

the On-Shell Effective Field Theory and the Chiral Kinetic Equation



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Motivation: HTLs and Kinetic Theory

Hard thermal loops

- Perturbative QED (and QCD) plasma at finite T
- Soft photons, with momenta $k \sim eT$
- 1-loop polarization function (HTLs), same order as bare inverse propagator, $i\Pi_{\mu\nu} \sim e^2 T^2$

$$i\Pi^{\mu\nu}(k) =$$

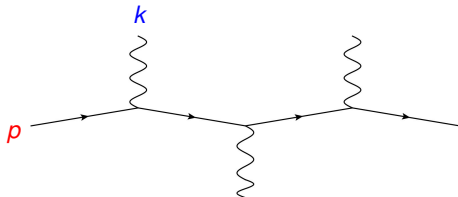
- Dominated by hard fermion modes $p \sim T$ in the loop

HTL effective action of QED

$$\mathcal{S} = -\frac{m_D^2}{4} \int_{x,v} F_{\alpha\mu} \frac{v^\alpha v^\beta}{(v \cdot \partial)^2} F_\beta^\mu, \quad m_D^2 = e^2 T^2/3$$

(Braaten, Pisarski 1990) (Frenkel, Taylor 1990)(...)

- Alternative approach: *kinetic theory for fermions (w/o collisions)*
- On-shell fermion momentum p sets the **hard scale**
- **Soft momenta** k due to interactions with gauge fields
- Separation of scales $p \gg k$



At high T the kinetic theory for hard fermions $p \sim T$ and soft gauge fields $k \sim gT$ leads to the HTL effective action
(Blaizot, Iancu 1994)(Kelly, Liu, Luchessi, Manuel 1994)

On-shell effective field theory

QED with $m = 0$ fermions + antifermions

(Almost) on-shell fermion

$$q^\mu = E v^\mu + k^\mu$$

$$v^\mu = (1, \mathbf{v}), \quad v_\mu v^\mu = 0$$

(Almost) on-shell antifermions

$$q^\mu = -E \tilde{v}^\mu + k^\mu$$

$$\tilde{v}^\mu = (1, -\mathbf{v}), \quad \tilde{v}_\mu \tilde{v}^\mu = 0$$

- Energy of the on-shell fermion, E
- Residual momentum, k^μ ($\ll E v^\mu$)

(Manuel and JMT-R, 2014)

Dirac field decomposed in

- +/- energy components (close to $+E$ and $-E$)
- Particle/antiparticle modes

$$\psi_{\nu, \tilde{\nu}} = e^{-iE\nu \cdot x} \left(P_{\nu} \chi_{\nu}(x) + P_{\tilde{\nu}} H_{\tilde{\nu}}^{(1)}(x) \right) + e^{iE\tilde{\nu} \cdot x} \left(P_{\tilde{\nu}} \xi_{\tilde{\nu}}(x) + P_{\nu} H_{\nu}^{(2)}(x) \right)$$

$$P_{\nu} = \frac{1}{2} \not{v} \not{u} \quad , \quad P_{\tilde{\nu}} = \frac{1}{2} \not{\tilde{v}} \not{u}$$

are projectors along ν and $\tilde{\nu}$, with $u = (\nu + \tilde{\nu})/2$ is the reference frame.

In the QED Lagrangian

$$\mathcal{L} = \sum_{E, \mathbf{v}} \mathcal{L}_{E, \mathbf{v}}$$

we integrate out far off-shell modes: $H_{\tilde{\nu}}^{(1)}$ and $H_{\nu}^{(2)}$

Lagrangian in an arbitrary frame (Carignano, Manuel, JMTR 2018)

$$\begin{aligned}\mathcal{L}_{E,v} + \mathcal{L}_{-E,\tilde{v}} &= \bar{\chi}_v(x) \left(i v \cdot D + i \not{D}_\perp \frac{1}{2E + i \tilde{v} \cdot D} i \not{D}_\perp \right) \psi \chi_v(x) \\ &+ \bar{\xi}_{\tilde{v}}(x) \left(i \tilde{v} \cdot D + i \not{D}_\perp \frac{1}{-2E + i v \cdot D} i \not{D}_\perp \right) \psi \xi_{\tilde{v}}(x)\end{aligned}$$

Frame vector in the LRF is $u^\mu = (1, 0, 0, 0)$.

$$E = u \cdot p; \quad p^\mu = E v^\mu$$

- Inspired by similar procedure in other EFTs e.g. HQET and HDET
- In OSEFT, hard scale is **dynamical**, no medium (yet)
- At finite T it reproduces HTL (plus higher orders in $1/T$) (Manuel, Soto, Stetina 2016)
- We considered the Abelian version (some similarities with SCET)

After integrating all the modes far from the mass shell,

$$q^\mu = E v^\mu + k^\mu, \quad E = u \cdot p$$

with

$$E v^\mu \gg k^\mu$$

1/E expansion of OSEFT (only for particles)

$$\begin{aligned} \mathcal{L}_{E,v} &= \bar{\chi}_v(x) \left(i v \cdot D + i \not{D}_\perp \frac{1}{2E + i \tilde{v} \cdot D} i \not{D}_\perp \right) \psi \chi_v(x) \\ &= \bar{\chi}_v(x) \left(i v \cdot D - \frac{\not{D}_\perp^2}{2E} - \frac{i \not{D}_\perp (i \tilde{v} \cdot D) i \not{D}_\perp}{4E^2} + \dots \right) \psi \chi_v(x) \end{aligned}$$

Important! Physical energy is $E_q = u \cdot q$. E dependence must disappear.

Comment about Lorentz invariance

- $v^\mu, \tilde{v}^\mu$ break 5 Lorentz generators: $v_\mu M^{\mu\nu}, \tilde{v}_\mu M^{\mu\nu}$; $M \in SO(3, 1)$.
- These transformations encoded in freedom to decompose q^μ

$$q^\mu = E v^\mu + k^\mu$$

- Lorentz invariance $\rightarrow$ “**reparametrization invariance**”
(Manohar, Mehen, Pirjol, Stewart 2002)

RI transformations

$$(I) \begin{cases} v^\mu & \rightarrow & v^\mu + \lambda_\perp^\mu \\ \tilde{v}^\mu & \rightarrow & \tilde{v}^\mu \end{cases} \quad (II) \begin{cases} v^\mu & \rightarrow & v^\mu \\ \tilde{v}^\mu & \rightarrow & \tilde{v}^\mu + \epsilon_\perp^\mu \end{cases} \quad (III) \begin{cases} v^\mu & \rightarrow & (1 + \alpha)v^\mu \\ \tilde{v}^\mu & \rightarrow & (1 - \alpha)\tilde{v}^\mu \end{cases}$$

where $\lambda_\perp^\mu, \epsilon_\perp^\mu, \alpha$ are 5 infinitesimal parameters such that
 $v \cdot \lambda_\perp = v \cdot \epsilon_\perp = \tilde{v} \cdot \lambda_\perp = \tilde{v} \cdot \epsilon_\perp = 0$.

Reparametrization invariance transformations

Knowing this...

	Type I	Type II	Type III
v^μ	$v^\mu + \lambda_\perp^\mu$	v^μ	$v^\mu(1 + \alpha)$
$\tilde{v}^\mu$	$\tilde{v}^\mu$	$\tilde{v}^\mu + \epsilon_\perp^\mu$	$\tilde{v}^\mu(1 - \alpha)$
E	$E + \frac{1}{2}\lambda_\perp \cdot p$	$E + \frac{1}{2}(\epsilon_\perp \cdot p)$	$E(1 + \alpha) - \alpha(\tilde{v} \cdot p)$
D_μ	$D_\mu + iE\lambda_\mu^\perp + \frac{i}{2}(\lambda_\perp \cdot p)v_\mu$	$D_\mu + \frac{i}{2}(\epsilon_\perp \cdot p)v_\mu$	$D_\mu + 2i\alpha E v_\mu - i\alpha(\tilde{v} \cdot p)v_\mu$
$\chi_v(x)$	$(1 + \frac{1}{4}\lambda_\perp \cdot \tilde{v}) \chi_v(x)$	$(1 + \frac{1}{2}\epsilon_\perp \cdot \tilde{v} \frac{1}{2E + i\tilde{v} \cdot D} i\mathcal{D}_\perp) \chi_v(x)$	$\chi_v(x)$

...we can check that

$$\mathcal{L}_{E,v} \xrightarrow{\text{Type I, Type II, Type III}} \mathcal{L}_{E,v}$$

to **ALL** orders in $1/E$ expansion (Carignano, Manuel, JMTR 2018)

The OSEFT Lagrangian is Lorentz invariant,
with some transformations realized via RI transformations.

► Symmetries

Chiral Kinetic Equation

Schematic procedure: details in (Kadanoff, Baym 1962) (Elze, Heinz 1989) (Botermans, Malfliet 1990) (Blaizot, Iancu 2002):

- 1 Real-time formalism in Keldysh-Schwinger basis
- 2 Focus on vector/axial components of fermions (antifermions analogous)

$$G_{E,v}(x, y) = \langle \bar{\chi}_v(y) \not{\partial} \chi_v(x) \rangle$$

- 3 Apply Wigner transform and gradient expansion $\partial_X \ll \partial_s$

Wigner function

$$G_{E,v}(X, k) = \int d^4s \, e^{ik \cdot s} G_{E,v}\left(X + \frac{s}{2}, X - \frac{s}{2}\right) U\left(X - \frac{s}{2}, X + \frac{s}{2}\right)$$

$$X = \frac{1}{2}(x + y) \quad , \quad s = x - y \quad , \quad U = P \exp\left[-ie \int_{\gamma} dx^{\mu} A_{\mu}(x)\right]$$

- 4 Neglect collision terms $\rightarrow$ quasiparticle picture

Kadanoff-Baym equations provide 2 sets of equations:

- 1 “Sum equations” (constraint)
- 2 “Difference equations” (kinetic equation)

These equations are computed order by order in the expansion in $1/E$ of OSEFT Lagrangian

$$\begin{aligned}\mathcal{O}_x^{(0)} &= i v \cdot D \frac{\not{v}}{2} \\ \mathcal{O}_x^{(1)} &= -\frac{1}{2E} \left(D_\perp^2 + \frac{e}{2} \sigma_\perp^{\mu\nu} F_{\mu\nu} \right) \frac{\not{v}}{2} \\ \mathcal{O}_{x,\text{LFR}}^{(2)} &= \frac{1}{8E^2} [\not{D}_\perp, [i\tilde{v} \cdot D, \not{D}_\perp]] \frac{\not{v}}{2} \\ &\quad - \frac{1}{8E^2} \left\{ D_\perp^2 + \frac{e}{2} \sigma_\perp^{\mu\nu} F_{\mu\nu}, (i v \cdot D - i\tilde{v} \cdot D) \right\} \frac{\not{v}}{2}\end{aligned}$$

Sum equations → Dispersion relation

In terms of physical variables

Dispersion relation

$$q^2 - eS_{\chi}^{\mu\nu} F_{\mu\nu} = 0$$

where spin tensor is

$$S_{\chi}^{\mu\nu} = \chi \frac{\epsilon^{\alpha\beta\mu\nu} u_{\beta} q_{\alpha}}{2E_q} \quad , \quad \chi = \pm 1 \quad (\text{chirality})$$

In the LRF

$$E_q = \pm |\mathbf{q}| \left(1 - e\chi \frac{\mathbf{B} \cdot \mathbf{q}}{2q^2} \right)$$

(Son, Yamamoto 2012), (Manuel, JMTR 2013), (Chen, Son, Stephanov, Yee, Yin 2014) (...)

Difference equations $\rightarrow$ Transport equation

In terms of physical variables

Chiral Kinetic Equation

$$\left[\frac{q^\mu}{E_q} - \frac{e}{2E_q^2} S_\chi^{\mu\nu} F_{\nu\rho} \left(2u^\rho - \frac{q^\rho}{E_q} \right) \right] \Delta_\mu G_{E,v}^\chi(X, q) = 0$$

where

$$E_q = q \cdot u \quad ; \quad \Delta^\mu \equiv \frac{\partial}{\partial X_\mu} - e F^{\mu\nu}(X) \frac{\partial}{\partial q^\nu}$$

And

$$G_{E,v}^\chi(X, q) = 2\pi \delta_+(Q^\chi) f^\chi(X, q) \quad ; \quad \delta_+(Q^\chi) = \theta(E_q) E_q \delta(q^2 - e S_\chi^{\mu\nu} F_{\mu\nu})$$

In the LRF

$$\left(\Delta_0 + \hat{\mathbf{q}}^i \left(1 + e\chi \frac{\mathbf{B} \cdot \hat{\mathbf{q}}}{2q^2} \right) \Delta_i + e\chi \frac{\epsilon^{ijk} E^j \hat{q}^k - B_{\perp, \mathbf{q}}^i}{4q^2} \Delta_i \right) f_v^X(X, \mathbf{q}) = 0$$

$$\Delta^\mu \equiv \frac{\partial}{\partial X_\mu} - eF^{\mu\nu}(X) \frac{\partial}{\partial q^\nu}$$

In the LRF

$$\left(\Delta_0 + \hat{\mathbf{q}}^i \left(1 + e\chi \frac{\mathbf{B} \cdot \hat{\mathbf{q}}}{2q^2} \right) \Delta_i + e\chi \frac{\epsilon^{ijk} E^j \hat{q}^k - B_{\perp, \mathbf{q}}^i}{4q^2} \Delta_i \right) f_v^{\chi}(X, \mathbf{q}) = 0$$

$$\Delta^\mu \equiv \frac{\partial}{\partial X_\mu} - eF^{\mu\nu}(X) \frac{\partial}{\partial q^\nu}$$

which differs from other authors in subleading terms

$$\left(\Delta_0 + \hat{\mathbf{q}}^i \left(1 + e\chi \frac{\mathbf{B} \cdot \hat{\mathbf{q}}}{2q^2} \right) \Delta_i + e\chi \frac{\epsilon^{ijk} E^j \hat{q}^k}{2q^2} \Delta_i \right) f^{\chi}(X, \mathbf{q}) = 0$$

(Hidaka, Pu, Yang 2017)(Son, Yamamoto 2012)

It can be explained due to the different fermion fields (Lin, Shukla 2019)

$$G_v = \langle \bar{\chi}_v(y) \frac{\not{y}}{2} U(y, x) \chi_v(x) \rangle \quad \text{vs} \quad G = \langle \bar{\psi}(y) U(y, x) \psi(x) \rangle$$

Chiral anomaly

The fermion current

$$j^\mu(x) = -\frac{\delta \mathcal{S}_{\text{OSEFT}}}{\delta A_\mu(x)}$$

is computed up to order $1/E_q^2$ in the original variables

$$\begin{aligned} j^\mu(X) &= e \sum_{\chi=\pm} \int \frac{d^4 q}{(2\pi)^3} 2\theta(E_q) \delta(q^2 - e S_\chi^{\mu\nu} F_{\mu\nu}) \\ &\times \left\{ q^\mu - \frac{e}{2E_q} S_\chi^{\mu\nu} F_{\nu\rho} (2u^\rho - \frac{q^\rho}{E_q}) + \mathcal{O}\left(\frac{1}{E_q^3}\right) \right\} f^\chi(X, q) \end{aligned}$$

To compute the chiral current we introduce chiral fields $A_5^\mu, F_5^{\mu\nu}$

$$j_5^\mu(x) = -\frac{\delta \mathcal{S}_{\text{OSEFT}}}{\delta A_5^\mu(x)}$$

Using the kinetic equation in the LRF and integrating

$$\partial_\mu j_5^\mu(X) = e^2 \chi \frac{\mathbf{E} \cdot \mathbf{B}}{2\pi^2} \frac{1}{6} \lim_{|\mathbf{q}| \rightarrow 0} [f^R(|\mathbf{q}|) - f^L(|\mathbf{q}|)]$$

Summing both fermions and antifermions in a plasma in equilibrium

$$f^X(|\mathbf{q}|) = \frac{1}{e^{(|E_q| - \mu_X)/T} + 1}, \quad \tilde{f}^X(|\mathbf{q}|) = \frac{1}{e^{(|E_q| + \mu_X)/T} + 1}$$

we obtain

Consistent axial anomaly

$$\partial_\mu j_5^\mu(X) = \partial_\mu [j_R^\mu(X) - j_L^\mu(X)] = \frac{1}{3} \frac{e^2}{2\pi^2} \mathbf{E} \cdot \mathbf{B}$$

- The 1/3 is carried by the “consistent” version of the anomaly (as opposed to the “covariant anomaly”) [▶ back-up](#)
- Expected from the definition of the current as a functional derivative (Landsteiner 2016)(Fujikawa 2017)

Chiral magnetic effect

The 3D vector current (after q_0 integration) up to $\mathcal{O}(1/E_q)$ in the LRF

$$j^i(X) = e \sum_{\chi=\pm} \int \frac{d^3 q}{(2\pi)^3} \left(\frac{q^i}{E_q} + \frac{S_{\chi}^{ij} \Delta_j}{E_q} \right) f^{\chi}(X, q)$$

and we use equilibrium distribution

$$f_{eq}^{\chi}(E_q) = f_{eq}^{\chi}(|\mathbf{q}|) - e_{\chi} \frac{\mathbf{B} \cdot \mathbf{q}}{2|\mathbf{q}|^2} \frac{df_{eq}^{\chi}(|\mathbf{q}|)}{d|\mathbf{q}|}$$

The current

$$j^i = e^2 \sum_{\chi} \chi \int \frac{d^3 q}{(2\pi)^3} \left[\frac{(B^j \hat{q}^i \hat{q}^j - B^i)}{2|\mathbf{q}|} \frac{df_{eq}^{\chi}(|\mathbf{q}|)}{d|\mathbf{q}|} - \frac{B^j \hat{q}^j \hat{q}^i}{2|\mathbf{q}|} \frac{df_{eq}^{\chi}(|\mathbf{q}|)}{d|\mathbf{q}|} \right]$$

Incorporating particles and antiparticles of both chiralities we integrate

CME in equilibrium

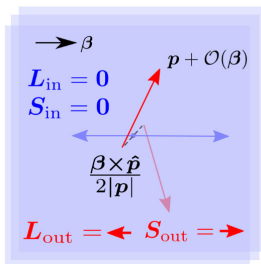
$$\mathbf{j}(X) = e^2 \left(\frac{2}{3} + \frac{1}{3} \right) \frac{\mu_5}{4\pi^2} \mathbf{B}(X)$$

(Son, Yamamoto 2012) (Manuel, JMTR 2013) (Kharzeev, Stephanov, Yee 2016)

Distribution function under Lorentz transformations

Lorentz transformation of distribution function

- Modified Lorentz transformation for chiral fermions (Chen, Son, Stephanov, Yee, Yin 2014) (Duval, Horvathy 2014)
- Transformation implies “side-jump” (displacement in boosted frame) (Stone, Dwivedi, Zhou 2015) (Chen, Son, Stephanov 2015)



(fig. from Chen, Son, Stephanov, Yee, Yin 2014)

- Distribution function f is not scalar (Chen, Son, Stephanov 2015) (Hidaka, Pu, Yang 2016)

Transformations giving “side jump” are contained in RI transformations
How does the distribution function behave under those?

RI transformation of f

How do the RI transformations act on $f \equiv f_{E,v}^X(X, k)$ (**particle case**)?

2 of them have no effect:

$$\begin{aligned} f &\xrightarrow{\text{Type I}} f \\ f &\xrightarrow{\text{Type III}} f \end{aligned}$$

But Type II:

$$f \xrightarrow{\text{Type II}} f - \frac{1}{2E_q} S_X^{\mu\nu} \epsilon_\nu^\perp \Delta_\mu f + \mathcal{O}\left(\epsilon_\perp^2, \frac{1}{E_q^2}\right)$$

Using $\epsilon_\perp^\mu/2 = u'^\mu - u^\mu$

Transformation of f

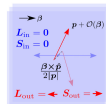
$$f \xrightarrow{\text{Type II}} f - \frac{\chi \epsilon^{\alpha\beta\mu\nu} u'^\nu u_\beta q_\alpha}{2(q \cdot u')(q \cdot u)} \Delta_\mu f + \mathcal{O}\left(\epsilon_\perp^2, \frac{1}{E_q^2}\right)$$

which coincides with

(Chen, Son, Stephanov 2015)(Hidaka, Pu, Yang 2016)(Gao, Liang, Wang, Wang 2018)

- **OSEFT**: systematic approach for the physics of nearly on-shell massless fermions/antifermions
- It can be used to derive a transport equation, anomaly equation, CME...
See also (Manuel, Soto, Stetina 2016)(Carignano, Manuel, Soto 2017)

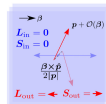
- Transparent study of Lorentz transformations e.g. **“side jumps”**



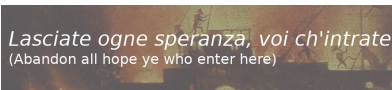
Conclusions and Outlook

- **OSEFT**: systematic approach for the physics of nearly on-shell massless fermions/antifermions
- It can be used to derive a transport equation, anomaly equation, CME...
See also (Manuel, Soto, Stetina 2016)(Carignano, Manuel, Soto 2017)

- Transparent study of Lorentz transformations e.g. “side jumps”



- **Outlook**: Effects of mass (Weickgennant, Sheng, Speranza, Wang, Rischke 2019)
- **Outlook**: Understanding “side jumps” by **computing collision terms**



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The OSEFT Lagrangian is Lorentz invariant,
with some transformations realized via RI transformations.
(Carignano, Manuel, JMTR 2018)

For $v^\mu = (1, 0, 0, 1)$ and $\tilde{v}^\mu = (1, 0, 0, -1)$:

- 1 Type I: $Q_1^- \equiv J_1 - K_2, Q_2^+ = J_2 + K_1$
- 2 Type II: $Q_1^+ \equiv J_1 + K_2, Q_2^- = J_2 - K_1$
- 3 Type III: K_3

Notice that Type I (Type II) leaves invariant v^μ ($\tilde{v}^\mu$). They are elements of the **Wigner's little group** associated to v^μ ($\tilde{v}^\mu$).

- 1 Wigner's Little Group of v^μ : $(Q_1^+, Q_2^-, J_3) \in SE(2)$
- 2 Wigner's Little Group of $\tilde{v}^\mu$: $(Q_1^-, Q_2^+, J_3) \in SE(2)$

▶ go back

Chiral anomaly equation

Apply $\partial_\mu = \Delta_\mu + F_{\mu\nu}\partial_q^\nu$, and make use of the CKE to cancel the first term.
For one chirality χ :

$$\begin{aligned}\partial_\mu j_\chi^\mu(X) &= \frac{1}{2} [\partial_\mu j^\mu(X) + \chi \partial_\mu j_5^\mu(X)] \\ &= e^2 \int \frac{d^4 q}{(2\pi)^3} \left\{ q^\mu - \frac{e}{2E_q} S_\chi^{\mu\nu} F_{\nu\rho} \left(2u^\rho - \frac{q^\rho}{E_q} \right) \right\} \\ &\times F_{\mu\lambda} \frac{\partial}{\partial q^\lambda} [f^\chi(X, q) 2\theta(E_q) \delta(q^2 - e S_\chi^{\mu\nu} F_{\mu\nu})]\end{aligned}$$

In the LRF, only surface terms remain. Integrate around a sphere of radius R , and take the limit $R \rightarrow 0$ (Stephanov, Yin 2012)

$$\begin{aligned}\partial_\mu j_\chi^\mu(X) &= -e^2 \chi \lim_{R \rightarrow 0} \left(\int \frac{d\mathbf{S}_R}{(2\pi)^3} \cdot \mathbf{E} \frac{\hat{\mathbf{q}} \cdot \mathbf{B}}{4R^2} f^\chi(|\mathbf{q}| = R) \right. \\ &\quad \left. - \int \frac{d\mathbf{S}_R}{(2\pi)^3} \cdot \frac{\hat{\mathbf{q}}}{4R^2} \mathbf{E} \cdot \mathbf{B} f^\chi(|\mathbf{q}| = R) \right) \\ &= e^2 \chi \frac{\mathbf{E} \cdot \mathbf{B}}{2\pi^2} \frac{1}{6} f^\chi(|\mathbf{q}| = 0)\end{aligned}$$

In the presence of chiral gauge fields we have

Chiral current nonconservation

$$\partial_\mu j_5^\mu(X) = \frac{1}{3} \frac{e^2}{2\pi^2} (\mathbf{E} \cdot \mathbf{B} + \mathbf{E}_5 \cdot \mathbf{B}_5)$$

Vector current anomaly

$$\partial_\mu j^\mu(X) = \frac{1}{3} \frac{e^2}{2\pi^2} (\mathbf{E}_5 \cdot \mathbf{B} + \mathbf{E} \cdot \mathbf{B}_5)$$

To get a conserved current one adds Bardeen counterterms to the quantum action

$$\int d^4x \epsilon^{\mu\nu\rho\lambda} A_\mu A_\nu^5 (c_1 F_{\rho\lambda} + c_2 F_{\rho\lambda}^5)$$

with the choice $c_1 = \frac{1}{12\pi^2}$ and $c_2 = 0$

(Landsteiner 2016) [▶ Go back](#)

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