

Network NA7-HF-QGP

HFHF Theory Retreat 2023

Small systems in EPOS4

(pp scattering at LHC energies)

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Some history

Before QCD

- Gribov-Regge (GR) approach, for pp, pA, AA**
V. A. Abramovsky, V. N. Gribov, O. V. Kancheli, L. N. Lipatov (1967-1973)
- S-matrix theory, parallel scattering scheme**
- Exchanged “objects” are called Pomerons**
- AGK theorem ($\sigma_{\text{incl}}^{AB} = AB \times \sigma_{\text{incl}}^{\text{single Pom}}$)**
- Infinite energy limit**
(problematic...)
- Still used (Glauber MC ...)**
not necessarily correctly

Perturbative QCD for pp

- **Asymptotic freedom**
D. Gross, F. Wilczek, H. Politzer (1973)
- **DGLAP (linear) evolution**
V. N. Gribov, L. N. Lipatov (1973)
G. Altarelli, G. Parisi (1977), Y. L. Dokshitzer (1977)
- **Factorization** J. Collins, D. Soper, G. Sterman (1989)
- **Covers only a small fraction of events**
High multiplicity events are not covered

Saturation (CGC, low-x physics,...)

- **Nonlinear evolution**
L. V. Gribov, E. M. Levin, and M. G. Ryskin (1984)
L. D. McLerran and R. Venugopalan (1994), Y. V. Kovchegov (1996), ...

An attempt to couple GR and pQCD

- **NEXUS model, earlier EPOS versions**

Drescher, H. J. and Hladik, M. and Ostapchenko, S. and Pierog, T. and Werner, K. (2001)

- **Using: Pomeron = QCD parton ladder**

- **With energy sharing!
crucial for MC applications**

- **Problem: violates AGK (and binary scaling)**

- **Solution: take into account saturation
in a very particular way (EPOS4)**

EPOS4

- **Oct. 2022 EPOS4.0.0 release** (no “official” EPOS3 release)
<https://klaus.pages.in2p3.fr/epos4/>
thanks Laurent Aphecetche for explaining gitlab pages, nextjs etc
thanks Damien Vintache for managing installation/technical issues

- **Papers** (<https://klaus.pages.in2p3.fr/epos4/physics/papers>)
 - ▷ <https://arxiv.org/pdf/2301.12517.pdf> (EPOS4 Overview)
 - ▷ <https://arxiv.org/pdf/2306.02396.pdf> (pQCD in EPOS4)
 - ▷ <https://arxiv.org/pdf/2306.10277.pdf>
(Microcanonical hadronization, core-corona in EPOS4)

 - ▷ **very soon: Parallel scattering, saturation, and generalized AGK theorem**
(44 pages, systematic and complete presentation of the theoretical basis,
combining S-matrix theory, pQCD, saturation,
many proven statements)

□ EPOS4 general structure

- ▷ **Primary scatterings (at $t = 0$)**
parallel scattering approach based on S-matrix theory

- ▷ **Secondary scatterings (at $t > 0$)**
 - core-corona procedure,
 - hydro evolution,
 - microcanonical decay,
 - hadronic rescattering

Possible at “high energies” (large gamma factors).

EPOS4 S-matrix approach (for parallel scatterings!!!)

Very compact summary (details: arXiv:2301.12517)

- Connecting S-matrix approach (parallel scattering) and pQCD:

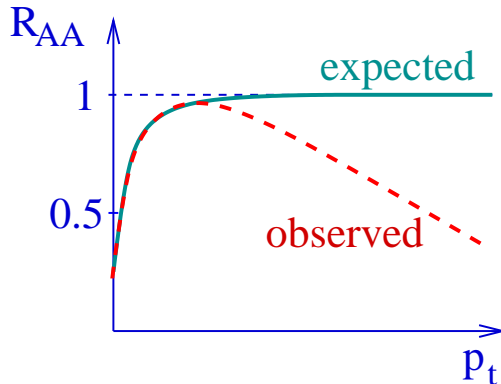
assume: Pomeron = pQCD parton ladder

A major problem

Popular observable:
nuclear modification factor

$$R_{AA} = AA / (N_{coll} \times pp)$$

- should be unity for hard probes w/o final state interactions or in pA



The problem is the energy sharing among Pomerons.

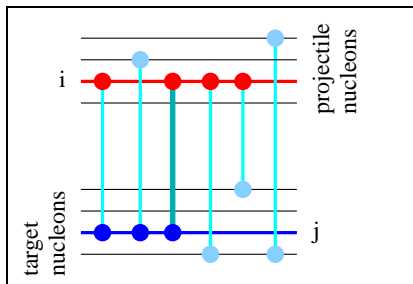
For a given Pomeron, connecting
projectile nucleon i and
target nucleon j

define:

$$N_{\text{conn}} = \frac{N_P + N_T}{2}$$

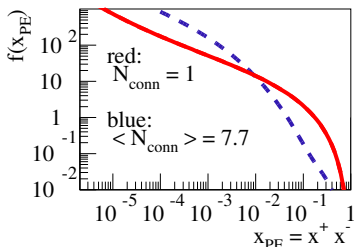
N_P = number of Pomerons connected to i

N_T = number of Pomerons connected to j



**Crucial variables: the Pomeron's light-cone momentum
fractions x^+ and x^-**

distributions $f(x^+, x^-)$ determine p_t distributions of partons



$$R_{\text{deform}} = \frac{f(N_{\text{conn}})(x^+, x^-)}{f(1)(x^+, x^-)}$$

**We are able to parameterize the
"deformation"**

How to connect S-matrix approach and pQCD?

- To be quantitative: we use (always) “ G ” to be the imaginary part of the T-matrix in impact parameter representation divided by $s/2$ (Mandelstam s)
- We need to relate
 - ▷ G_{Pom} (single Pomeron expression in S-matrix approach) to
 - ▷ G_{QCD} (parton-parton scattering, calculable in pQCD)
- We know: $G_{\text{Pom}} = G_{\text{QCD}}$ does not work
- In the following, for simplicity, use $G = G_{\text{Pom}}$

Better than simply $G = G_{\text{QCD}}$:

$$G(x^+, x^-, s, b) = \frac{1}{R_{\text{deform}}^{(N_{\text{conn}})}(x^+, x^-)} \times n \times G_{\text{QCD}}(Q_{\text{sat}}^2, x^+, x^-, s, b)$$

G does not depend on N_{conn} , but Q_{sat}^2 depends on $x^+, x^-, N_{\text{conn}}$
(n is a normalization constant)

which perfectly solves the R_{AA} problem; we recover binary scaling (generalized Abramovskii Gribov Kancheli theorem).

For large N_{conn} , low pt is suppressed, the Pomeron gets “hard”.

We need to combine in a particular way parallel scattering, energy sharing, saturation, and pQCD to get a formalism free of contradictions!

Now we can do high pt physics, as “factorization models”, but we can do much more...

EPOS4: From Pomerons to prehadrons

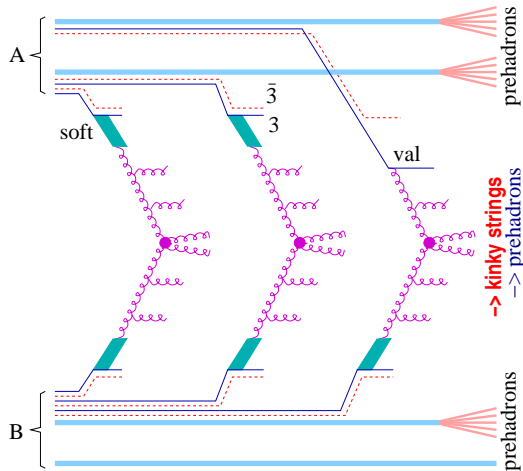
Very compact summary (details: arXiv:2306.02396)

From multiple Pomeron configurations, after making the link with pQCD, we get
partonic configurations

=> color flow diagrams
 => parton chains
 => kinky strings
 => prehadrons

also: remnants
 => prehadrons

At the end: many prehadrons



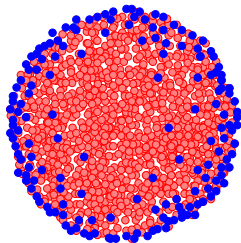
EPOS4: Core-corona separation

(details:
arXiv:2306.10277)

We consider all prehadrons (at given τ)

For each one, we estimate its energy loss if it would move out of this system

- If the energy loss is bigger than the energy of the prehadron, it is considered to be a “core prehadron”
- If the energy loss is smaller than the energy, the prehadron escapes, it is called “corona prehadron”



The core prehadrons constitute “bulk matter” and will be treated via hydrodynamics

The corona prehadrons become simply hadrons and propagate with reduced energy

The prehadron yield as a function of space-time rapidity,

for different Pomeron numbers in proton-proton collisions at 7 TeV.

prehadrons:

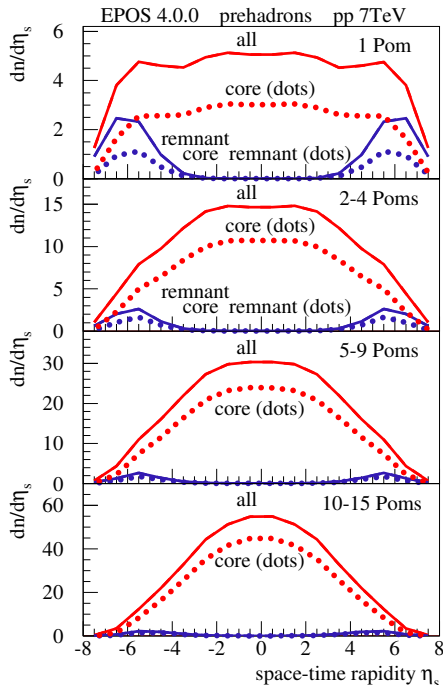
all (red full),

core (red dotted)

remnant (blue full)

core remnant (blue dotted)

For core: compute $T^{\mu\nu}$ and flavor flow vector, then hydro evolution.



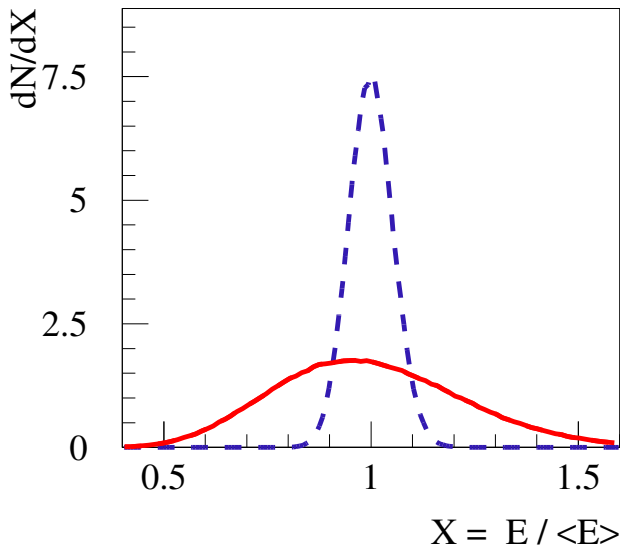
Microcanonical hadronization of plasma droplets

(see arXiv:2306.10277)

- Real hadronization (not transition fluid-particles)**
(sudden statistical decay)
- Energy and flavor conservation**
(important for small systems)
- Extremely fast**
(major technical improvements in EPOS4)

Grand canonical decay, $T = 130 \text{ MeV}$, $f_k = \frac{g_k V}{(2\pi\hbar)^3} \exp\left(-\frac{E_k}{T}\right)$

$V=50 \text{ fm}^3$; $V=1000 \text{ fm}^3$



Microcanonic decay

of given volume in its CMS into n hadrons

$$dP = C_{\text{vol}} C_{\text{deg}} C_{\text{ident}} \times \delta(E - \Sigma E_i) \delta(\Sigma \vec{p}_i) \prod_A \delta_{Q_A, \Sigma q_{Ai}} \prod_{i=1}^n d^3 p_i$$

$$C_{\text{vol}} = \frac{V^n}{(2\pi\hbar)^{3n}}, \quad C_{\text{deg}} = \prod_{i=1}^n g_i, \quad C_{\text{ident}} = \prod_{\alpha \in \mathcal{S}} \frac{1}{n_\alpha!}$$

(n_α is the number of particles of species α , \mathcal{S} is the set of particle species)

Different from decay rate of a massive particle (using LIPS), where asymptotic states are defined over an infinitely large volume

(see Becattini et al, EPJC35:243-258,2004). But $E_i = \sqrt{p_i^2 + m_i^2}$

Microcanonical decay

$$dP \propto d\Phi_{\text{NRPS}} = \delta(M - \Sigma E_i) \delta(\Sigma \vec{p}_i) \prod_{i=1}^n d^3 p_i$$

- Hagedorn 1958 methods to compute Φ_{NRPS}
- Lorentz invariant phase space (LIPS) (James 1968)
- Hagedorn methods used for decaying QGP droplets (Werner, Aichelin, 1994, Becattini 2003)
- 2012 (Bignamini, Becattini, Piccinini) compute Φ_{NRPS} via the Lorentz invariant phase space (LIPS)
- **NEW (EPOS4) 2022:**
 - ▷ **Much improved Hagedorn integral method, made very efficient at large n**
 - ▷ **use LIPS method only for small n, (gets time consuming at large n)**

Grand canonical limit

For very large M we should recover the “grand canonical limit” for single particle spectra:

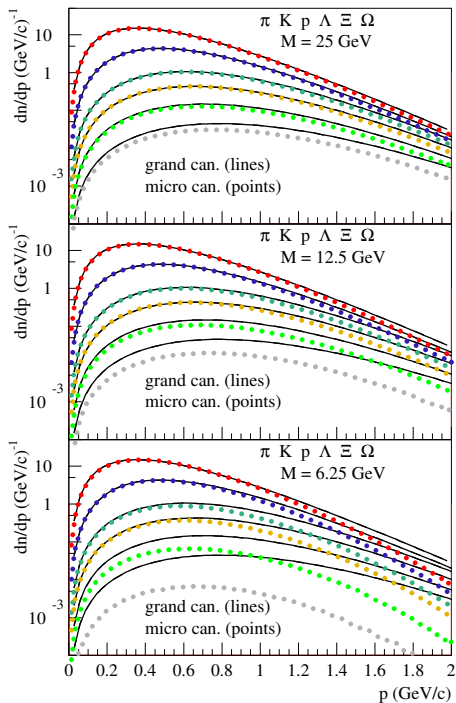
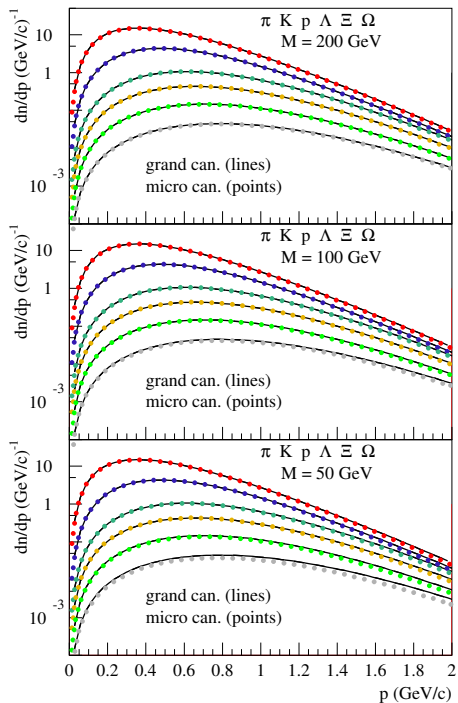
$$f_k = \frac{g_k V}{(2\pi\hbar)^3} \exp\left(-\frac{E_k}{T}\right),$$

The average energy is

$$\bar{E} = \sum_k \frac{4\pi g_k V}{(2\pi\hbar)^3} m_k^2 T \left(3TK_2\left(\frac{m_k}{T}\right) + m_k K_1\left(\frac{m_k}{T}\right) \right).$$

The microcanonical decay of an object of mass M and volume V should converge (for $M \rightarrow \infty$) to the GC single particle spectra

with T obtained from $M = \bar{E}$. $T = 167$ MeV in the following



Hadronization on hyper-surface

Hypersurface element:

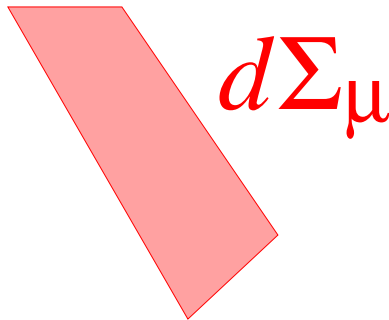
$$d\Sigma_\mu = \varepsilon_{\mu\nu\kappa\lambda} \frac{\partial x^\nu}{\partial \tau} \frac{\partial x^\kappa}{\partial \varphi} \frac{\partial x^\lambda}{\partial \eta} d\tau d\varphi d\eta$$

Surface:

$$\begin{aligned}x^0 &= \tau \cosh \eta, & x^1 &= r \cos \varphi, \\x^2 &= r \sin \varphi, & x^3 &= \tau \sinh \eta\end{aligned}$$

with $r = r(\tau, \varphi, \eta)$,
representing the

FO condition $\varepsilon = \varepsilon_{\text{FO}}$

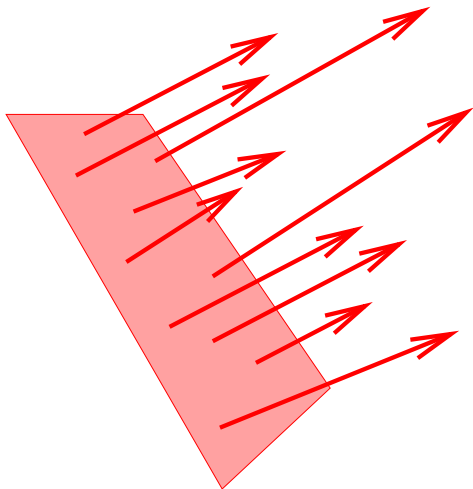


GC particle production via Cooper-Frye

$$E \frac{dn}{d^3p} = \int d\Sigma_\mu p^\mu f(up),$$

assuming a thermalized
resonance gas

(adding δf for viscous hydro)



Our approach:

Flow of momentum vector dP^μ and conserved charges dQ_A through the surface element:

$$dP^\mu = T^{\mu\nu} d\Sigma_\nu,$$

$$dQ_A = J_A^\nu d\Sigma_\nu.$$

(with $A \in \{C, B, S\}$,
corresponding
electric charge,
baryon number
and strangeness)



Construct an **effective mass** by summing surface elements:

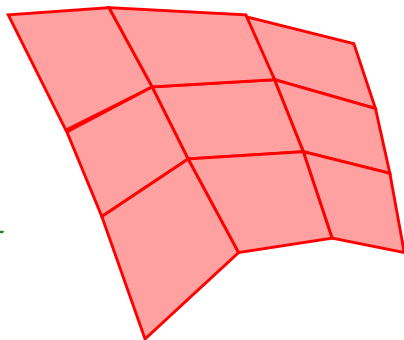
$$M = \int_{\text{surface area}} dM,$$

with

$$dM = \sqrt{dP^\mu dP_\mu},$$

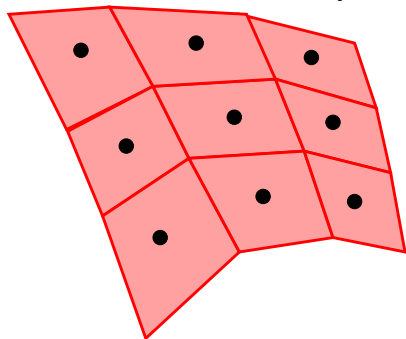
knowing for each element four-velocity

$$U^\mu = dP^\mu/dM,$$



The four-velocity U^μ is NOT equal to the fluid velocity u^μ !

The effective mass decays microcanonically



Particles are distributed on the hyper-surface

$$x^\mu(\tau, \varphi, \eta)$$

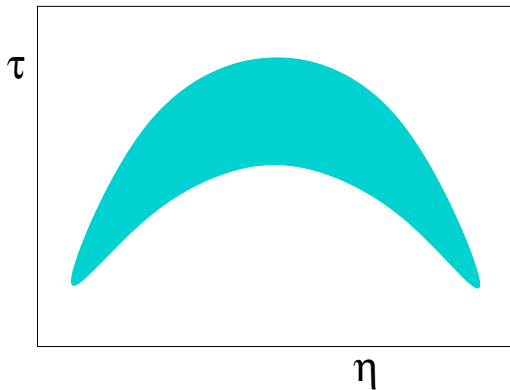
according to the distribution

$$dM(\tau, \varphi, \eta)$$

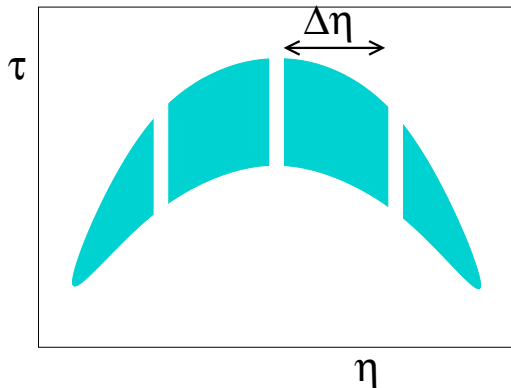
and they are boosted according to the four-velocity

$$U^\mu(\tau, \varphi, \eta)$$

Decaying object extended in space-time



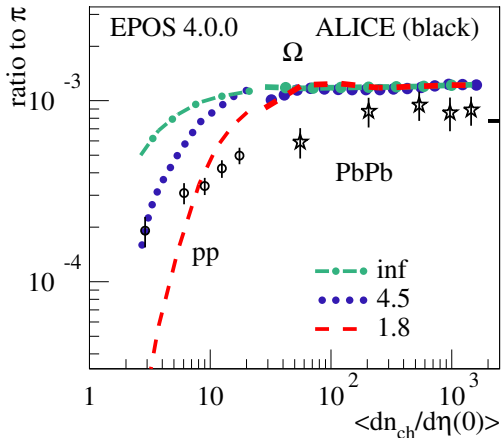
Does it decay as single effective mass M ?



... or as several independent objects of width $\Delta\eta$

We will try several choices of $\Delta\eta$

Omega to pion ratio (pure core)



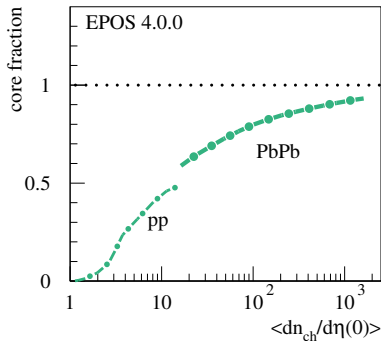
**different choices
of $\Delta\eta$**

$\Delta\eta = \infty$: drops
slightly

$\Delta\eta = 1.8$: drops
quickly around
 $dn/d\eta = 10$

Full EPOS4, core + corona, hydro, microcanonical decay: checking multiplicity dependencies

Core fraction



Core: microcanonical
NEW FO concept
NEW numerical methods
used for pp and AA

Microcanonical core alone does not work!

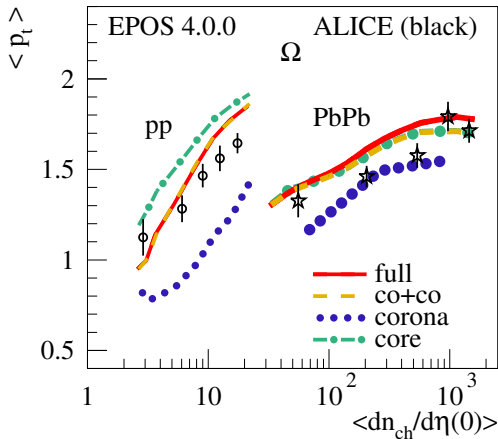
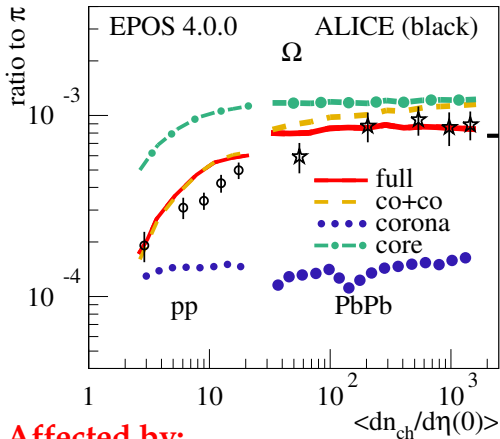
Check
 in the following

- hadron to pion ratios
- mean pt

versus multiplicity
in core-corona approach

continuous curve

jump



Affected by:

core-corona

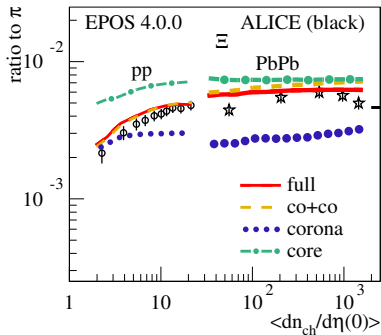
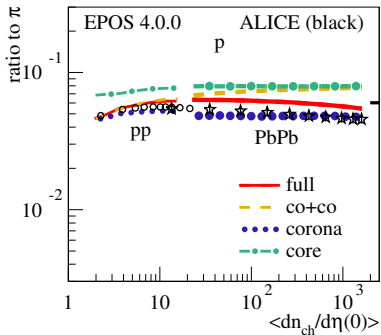
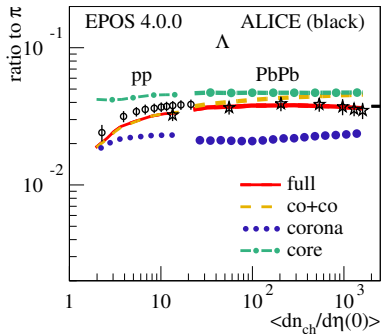
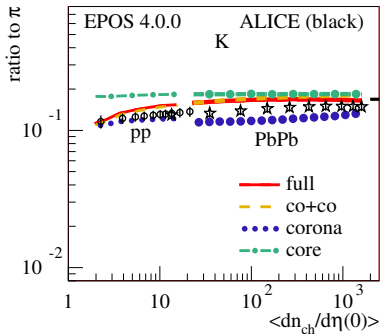
microcanonical

hadronic cascade (UrQMD)

saturation

flow

core-corona



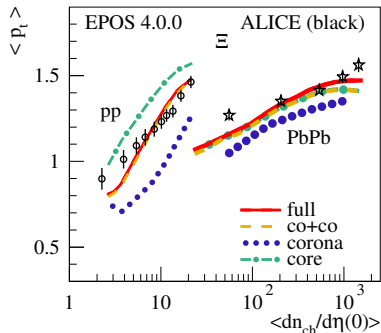
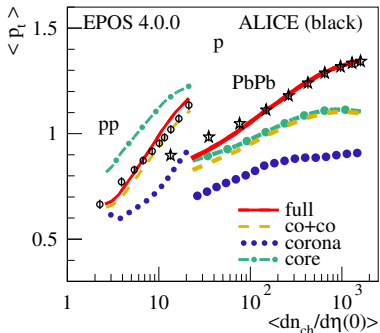
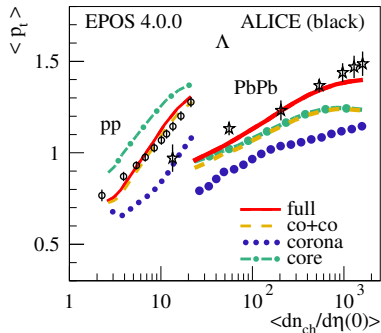
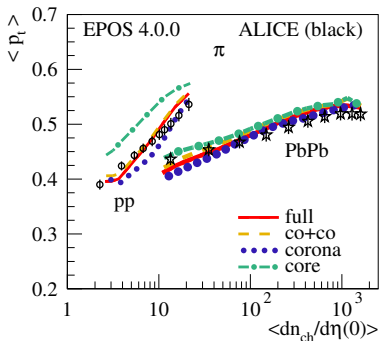
continuous curves

Affected by:

core-corona

microcanonical

hadronic cascade



discontinuities

curves
affected by:

saturation

flow

core-corona

hadronic
cascade

Multiplicity dependence of charm production

saturation and "hydro" effect

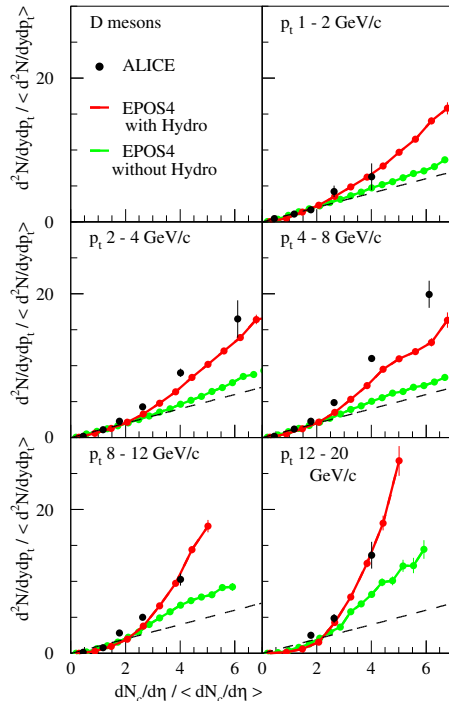
pp 7TeV

Self-normalized
D meson multiplicity

for different transverse
momentum ranges

versus self-normalized
charged particle multiplicity,

compared to ALICE data



To summarize:

- **EPOS4 (primary interactions) combines in a particular way**
(finally free of contradictions)
 - ▷ **S-matrix approach with energy sharing**
 - ▷ **and pQCD** (specifying the Pomeron in terms of pQCD)
 - ▷ **by introducing saturation**
- **Secondary interactions** (using prehadrons from primary interactions)
 - ▷ **core-corona, hydro evolution**
 - ▷ **microcanonical decay** (major improvements)
- **Multiplicity dependence of ratios and mean p_t for pp and PbPb on the same plot: roughly OK**
 - ▷ **Continuous curves for ratios**
 - ▷ **discontinuities for means p_t**