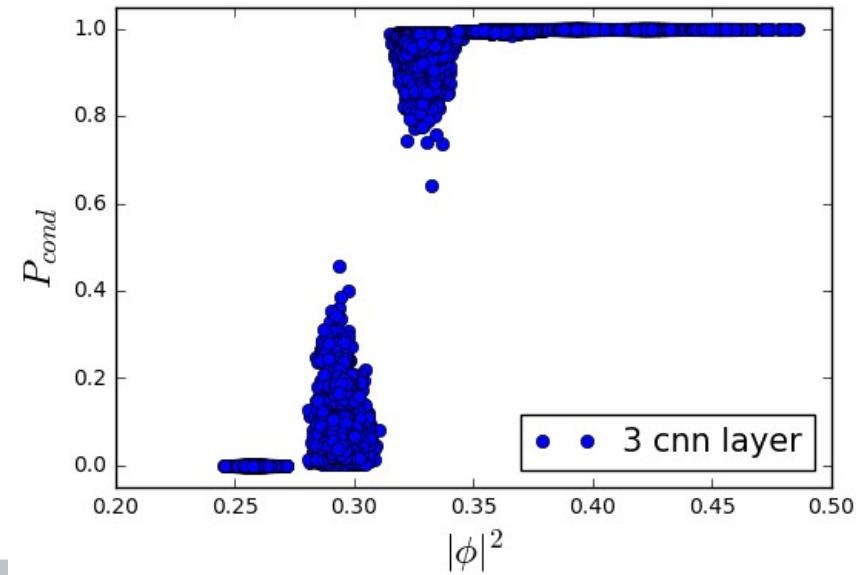
**Testing set consist of different ensembles of configurations at different chemical potential**

$0.91 < \mu < 1.05$

# Condensation probability from DCNN

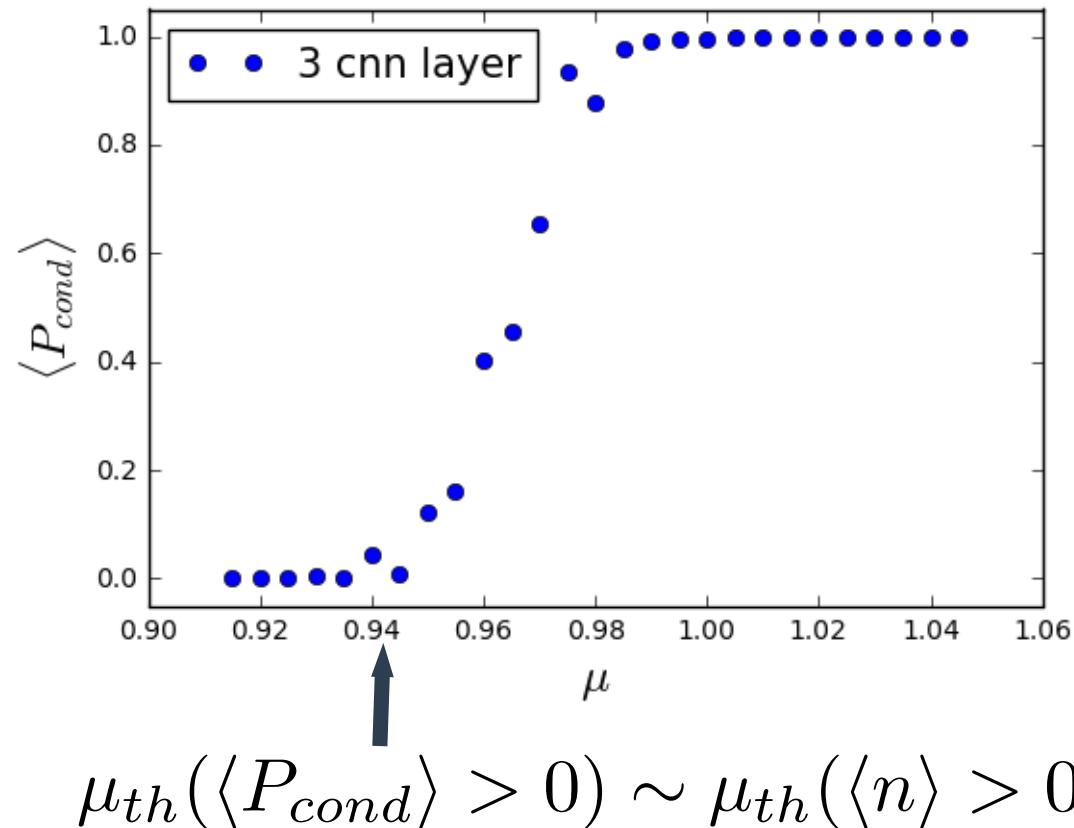


**Strong correlation between  
 $P_{cond}$  and observables :  
 $n$  / squared field**



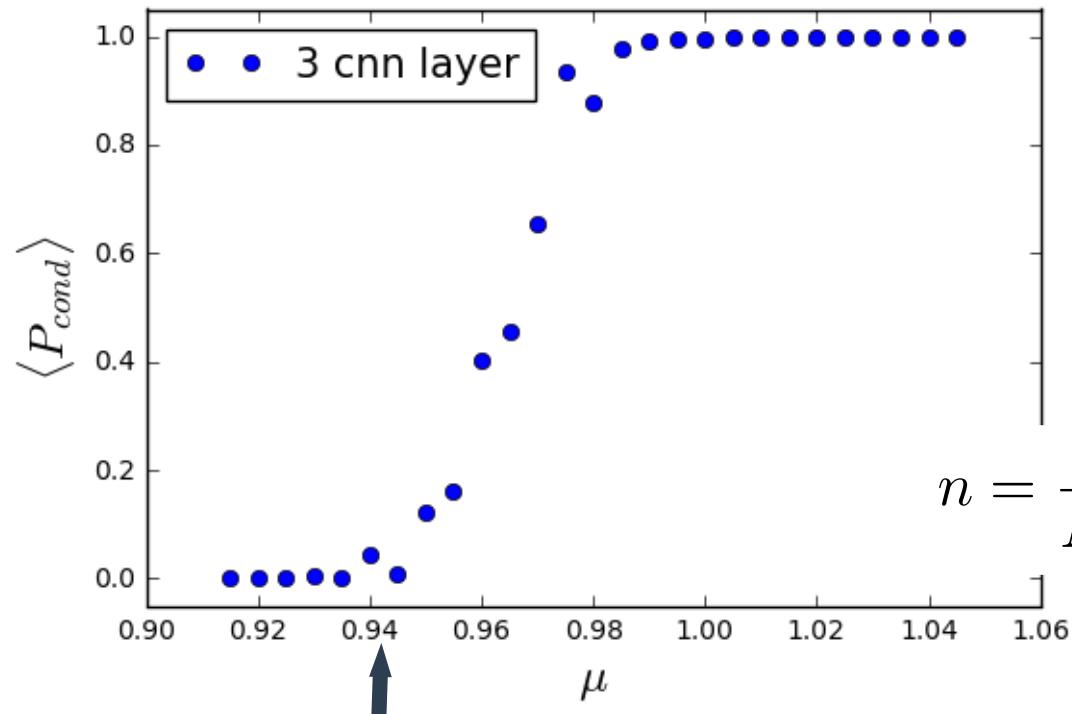
# Ensemble average cond-probability

**Classifier of the phases :**  $\langle n \rangle = 0$  and  $\langle n \rangle \neq 0$



# Ensemble average cond-probability

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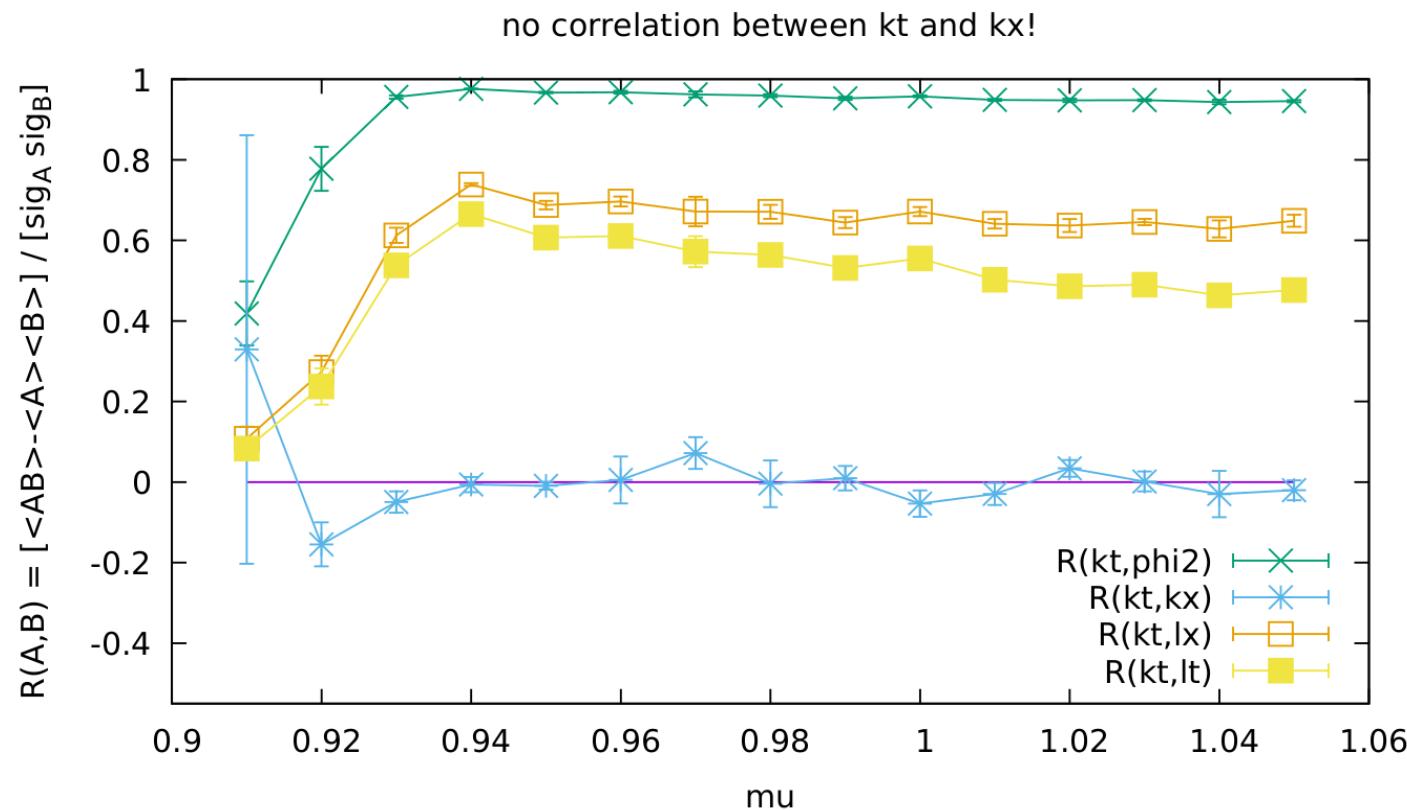


$$n = \frac{1}{N_x N_t a} \sum_n k_t(n)$$

$$\mu_{th}(\langle P_{cond} \rangle > 0) \sim \mu_{th}(\langle n \rangle > 0)$$

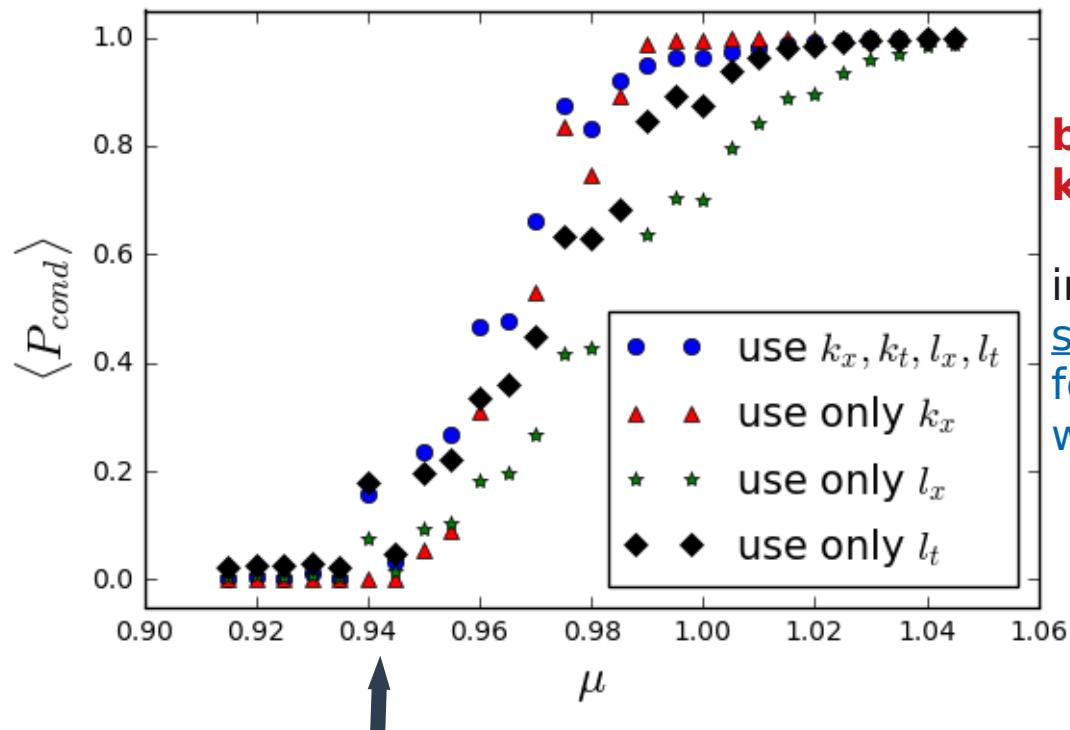
# Discard kt information

**There's finite correlation between kt and  $l$  variable, But, no correlation bet. kt and kx**



# Discard kt information

The same transition point, even use only kx!

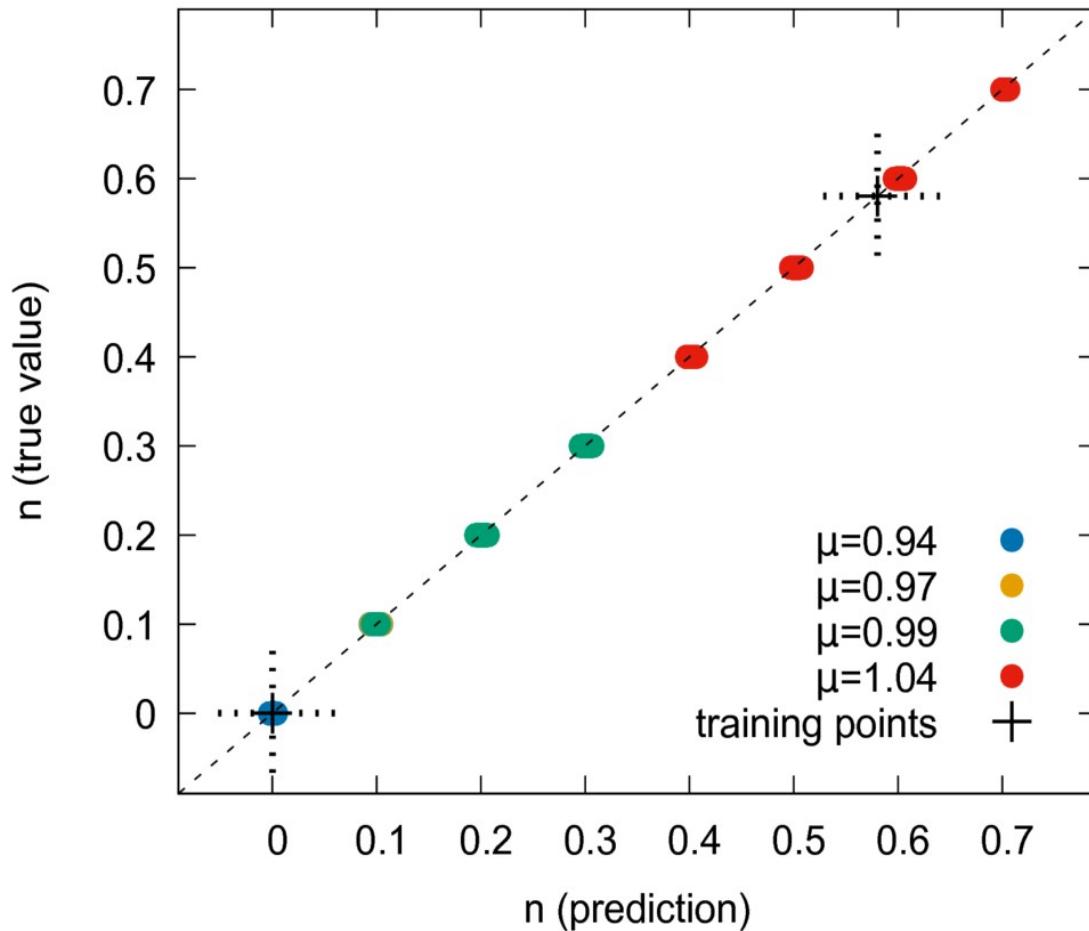


beyond conventional knowledge :  
indicating hidden structures in  $k_x$  variables  
for number density  $n$ ,  
which is not only in  $kt$ .

$$\mu_{th}(\langle P_{cond} \rangle > 0) \sim \mu_{th}(\langle n \rangle > 0)$$

# regression for particle density $n$

**Note, for training, only used**  $\mu = 0.91$  and  $\mu = 1.05$

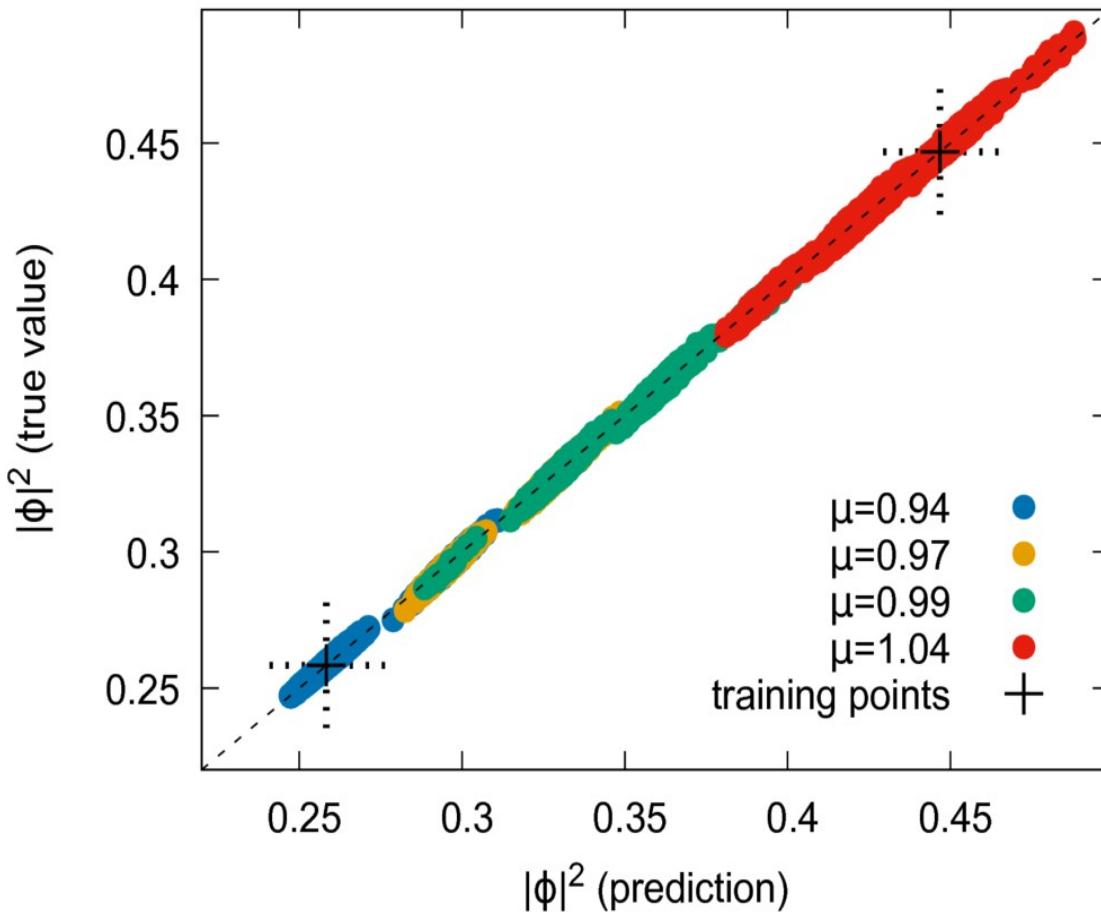


$$n = \frac{1}{N_x N_t a} \sum_n k_t(n)$$

$$RMSE < 0.003$$

# regression for squared field $|\phi|^2$

**Note, for training, only used**  $\mu = 0.91$  and  $\mu = 1.05$



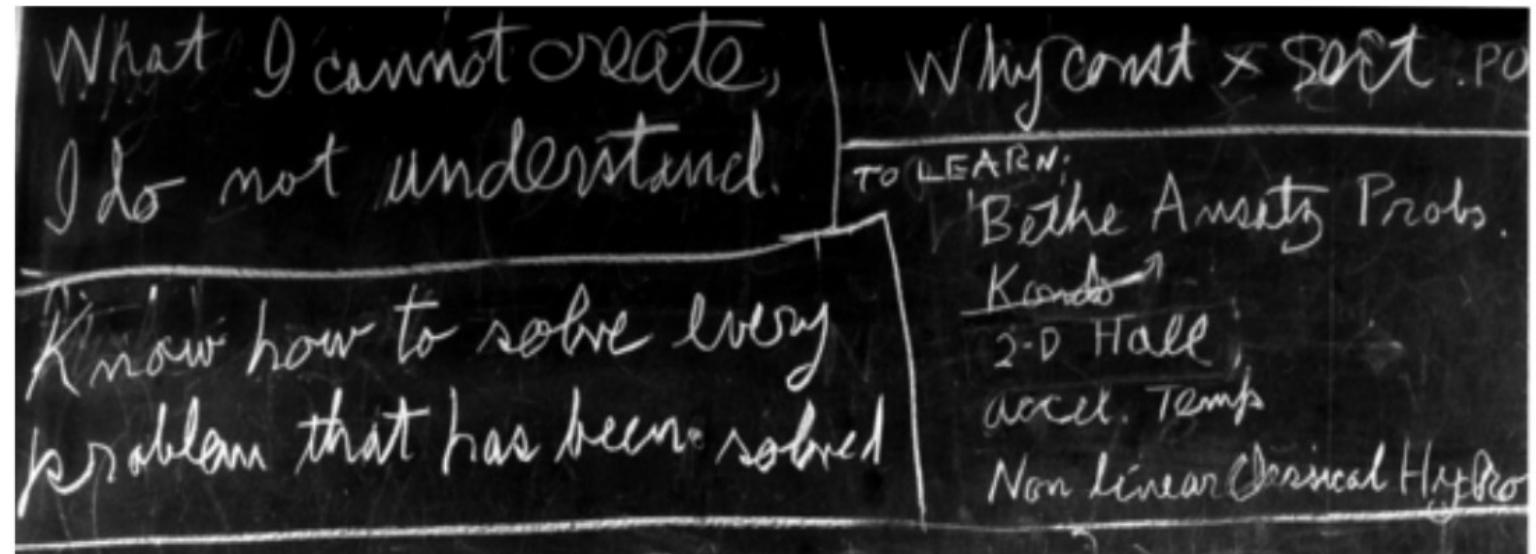
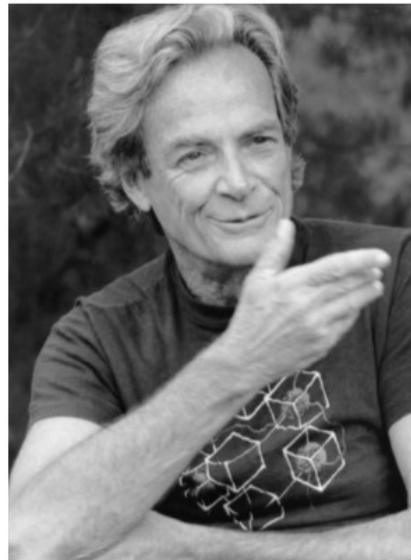
$$|\phi|^2 = \frac{1}{N_x N_t} \sum_n \frac{W[s(n) + 2]}{W[s(n)]}$$

$$W[s(n)] = \int_0^\infty dr r^{s(n)+1} e^{-(4+m^2)r^2 - \lambda r^4}$$

$$\begin{aligned} s(n) = & \sum_{\nu} [ |k_{\nu}(n)| + |k_{\nu}(n - \hat{\nu})| \\ & + 2(\ell_{\nu}(n) + \ell_{\nu}(n - \hat{\nu})) ] \end{aligned}$$

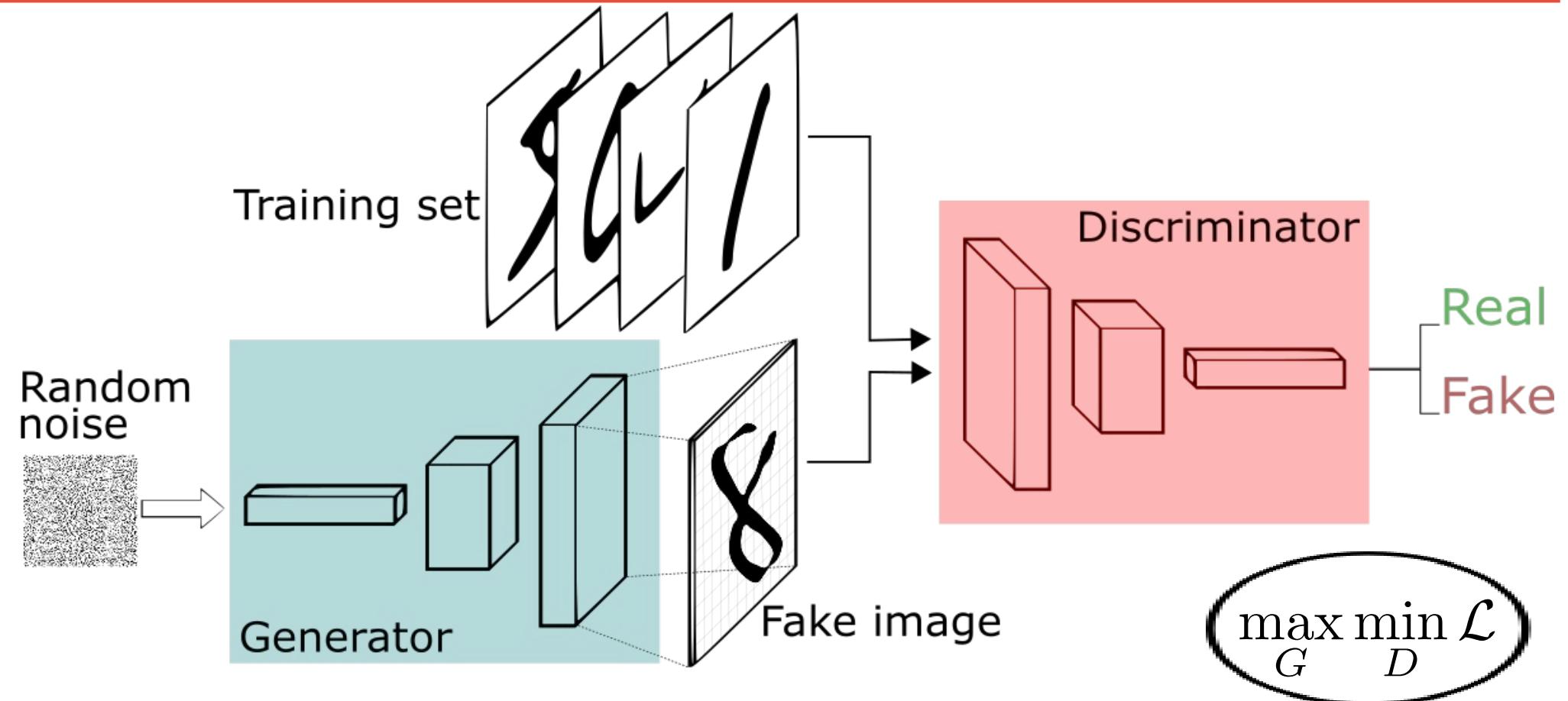
$$RMSE < 0.005$$

# Generate new ones



“What I can not create, I do not understand”

# Generative Adversarial Network



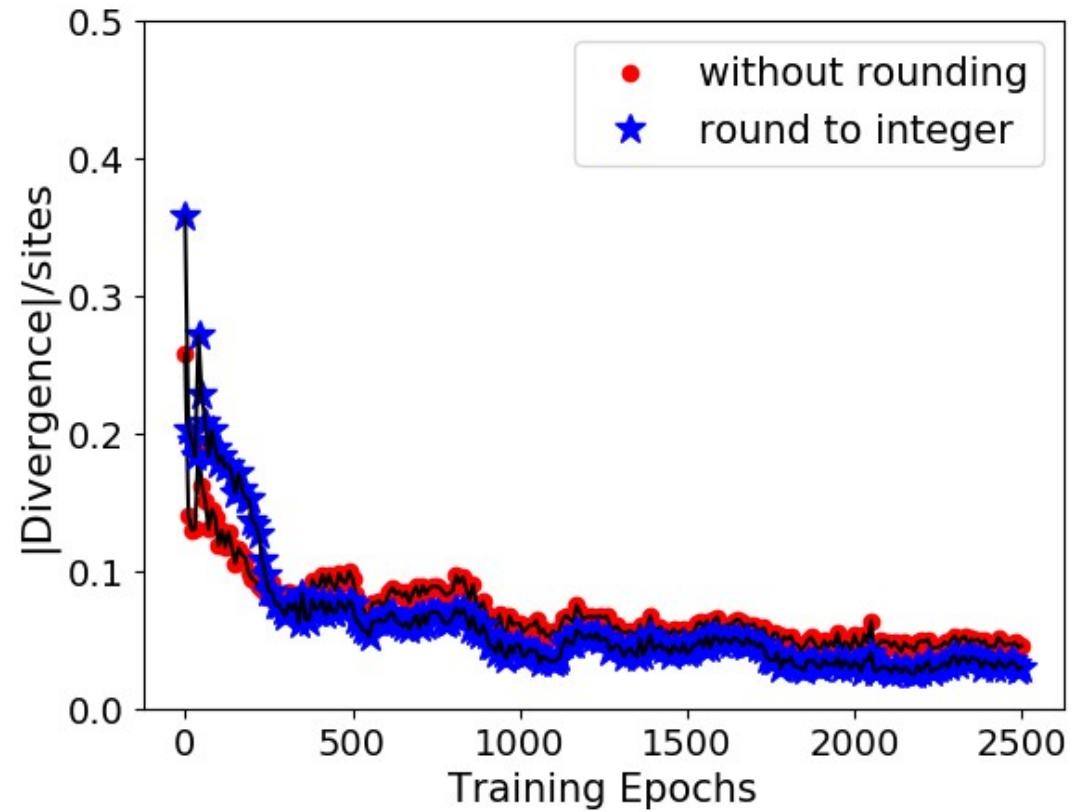
$$\mathcal{L} = -\mathbb{E}_{\hat{x} \sim p_r(\hat{x})}[\log(D(\hat{x}))] - \mathbb{E}_{z \sim p(z)}[\log(1 - D(G(z)))]$$

# GAN - generate proper configurations

The divergence condition get learned automatically:

'Physical' configs  
can be generated

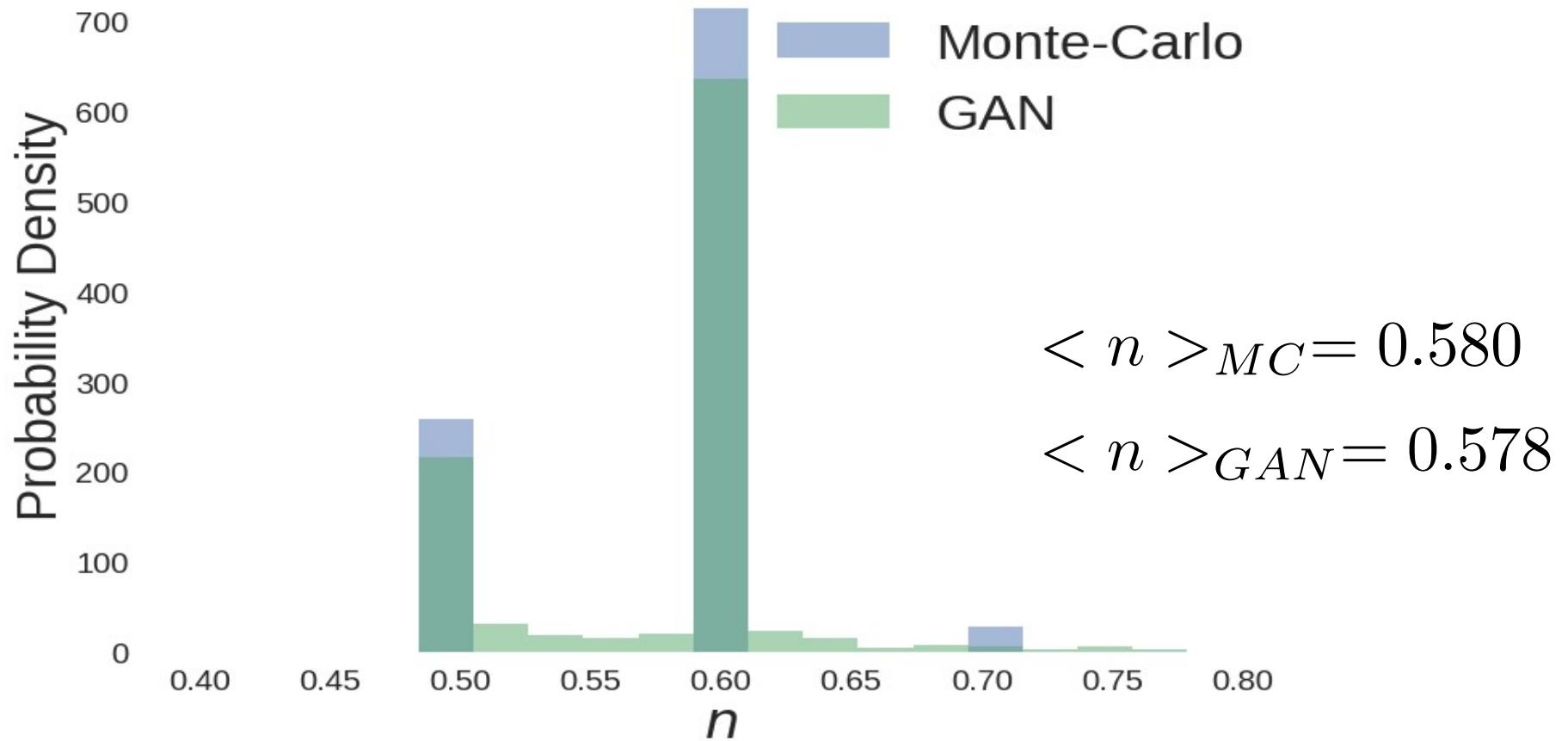
$$\nabla \cdot k(n) = \sum_{\nu} [k_{\nu}(n) - k_{\nu}(n - \hat{\nu})] = 0$$



Automatically capture the implicit physical constraint!

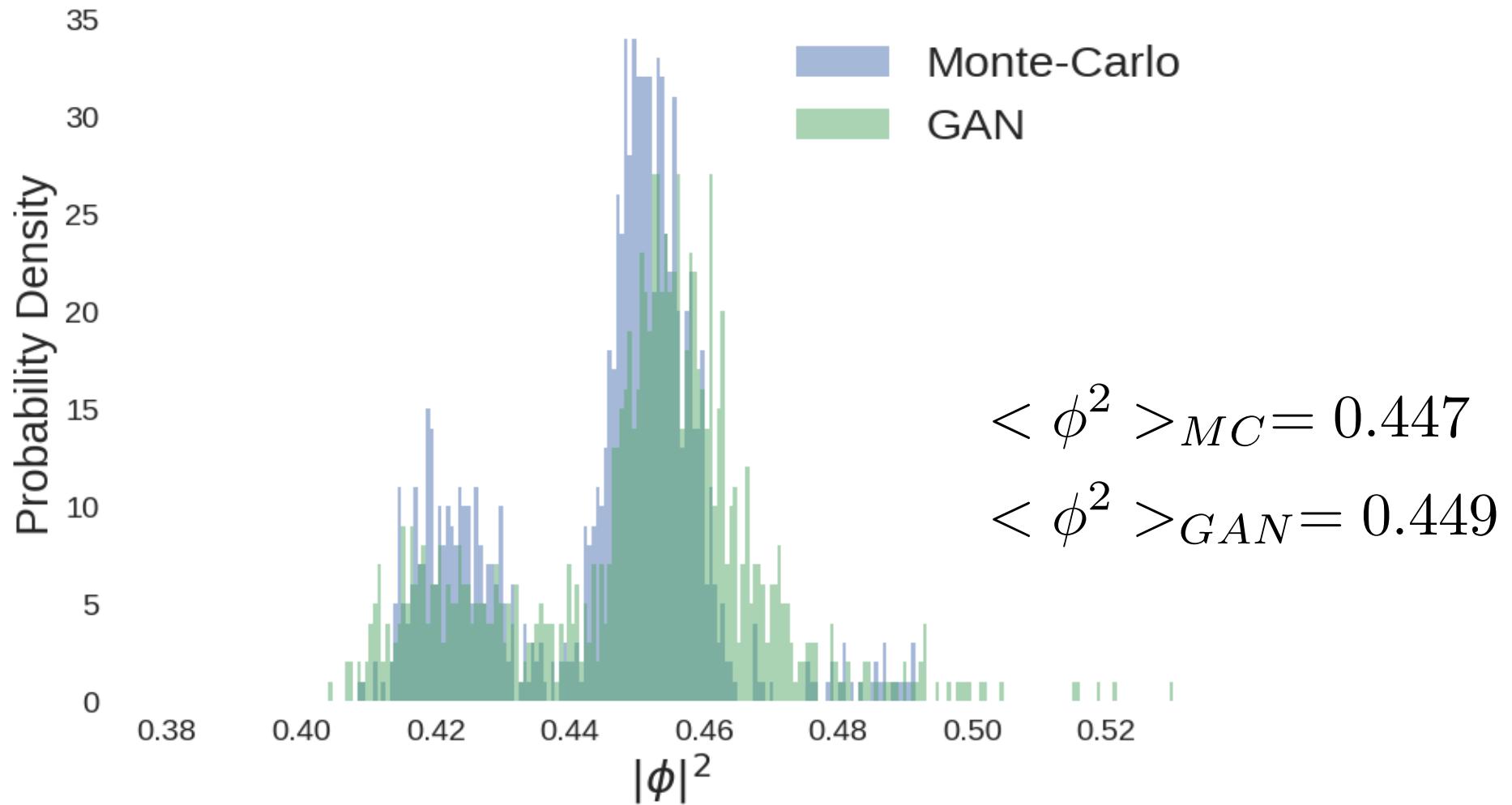
# Fine tune GAN - distribution for n

Number density n distribution with 1k configs (after 6k training epochs):



# Fine tune GAN - distribution for field $|\phi|^2$

Squared field distribution with 1k configs (after 6k training epochs):

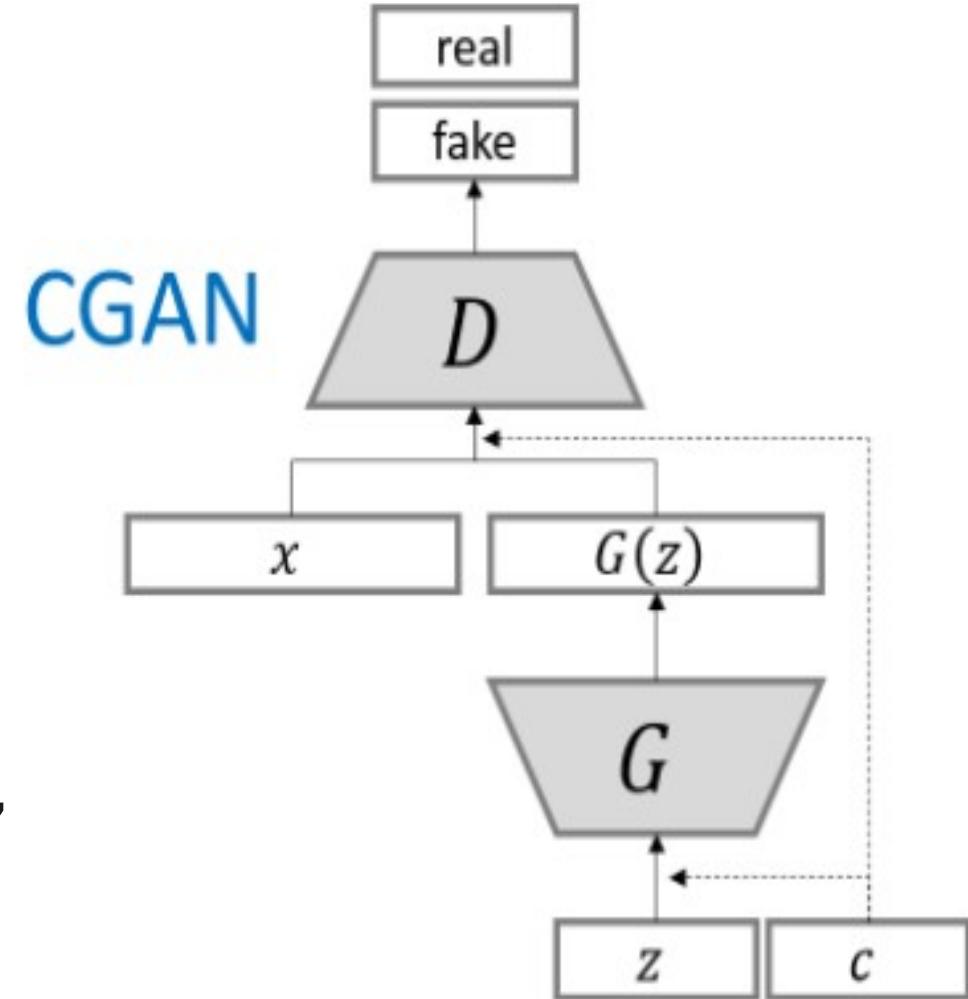


# add conditional information of n

make GAN conditional on particle density n :

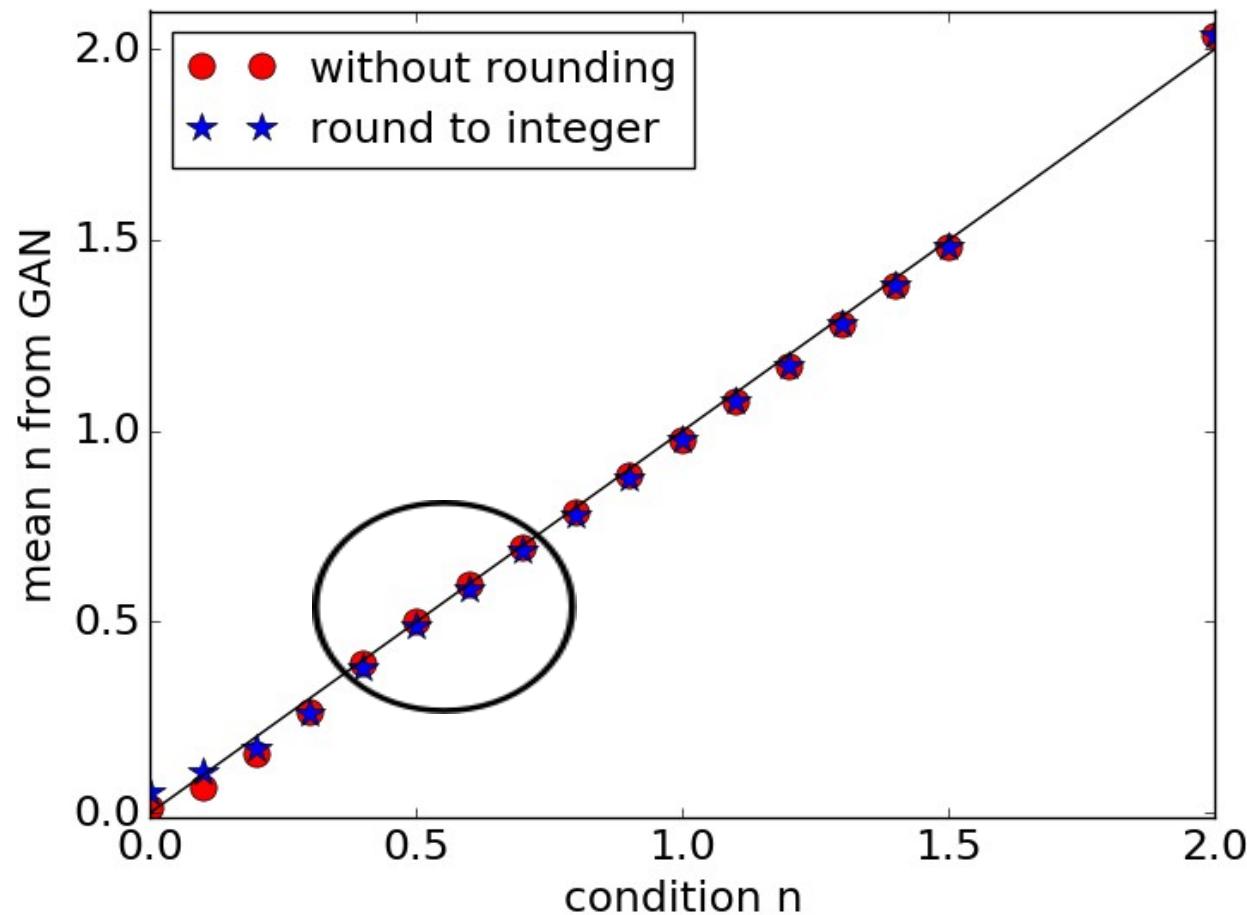
We train GAN using one ensemble with  $\mu = 1.05$  labeled as well with n (including n=0.4, 0.5, 0.6, 0.7),

Once trained, in generating stage,  
We specify different n values.



# Conditional GAN - control n

**mean value for n and squared field of generated ensemble is controlled by condition in c-GAN.**

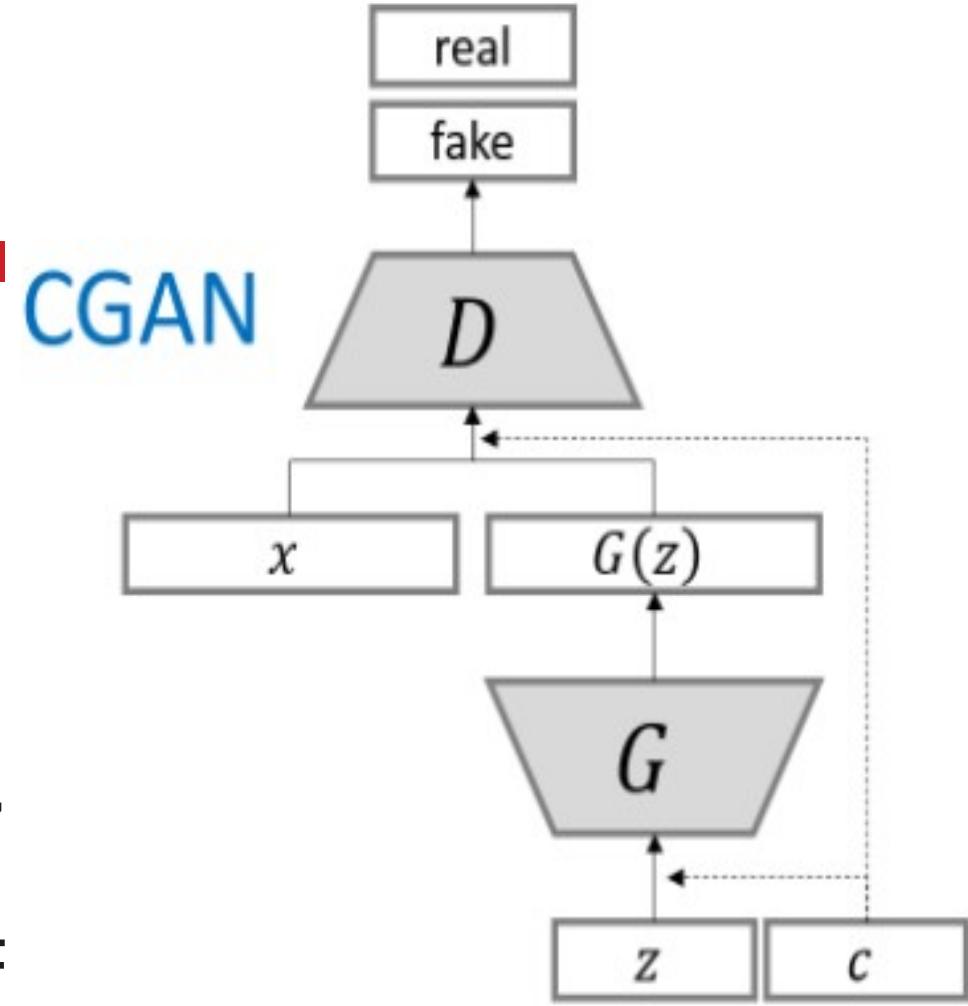


# add conditional information of mu

make GAN conditional on chemical potential mu :

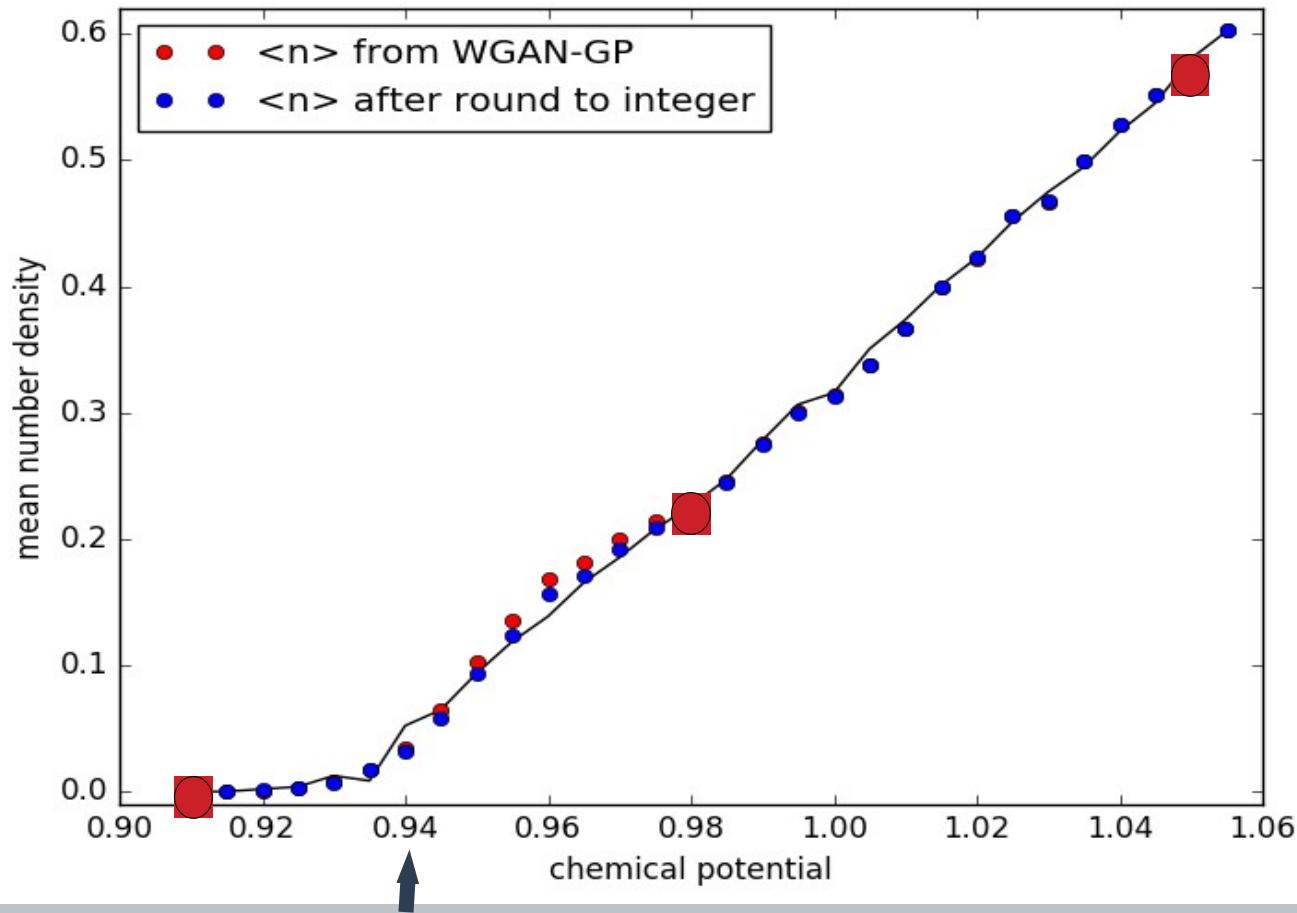
We train GAN using 3 ensembles with  $\mu = 0.91, 0.98, 1.05$  labeled with corresponding mu for each configuration

Once trained, in generating stage, We specify different chemical potentials : generalize to different parameter range!



# Conditional GAN - interpolate mu

phase diagram generated by c-GAN on mu with limited ensemble of training set:



Got the partition sum:

how much can we reconstruct the partition information from several Monte-Carlo generated ensembles of configs ?

Well phase diagram

Well transition point

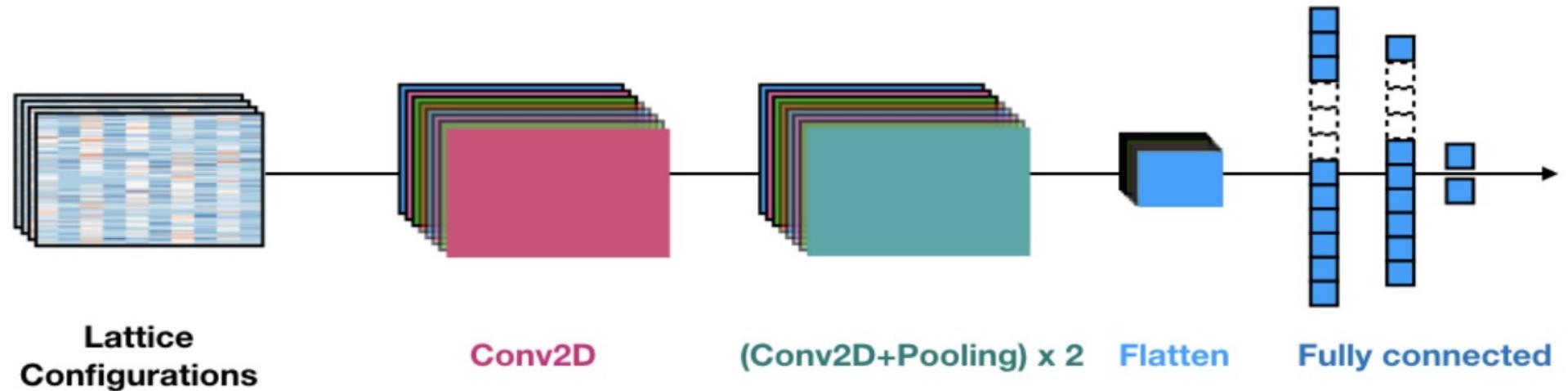
# Summary

- (1) Classification : pin down phase 'transition' point  
(identify order parameter)
- (2) Regression : learn physical observable (**non-linear regression**)  
(identify thermodynamics)
- (3) GAN(generative): use **GAN** to **generate** new physical configuration  
Capture/reproduce data distribution ( **use for storage** )  
limited grand canonical --> canonical ensemble  
limited configs. --> explore phase diagram  
(identify partition function)

**Needs more exploration !**

# *Thanks!*

# DCNN Architecture - Classification

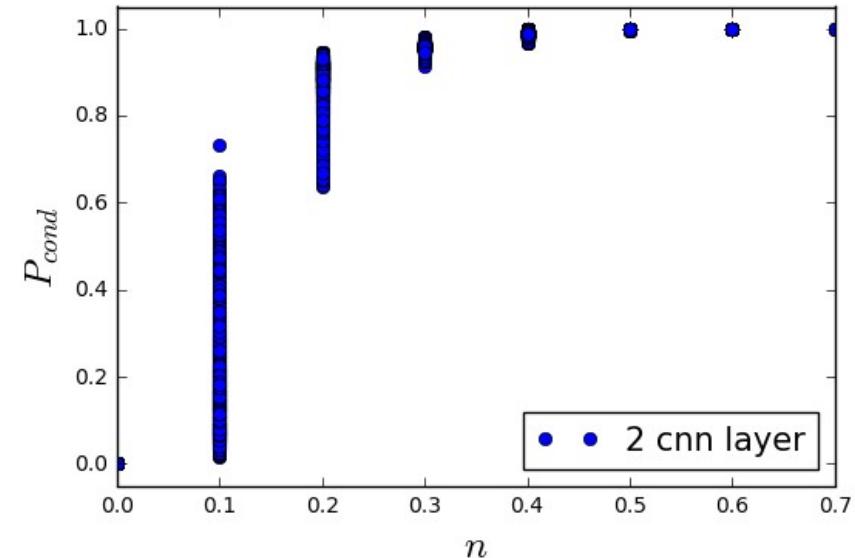
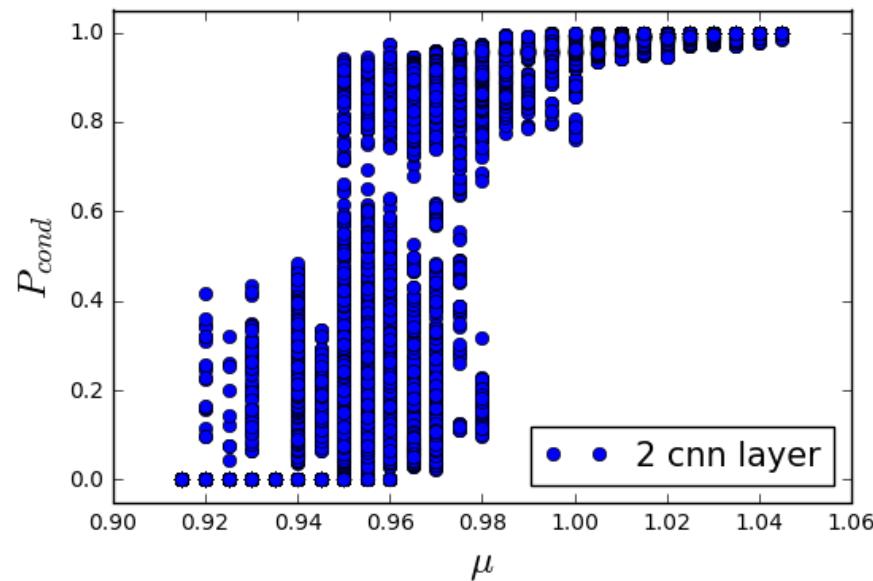


$$\mathcal{L} = -\frac{1}{N} \sum_{i=1}^N [y_i \log \hat{y}_i + (1 - y_i) \log(1 - \hat{y}_i)] + \lambda \|\theta\|_2^2$$

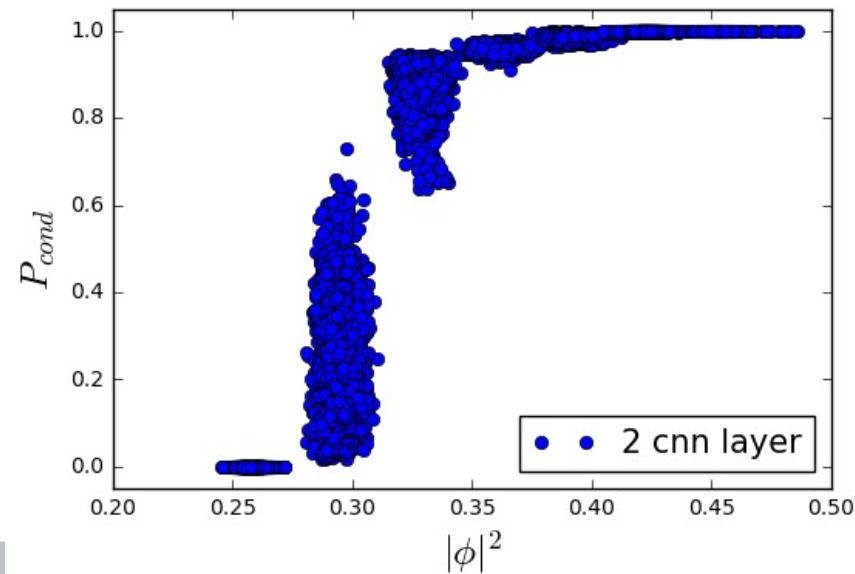
$$\alpha_{lr} = 0.0001$$

*AdaMax optimization scheme*

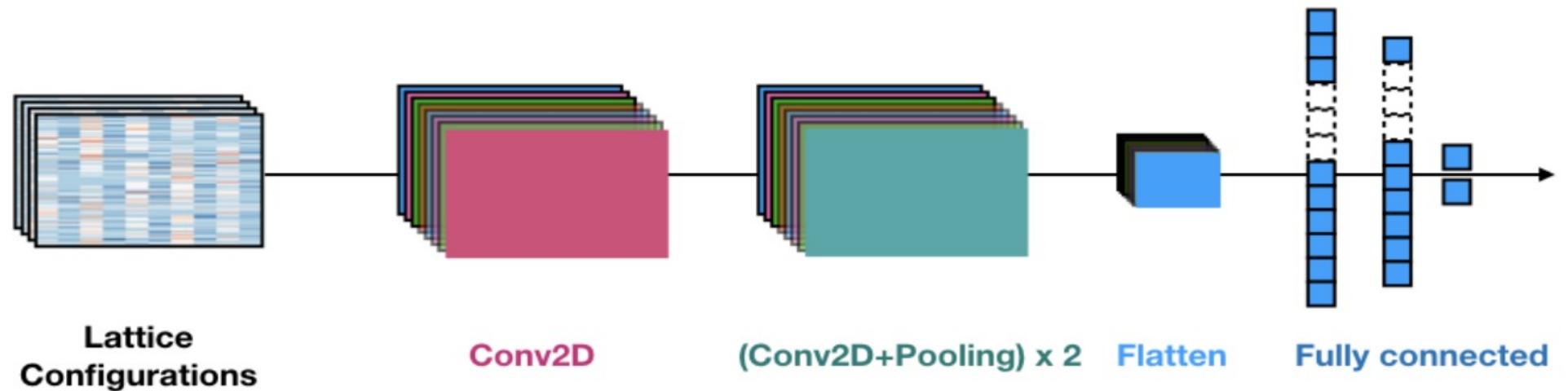
# Condensation probability from DCNN



**Deleting one CNN layer  
off gives *slightly worse*  
distinguish ability**



# DCNN Architecture - Regression



$$\mathcal{L} = -\frac{1}{2N} \sum_{i=1}^N (y_i - \hat{y}_i)^2 + \lambda \|\theta\|_2^2$$

Training set :  $\mu = 0.91$  and  $\mu = 1.05$

# GAN - distribution

## Zero-sum game - Nash equilibrium

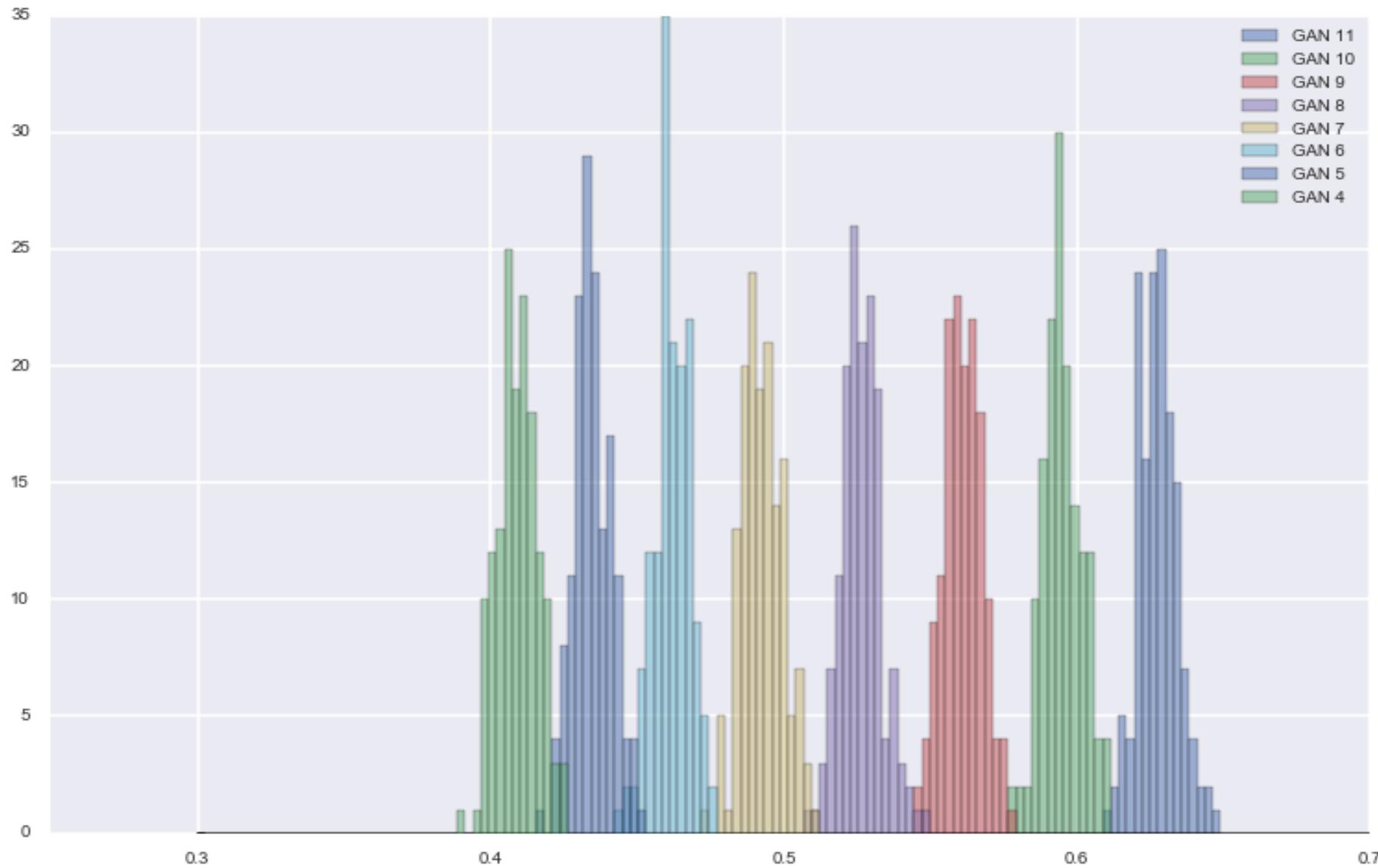
$$G^* = \arg \min_G \max_D (-\mathcal{L}_D(G, D))$$

$$\mathcal{L}_D = -\mathbb{E}_{\hat{x} \sim p_r(\hat{x})} [\log(D(\hat{x}))] - \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))]$$

$$\mathcal{L}_G = \mathbb{E}_{z \sim p(z)} [\log(1 - D(G(z)))]$$

$$D^*(\hat{x}) = \frac{p_r(\hat{x})}{p_r(\hat{x}) + p_g(\hat{x})}$$

# GAN - distribution



# GAN - distribution

